



## Risk and Audit Committee Meeting Agenda

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Videoconference – see <https://www.calpers.ca.gov/page/about/board/board-meetings>

### September 14, 2021

1:00 p.m.

Or upon adjournment of the Finance and Administration Committee – Whichever is later

#### Committee Members

Lisa Middleton, Chair

David Miller, Vice Chair

Margaret Brown

Fiona Ma

Ramon Rubalcava

Shawnda Westly

Betty Yee

#### Open Session

1:00 p.m.

Or upon adjournment of the Finance and Administration Committee – Whichever is later

#### Item

1. Call to Order and Roll Call
2. Approval of the September 14, 2021 Risk and Audit Committee Timed Agenda
3. Executive Report – Marlene Timberlake D’Adamo
4. **Action Consent Items** – Marlene Timberlake D’Adamo
  - a. Approval of the June 15, 2021 Risk & Audit Committee Meeting Minutes
5. **Information Consent Items** – Marlene Timberlake D’Adamo
  - a. Annual Calendar Review
  - b. Draft Agenda for the November 17, 2021 Risk & Audit Committee Meeting
  - c. Status Report – Enterprise Risk Management
  - d. Status Report – Enterprise Compliance Activity
6. **Information Agenda Items**
  - a. Review of CalPERS Internal Audit 19-001, Review of Death Benefit Overpayment Receivables – Beliz Chappuie/Anthony Suine
  - b. Enterprise Risk Management Framework Review – Forrest Grimes
  - c. Summary of Committee Direction – Marlene Timberlake D’Adamo
  - d. Public Comment

#### Closed Session

Upon Adjournment or Recess of Open Session (Government Code sections 11126(a)(1) and (g)(1))

## Item

1. Call to Order and Roll Call
2. **Action Consent Items**
  - a. Approval of the June 15, 2021 Risk & Audit Committee Closed Session Meeting Minutes
3. **Information Agenda Items**
  - a. Personnel, Employment and Performance, Chief Diversity, Equity, and Inclusion Officer – Marlene Timberlake D'Adamo
  - b. Personnel, Employment and Performance, Chief Auditor – Beliz Chappuie
  - c. Personnel, Employment and Performance, Chief Risk Officer – Forrest Grimes

## Notes

1. Items designated for Information are appropriate for Committee Action if the Committee wishes to take action. Any Agenda Item from a properly noticed Committee meeting may be considered by the Board at a subsequent Board meeting.
2. The hour designated as the earliest starting time for this meeting is not intended to communicate the expected duration (or ending time) of the preceding meeting.
3. Public comment may be taken on agenda items in accordance with applicable law. There is a three-minute limitation on each public comment, unless otherwise directed by the Presiding Officer. (Cal. Code Regs. tit. 2, § 552.1.) Members of the public may provide public comment via telephone by calling (800) 259-4105.
4. Board Members may attend meetings of Committees of which they are not members and participate in the discussions during those meetings.
5. In accordance with Executive Orders N-08-21, N-33-20, and the stay-at-home orders and social distancing measures put in place throughout California, Board members will participate via videoconference.