

September 1 - September 30	
New PRAs received	39
PRAs closed	30

Monthly Averages for Previous 12 Months	
PRAs received	22
PRAs closed	22

Annual Totals	2011*	2012	2013	2014
PRAs received	219	282	215	222
PRAs closed	194	292	242	213

*2011 totals - STRL received the process on 4/15/2011.

Total Active PRAs	
As of September 30, 2014	47

Closed Public Records Act Requests

	Closed Date	Requesting Organization	Requestor's Name	PRA #	Brief Description	Date Received
1	9/2/2014	Thomson Reuters	Sam Sutton	1688	Any and all reports, memorandums, capital call requests, emails or communications between the Pension staff and the general partners/personnel managing Yucaipa American Alliance Fund II and Yucaipa Corporate Initiatives Fund II.	8/7/2014
2	9/2/2014	N/A	Yale Wichick	1696	Copy of the winning bid for Associate Pension Actuary/Senior Pension Actuary and; Senior Life Actuary Search. Contract Number 2013-7055.	8/22/2014
3	9/2/2014	CSEA	Debb Jachens	1631	A listing of names of persons enrolled in CalPERS retirement system at Val Verde Unified School.	6/26/2014
4	9/2/2014	ILPA	Tyler Burton	1692	A copy of the most current list of all private equity funds held in CalPERS portfolio (active and liquidated/exited funds), including funds held in separate account vehicles.	8/21/2014
5	9/4/2014	N/A	Leyne Millstein	1714	First and last name of public agency active CalPERS members and the name of the public agency of which they are an active member.	8/18/2014
6	9/5/2014	N/A	Jeffrey Jensen	1710	Copies of the individualized reports, data sets and/or worksheets and any other information, showing exactly how CalPERS calculated the three specific, individualized Actuarial Equivalency Reduction (AER) dollar estimates for Jeffrey G. Jensen. This would include, but not be limited to, the actual formula, specific work history, actuarial assumptions and any other information that was used as an input, and informs or otherwise influences the specific individual AER dollar amounts generated by CalPERS for Jeffrey G. Jensen, as an individual member, on May 10, 2002, July 11, 2014, and July 14, 2014.	8/26/2014
7	9/5/2014	MB Public Affairs Inc.	Mark Bogetich	1541	Number of retirement benefit recipients and total amount of retirement payments, broken down by State, made for the December benefit month for each year from 2000 through 2013.	4/3/2014
8	9/8/2014	N/A	Roberta Almeida	1682	Copy of the letter to Mr. David Rudat, Interim Fire Chief for City of Stockton, from CalPERS employee Liz Burke.	8/17/2014
9	9/8/2014	N/A	Roberta Almeida	1683	Copy of the CalPERS letter to Mr. Glen Kramer, former personnel director for City of Menlo Park, regarding his contract work for that city, and referenced by article in The Almanac under the following headline "CalPERS rules that contract work by retired City of Menlo Park personnel director is illegal".	8/17/2014
10	9/8/2014	N/A	Roberta Almeida	1684	Copies of letters from CalPERS to Mr. Bill D. Carnahan, Executive Director of Southern California Public Power Authority (SCPPA).	8/17/2014
11	9/8/2014	N/A	Anjeli Kapal	1678	A listing of the CalPERS gross returns as of June 30, 2014 for the following time periods: 3 Month, 1 Year, 3 Year, 5 Year, 7 Year, 10 Year and 15 Year.	8/12/2014
12	9/8/2014	Simon Rochester	Robert Arscott	1556	The cash flows and respective fund names of all private equity and private real estate funds in which CalPERS has invested since inception, as well as, the latest reported net asset values (NAVs) of these funds.	4/18/2014
13	9/10/2014	Pitchbook	Michelle Yonce	1712	Copies of the public records from March 1, 2014 through August 27, 2014 for: 1. Investment memorandum used by CalPERS investment committee and investment consultants providing consultation to CalPERS regarding private equity, venture capital, mezzanine, distressed, real estate/REIT, debt and infrastructure partnerships being considered for investment by the CalPERS portfolio; 2. Due diligence materials and presentation materials produced by the General Partners in the course of CalPERS conducting due diligence on investments into any private equity, venture capital, mezzanine, distressed, real estate/REIT, debt and infrastructure partnerships.	8/27/2014
14	9/11/2014	N/A	James Nevin	1708	Copies of CalPERS Ione Miscellaneous First Tier Plan Funded Status, CalPERS Ione Police Safety Plan Funded Status and CalPERS Ione Safety Fire Plan Funded Status. Also a copy of the most recent CalPERS Projected Future Employer Contribution Rates Report for the City of Ione.	9/2/2014

Closed Public Records Act Requests

	Closed Date	Requesting Organization	Requestor's Name	PRA #	Brief Description	Date Received
15	9/11/2014	N/A	James Nevin	1709	A copy of the updated estimate of the unfunded termination liability for the City of Lone and their 3 CalPERS Pension funds: Lone Miscellaneous First Tier Plan, Lone Police Safety Plan and Lone Safety Fire Plan.	9/2/2014
16	9/11/2014	Source Media	Keeley Webster	1624	A list of local agencies that have utilized the CalPERS hardship policy from the year 2000 forward.	6/19/2014
17	9/15/2014	Western Kern Water District	Tami Sivils	1734	Two copies of Publication 8, Local Miscellaneous Benefits.	9/12/2014
18	9/12/2014	Arixmar	Richard Kincaid	1718	A copy of any document that lists the retirement date and issue date of Rosemary Rovick's pension.	9/3/2014
19	9/12/2014	N/A	Paul Mapes	1668	All documents containing or summarizing communications to CalPERS from Bonnie Schindhelm regarding her claim for death benefits from the account of Martin O' Donnell.	8/3/2014
20	9/15/2014	West Design Group	Robert Edmonds	1730	A listing of pension financial information for Tom Schwedhelm, retired Chief of Police for the City of Santa Rosa/Santa Rosa Police Department.	9/10/2014
21	9/15/2014	FOIA Group	Rose Santos	1695	A copy of the contract and winning proposal for (1) Pharmacy Benefit Managers/Management (PBM) and/or (2) Specialty Pharmacies (SP) Programs awarded, or otherwise in effect, by any California state agency during the time frame January 1, 2012 to Present.	8/21/2014
22	9/18/2014	PERS Retirement Betterment Committee, Inc.	Jake Petrosino	1726	A copy of the Annual Financial Report and Report of Operations for the Fiscal Years ending June 30, 1958, 1959, 1960, 1961 and 1962. Also a copy of the chaptered bill that established the program and any listing of the sponsor/s and those organizations supporting or opposed to that legislation.	9/8/2014
23	9/18/2014	KPMG	Victoria Martin	1706	All contracts pertaining to the HR Talent Management Spring-Fed Pool Number 2013-6895 and the contracts from the pool expiring before Number 2013-6895.	8/29/2014
24	9/18/2014	N/A	Keli Caldwell-Reed	1665	Documents related to Lon Caldwell and Jourdan Thompson.	7/24/2014
25	9/19/2014	Setter Capital Inc.	Sara Li	1655	A listing of the alternative investment holdings regarding private equity, real estate, infrastructure, timber and other hedge fund holdings.	7/21/2014
26	9/22/2014	N/A	Leah Sykes	1733	A listing indicating the number of University of California (UC) retirees and active members in PERS or UCRP for each year from 2007-2014, as well as, any retirees or beneficiaries receiving Health Benefits during 2007-2014.	9/17/2014
27	9/22/2014	N/A	Sue Gilbertson	1732	2012 Pension data.	9/11/2014
28	9/23/2014	Xerox	Mitchell Gorsen	1693	Copies of contracts or purchase orders executed between 2012 and 2014 that describe, explain, or list the hourly rates paid to vendors of information technology technical and/or consulting services.	8/21/2014
29	9/29/2014	AFSCME Council 31	Margaret Lyons	1741	Copies of the following documents related to the private entity firm GTCR's communications with CalPERS from any time over the past three years: (1) GTCR Offering documents, (2) GTCR Memoranda, (3) GTCR Prospectuses, (4) GTCR Limited Partnership Agreements or any related documents pertaining to GTCR.	9/19/2014
30	9/29/2014	N/A	Matthew Toepel	1719	A record CalPERS maintains on who has accessed account information on Matthew Toepel and Jennifer Alves within the last three years.	9/3/2014

	Date Received	Requesting Organization	Requestor's Name	PRA #	Brief Description
1	7/11/2013	Procopio, Cory, Hargreaves and Savitch, LLP	Adriana Sanchez	1294	Any and all documents related to Dehesa Charter School's denial of participation in CalPERS pension plan.
2	1/15/2014	Holguin Garfield Martinez & Quinonez	Michael Plank	1450	Seventeen point request for true and correct copies of all letters, memoranda, emails, phone notes, meeting notes and/or other documentation related to CalPERS implementation of PEPR.
3	2/6/2014	Princeton University	Rebecca Sachs	1453	For all employees contributing to CalPERS who were active during 2004-2013: 1. Name 2. Agency in 2003 through 2013 3. Job title in 2003 through 2013 4. Annual earnings in 2003 (0 if retired in 2003) through 2013 (0 if retired in 2013) 5. Date of hire
4	4/22/2014	Thompson Reuters	Robin Respaut	1558	1. Any and all documents and email correspondence pertaining to missed pension payments by any/all California municipalities from January 2009 to present. 2. Any and all documents and email correspondence pertaining to a California municipality requesting short- or long-term relief from pension payments from January 2009 to present.
5	5/5/2014	Law Offices of John Michael Jensen	John M. Jensen	1575	Copies of preliminary, draft, and final Actuarial, Valuation, Compliance Review, Public Agency Review and Audit reports for the City of Vernon for the period of 1990 to 2014.
6	5/5/2014	Law Offices of John Michael Jensen	John M. Jensen	1577	26 point request for various manuals, publications, circular letters and employer bulletins from 1992 to 2014.
7	6/12/2014	First Investors	Stuart Johnson	1620	A listing of all active CalPERS members and their work location.
8	7/9/2014	First Investors	Cheryl Cousino	1647	A listing of all active CalPERS members that include employer and membership date.
9	8/7/2014	Langencamp, Curtis & Price	Gurdeep Dhaliwal	1676	Complex multi point request relating to numbers of Independent Medical Examinations at CalPERS, as well as, promotional and training development opportunities for the Investment Officer and Portfolio Manager series.
10	8/7/2014	N/A	David Ciapponi	1691	Copies of documents that support CalPERS' assertions regarding GC section 20636, as well as, copies of documentation that support CalPERS' calculations regarding COLA increase and New Rate of Pay.
11	8/9/2014	Public Sector Credit	Marc Joffe	1675	A listing of the annual employer contributions made by all Cities and Counties that contract with CalPERS for each fiscal year between 2001/02 and 2012/13.
12	8/12/2014	The Wiens Law Corporation	Randall L. Wiens	1689	All communications, including emails, about or pertaining to CalPERS employee James W. Brentlinger; and all non-exempt investigations about or pertaining to CalPERS employee James W. Brentlinger.
13	8/21/2014	FundFire	Tim Sturrock	1694	The most recent Due Diligence Questionnaires (DDO) sent to an asset manager in each of the following designated asset classes: global fixed income, global equities, absolute return strategies, real estate, infrastructure, commodities, forestland and private equity.
14	8/25/2014	N/A	Lucas Ross	1700	Documents related to the Real Estate portfolio, and listings of all the properties (either owned fully by CalPERS or owned partially through commingled vehicles like REITs), their dates of acquisition, their initial investment cost, their locations, their account managers and their category (e.g. industrial, residential, agricultural, etc.) that have been sold in the last five years, to whom, and for how much profit compared to original purchase price.

	Date Received	Requesting Organization	Requestor's Name	PRA #	Brief Description
15	8/26/2014	N/A	John Moore	1701	Hypothetical Termination Liability for City of Pacific Grove.
16	8/27/2014	N/A	Steven Koyasako	1711	Complex multi-point request regarding Pay Differentials for any and all Assistant Chief Counsels working from 1993 to the present.
17	8/28/2014	First Amendment Coalition	Peter Scheer	1713	Complex multi-point request regarding Apollo Fee Reductions, Confidentiality Provisions, Tax Structure and Tax Treatment of Waivers, LPAs of Non-Private Equity Funds.
18	8/29/2014	Pension 360	Ted Ballentine	1707	The individual total compensation packages received by all fund investment staff in 2013. Including but not limited to annual salary, bonuses, pensions, deferred compensation, and matched profit sharing. Also requesting the individual total compensation packages received by the 10 highest compensated employees not part of the investment staff in 2013. Including but not limited to annual salary, bonuses, pensions, deferred compensation, and matched profit sharing.
19	9/3/2014	Aurora Advisors	Susan Webber	1715	A copy of "Attachment 2 " that is listed as the footnote on page 11 of the December 31, 2011 CIO Total Fund Investment Report as published on CalPERS' website. Copies of all reports discussing CalPERS' plans to implement the "Time Weighted Rate of Return (TWRR) methodology"; mentioned in that footnote, along with documents discussing progress to date in implementing these plans.
20	9/3/2014	Aurora Advisors	Susan Webber	1716	Copies of all documents that describe CalPERS' policies and procedures for the preparation and publication of the Internal Rate of Return (IRR) calculations for its private equity fund investments.
21	9/3/2014	Aurora Advisors	Susan Webber	1717	Copies of the spreadsheets used to compute the following Internal Rate of Return (IRRs) that were published as of December 31, 2013.
22	9/5/2014	FOIA Group, Inc.	Rose Santos	1722	A copy of the full contract documents with Accenture, all proposals submitted by vendors who responded to the solicitation, as well as, bid tabulations or bid scoring sheets for the submitted proposals.
23	9/6/2014	International Business Times	David Sirota	1723	A listing of all the funds in CalPERS' private equity program from its inception in 1990 to present, including but not limited to buyout funds, venture capital funds, distressed debt and other types of loan funds, fund of funds, and infrastructure funds, please provide the commitment date for each fund.
24	9/6/2014	International Business Times	David Sirota	1724	A listing of all the funds in CalPERS' private equity program from its inception in 1990 to present, including but not limited to buyout funds, venture capital funds, distressed debt and other types of loan funds, fund of funds, and infrastructure funds, please provide: 1. Dates of capital calls and amounts of each drawdown (tagged so as to avoid confusing them with distributions). 2. Dates of capital distributions and amount of each distribution.
25	9/6/2014	International Business Times	David Sirota	1725	A copy of the Excel spreadsheet used to generate the Performance Report PDF published on CalPERS' website.
26	9/8/2014	N/A	Thaddeus Thompson-Gray	1727	A copy of any correspondence received by CalPERS during the 2014 year, from any private equity manager, that references any prospective or proposed amendment to any governing document (e.g., limited partnership agreement) of any private equity fund in which CalPERS is an investor.
27	9/8/2014	N/A	Frank Schultz	1729	A copy of any records containing disclosures by any private equity manager with whom CalPERS does business, made since January 1, 2014, regarding any interaction that the manager or its affiliates had with the SEC, the IRS, or any state or local tax authorities. In addition, please provide a copy of any records containing disclosures by any private equity manager with whom CalPERS does business, made to CalPERS since January 1, 2014 for the purpose of clarifying, disclosing, or explaining fee or expense reimbursement practices of the manager.
28	9/9/2014	Bloomberg News	Alison Vekshin	1728	A listing of data on the top 200 pensioners from 2004-2011.
29	9/10/2014	Open The Books	Adam Andrzejewski	1736	A listing of active member data for 2013 (fiscal or calendar year).

	Date Received	Requesting Organization	Requestor's Name	PRA #	Brief Description
30	9/16/2014	N/A	Derek Ng	1737	A listing of the number of stocks invested in H shares, red chips, B shares, A-shares (if any) and any other China related stocks by CalPERS, and their respective percentages of portfolio holding as of June 30, 2013.
31	9/17/2014	N/A	Huntley Webb	1762	Information related to CalPERS membership, as well as, Beneficiary Claim forms for Retirees.
32	9/18/2014	Thompson Reuters	Christopher Witkowski	1739	Copies of any and all documents relating to payments and/or descriptions of how settlements will be paid related to the private equity collusion case known as Dahl et al v. Bain Capital Partners LLC et al, U.S. District Court, District of Massachusetts, No. 07-12388.
33	9/19/2014	Bison Co.	Michael Roth	1740	A copy of the Private Equity Fund Performance review data for each quarter going back to Q4 1999.
34	9/19/2014	Law Offices of John Michael Jensen	John Michael Jensen	1760	An update to the spreadsheet provided in response to previous PRA # 1205, a complex PRA regarding death benefits and one time payments.
35	9/21/2014	N/A	Richard Hopp	1743	A listing of the current name, mailing address, delivery address, telephone number, and email address for each and every employer under the CalPERS system.
36	9/22/2014	N/A	Russell Carollo	1745	Copies of all records related to ACON Investments, its subsidiaries, and all other companies whose name begins ACON, including, but not limited to, Acon Bastion II.
37	9/23/2014	Pension 360	Ted Ballentine	1744	Copies of any and all written requests pursuant to Freedom of Information Statutes, within the past 12 months, from the following entities: Callan Associates Inc. InvestorForce MSCI Inc.
38	9/23/2014	N/A	Karen Smith	1755	A listing of historical proxy voting information for 2014.
39	9/23/2014	City of Oakland	Anil Comelo	1746	Requesting an updated spreadsheet sent in response to PRA #1597, however, limited to the following cities: San Diego, Fresno, Sacramento, Long Beach, Bakersfield, Anaheim, Santa Ana, Hayward, Stockton and Vallejo.
40	9/23/2014	N/A	Sean Krider	1747	Documents and vendor proposals related to Request For Proposal No. 2014-7159.
41	9/23/2014	N/A	John K. Malik	1756	Copies of forms, letters and notes that relate to the claim filed for payments related to the death of Jim G. Malik.
42	9/24/2014	N/A	Thomas Meagher	1749	A listing of monthly performance returns for the CalPERS Absolute Return Strategies portfolio since its inception.
43	9/25/2014	Law Offices of John Michael Jensen	John Michael Jensen	1761	Complex 85 point PRA regarding CalPERS processes, delays in processes, Risk Assessment and Employer Paid Member Contributions.
44	9/25/2014	Bloomberg News	Ben Elgin	1750	Copies of all reports, presentations and emails authored by CalPERS staff that contain the word "divestment".
45	9/26/2014	Brightscope, Inc.	Sasha Khachiyan	1764	A listing of Investment data relating to Plan Investment Menus and a list of service providers.
46	9/26/2014	N/A	Joshua Preven	1757	Investment data for Fortress Investment Group funds.
47	9/29/2014	N/A	Lukas Ross	1759	A listing of all Master Limited Partnerships currently in the CalPERS portfolio.