Trust Level Portfolio Management – Questionnaire Preview

Minimum Qualifications

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Minimum Qualifications

All proposals must meet all of the following Minimum Qualifications to be give further consideration. Failure to satisfy any of these qualifications and requirements will result in a rejection of the proposal.

1. Are you or your firm an investment advisor that is currently registered, exempt from registering, or willing to register with the SEC? *

--None--

2. Is your Firm willing to provide full Security level transparency? *

--None--

3. Does your Firm have any regulatory sanctions from the past 5 years? *

--None--

4. Have any members of the Firm's key personnel been convicted of a felony? *

--None--

5. Does your firm have experience managing a multi-asset class investment strategy? *

--None--

Acknowledgment*

☐ I have read and understand the above information

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Investment Opportunity Information

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Investment Opportunity Information

1. Product *

2. Product AUM in USD (In Millions- One billion entered as 1000) *

3. Geographic Focus *

--None--

4. Strategy *

--None--

5. Sub-Strategy *

--None--

6. Product Inception Date *

(MM/DD/YYYY)

7. Number of Investment Professionals on Product *

Investment Opportunity Information, continued

8. Investment Objective Description *

9. Investment Process Description *

10. What makes your strategy or investment approach unique from your competitors? *

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Investment Terms

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Investment Terms

1. Product Capacity in USD (In Millions- One billion entered as 1000) *

2. Minimum Commitment Amount – USD (In Millions- One billion entered as 1000) *

3. Initial Lock Up Period (In Years)

4. Management Fee (Percentage)

5. Performance Fee (Percentage)

6. Other Fee Narrative

7. Does your Firm Offer Separately Managed Accounts? *

--None--

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Experience and Disclosures

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Experience and Disclosures

8. Describe your firms experience working with pension or endowment funds. *

9. Describe your firm's capability to manage a sizable new investment in relation to the firm's existing AUM *

10. Describe how your firm integrates environmental, social, and/or governance (ESG) considerations into your firm's investment philosophy or strategies. *

11. Describe your firms' Core Values. *

12. List any existing relationships, contracts, or business activities that involve CalPERS, or its Board Members. *

Realized Return

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Realized Return

1. Please identify the As of Date for the Returns provided below. *

(MM/DD/YYYY)

2. Product Benchmark *

3. Percent of product assets in composite *

4. 3 Year Annualized Gross Excess Return (Percentage)

5. 3 Year Tracking Error

6. 3 Year Information Ratio

7. 5 Year Annualized Gross Excess Return (Percentage)

Realized Return, continued

8. 5 Year Tracking Error

9. 5 Year Information Ratio

10. Since Inception Annualized Gross Excess Return (Percentage) *

11. Since Inception Tracking Error *

12. Since Inception Information Ratio

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Historical Return Information

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Historical Return Information

If available, please provide the last five calendar years of return information.

1. Calendar Year

2. Product Benchmark

3. Product Assets (Millions- One billion entered as 1000)

4. Product Gross Return (Percentage)

5. Benchmark Gross Return (Percentage)

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