Trust Level Portfolio Management – Questionnaire Preview

Minimum Qualifications

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All proposals must meet all of the following Minimum Qualifications to be give further consideration. Failure to satisfy any of these qualifications and requirements will result in a rejection of the proposal.

1. Are you or your firm an investment advisor that is currently registered, exempt from registering, or willing to register with the SEC? *

2. Is your Firm willing to provide full Security level transparency? *

3. Does your Firm have any regulatory sanctions from the past 5 years? *

4. Have any members of the Firm’s key personnel been convicted of a felony? *

5. Does your firm have experience managing a multi-asset class investment strategy? *

Acknowledgment *

☐ I have read and understand the above information
Investment Opportunity Information

1. Product *

2. Product AUM in USD (In Millions– One billion entered as 1000) *

3. Geographic Focus *

4. Strategy *

5. Sub-Strategy *

6. Product Inception Date *

7. Number of Investment Professionals on Product *
Investment Opportunity Information, continued

8. Investment Objective Description

9. Investment Process Description

10. What makes your strategy or investment approach unique from your competitors?
Investment Terms

1. **Product Capacity in USD** (In Millions- One billion entered as 1000) *

2. **Minimum Commitment Amount - USD** (In Millions- One billion entered as 1000) *

3. **Initial Lock Up Period (In Years)**

4. **Management Fee (Percentage)**

5. **Performance Fee (Percentage)**

6. **Other Fee Narrative**

7. **Does your Firm Offer Separately Managed Accounts?** *
   - None
   - Yes

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Experience and Disclosures

8. Describe your firm's experience working with pension or endowment funds. *

9. Describe your firm's capability to manage a sizable new investment in relation to the firm's existing AUM *

10. Describe how your firm integrates environmental, social, and/or governance (ESG) considerations into your firm's investment philosophy or strategies. *

11. Describe your firm's Core Values. *

12. List any existing relationships, contracts, or business activities that involve CalPERS, or its Board Members. *
Realized Return

1. Please identify the As of Date for the Returns provided below. *

(DD/MM/YYYY)

2. Product Benchmark *

3. Percent of product assets in composite *

4. 3 Year Annualized Gross Excess Return (Percentage)

5. 3 Year Tracking Error

6. 3 Year Information Ratio

7. 5 Year Annualized Gross Excess Return (Percentage)
Realized Return, continued

8. 5 Year Tracking Error

9. 5 Year Information Ratio

10. Since Inception Annualized Gross Excess Return (Percentage) *

11. Since Inception Tracking Error *

12. Since Inception Information Ratio
### Historical Return Information

**CalPERS**
Trust Level Portfolio Management

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<th>Historical Return Information</th>
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1. **Calendar Year**

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2. **Product Benchmark**

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3. **Product Assets (Millions - One billion entered as 1000)**

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4. **Product Gross Return (Percentage)**

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5. **Benchmark Gross Return (Percentage)**

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