

CaIPERS

HIPAA Transaction Set Standard Dental Companion Guide

**Refers to the X12N Implementation Guide 005010X220A1
Version 5, Release 1:
834 – Benefit Enrollment and Maintenance,
Technical Report · Type 3**

Companion Guide Version Number: 4.1

Disclosure Statement

This companion guide is intended only to assist carriers with information that CalPERS' supplies to the Carriers for the Benefit and Enrollment transaction (834). This document is not an implementation guide. This guide only addresses:

- information we are required to or allowed to send you
- information identified as mutually defined
- information as defined by the payer

Carriers must use the American National Standards Institute (ANSI) ASC X12 Standards for Electronic Data Interchange Technical Report Type 3 Benefit Enrollment and Maintenance (834) for complete instructions on using this transaction set. This companion guide does not modify any of the requirements of the Technical Report. Transactions include all the information identified in the Benefit and Enrollment transaction set that is required and all information agreed upon by CalPERS and our Trading Partners.

Preface

This companion guide to the ANSI ASC X12 Standards for Electronic Data Interchange Technical Report Type 3 Benefit Enrollment and Maintenance (834) adopted under HIPAA clarifies and specifies the data content being sent when data is electronically transmitted between CalPERS and our carriers. Transmissions based on this Companion Guide, used in tandem with ANSI ASC X12 Standards for Electronic Data Interchange Technical Report Type 3 Benefit Enrollment and Maintenance (834) are compliant with both X12 syntax and the HIPAA adopted ANSI ASC X12 Standards for Electronic Data Interchange Technical Report Type 3 Benefit Enrollment and Maintenance (834). The Companion Guide is not intended to convey information that in any way exceeds the requirements or usages of data expressed in the Implementation Guides.

Revision History

Date	Revision Number	Revision Description
September 2016	4.1	<p>Table Section One:</p> <ul style="list-style-type: none"> • Removed from Loop 2000, 357 being sent for Subscriber/Family cancellations. • Added for Loop 2300 DTP01 <ul style="list-style-type: none"> ○ 349 – sent for Subscriber/Family cancellations and all cancellations/termination/delete dependents. ○ 349 = Benefit End <p>Code Mapping Table:</p> <ul style="list-style-type: none"> • Loop 2000 Segment, INS04 – removed 357 and replaced with 07. • Loop 2000 Segment, DTP01 – removed 357 and replaced with See Loop 2300. Changed Eligibility End to Benefit End <p>Loop 2300 Segment, DTP01 – added Delete Dependent and Change Health Plan reason category to Cancel Coverage (349 – Benefit End)</p>
October 2015	4.0	<p>Table Section Two:</p> <ul style="list-style-type: none"> • Reason Codes <ul style="list-style-type: none"> ○ Deleted 825, 826, 829, 830, 899
September 2015	3.9	<p>Table Section One:</p> <ul style="list-style-type: none"> • INS07, COBRA Qualifying <ul style="list-style-type: none"> ○ Clarified INS07 is only sent for COBRA <i>Subscriber</i> • Loop 2100A, PER01 Contact Function Code <ul style="list-style-type: none"> ○ Removed “Subscriber” reference – phone is sent for dependents as well, if available • Loop 2000, Member Level Dates <ul style="list-style-type: none"> ○ Added note: <i>Note: Per the 5010 standard, Subscriber/Family cancellations of coverage are sent in this loop. Dependent terminations are sent in loop 2300, DTP.</i> ○ Revised note for DTP01: <i>357 sent for Subscriber/Family cancellations.</i> • Loop 2300, Health Coverage Dates <ul style="list-style-type: none"> ○ Revised note: <i>Note: Per the 5010 standard, dependent terminations are sent here. Subscriber/Family cancellations are sent in Loop 2000, DTP.</i> ○ Revised note for DTP01: <i>349 sent for dependent deletes.</i> <p>Table Section Two:</p> <ul style="list-style-type: none"> • Reason Codes <ul style="list-style-type: none"> ○ Added 219, 817, 825, 826, 829, 830, 899, 900, 906 ○ Renamed 407, 800 • Loop 2000, DTP01 <ul style="list-style-type: none"> ○ Added COBRA clarifications for 301, 340, 341 • Loop 2300, DTP01 <ul style="list-style-type: none"> ○ Added 349
December 2013	3.8	<p>Table Section Two:</p> <ul style="list-style-type: none"> • REF01 = Code ZZ, Reason Code <ul style="list-style-type: none"> ○ Added 173, 326, 327
July 2012	3.7	<p>Table Section One: Retirement System Address Corrections</p>

Date	Revision Number	Revision Description
April 2012	3.6	<p>Updates for MyCalPERS Implementation</p> <p>Table Section One:</p> <ul style="list-style-type: none"> • ISA06, Interchange Sender ID <ul style="list-style-type: none"> ○ Updated to Carrier Specific • ISA15, Usage Indicator <ul style="list-style-type: none"> ○ Removed internal directed comment • GS02, Application Senders Code <ul style="list-style-type: none"> ○ Updated to Carrier Specific • BGN02, Reference Identification <ul style="list-style-type: none"> ○ Added System Generated File Sequence Number • BGN03, Date <ul style="list-style-type: none"> ○ Added Use • BGN06, Reference Identification <ul style="list-style-type: none"> ○ Removed internal directed comment • BGN08, Action Code <ul style="list-style-type: none"> ○ Added option of RX (Replace) • Loop 2000, INS03: <ul style="list-style-type: none"> ○ Updated bulleted content • Loop 2000, INS04: <ul style="list-style-type: none"> ○ Corrected CalPERS Maintenance Reason Codes and comments • Loop 2000, INS07: <ul style="list-style-type: none"> ○ Cobra Qualifying Event Code – Updated comments • Loop 2000, INS11: <ul style="list-style-type: none"> ○ Updated comments • Loop 2000, REF02: Payroll Office Code <ul style="list-style-type: none"> ○ Added new codes, T = STRS Survivors No Allowance, and Z = Conversion • Loop 2000, REF02: External Plan Code + Party Rate <ul style="list-style-type: none"> ○ Updated comments • Loop 2000, REF02: Reason Code <ul style="list-style-type: none"> ○ Updated Comments • Loop 2000, REF02: CalPERS Health Enrollment Activity Code <ul style="list-style-type: none"> ○ Updated Comments • Loop 2000, DTP03: Event Date <ul style="list-style-type: none"> ○ Updated COBRA example dates • Loop 2100A, N301: Street Address <ul style="list-style-type: none"> ○ Clarified when CalPERS sends the physical and mailing addresses • Loop 2100A, N403: Postal Code <ul style="list-style-type: none"> ○ Updated Comments • Loop 2100A, N404: Country Code <ul style="list-style-type: none"> ○ Added Situational Rule for sending a country code when there is no country code. • Loop 2100B: Incorrect Member Name <ul style="list-style-type: none"> ○ Added comments regarding multiple name changes per day • Loop 2100C, N301: Mailing Address <ul style="list-style-type: none"> ○ Clarified when CalPERS sends the physical and mailing addresses • Loop 2100C, N403: Postal Code <ul style="list-style-type: none"> ○ Updated Comments • Loop 2100C, N404: Country Code <ul style="list-style-type: none"> ○ Added Situational Rule for sending a country code when there is

Date	Revision Number	Revision Description
		<p>no country code.</p> <ul style="list-style-type: none"> • Loop 2100G, N403: Responsible Person Postal Code <ul style="list-style-type: none"> ○ Updated Comments • Loop 2100G, N404: Country Code <ul style="list-style-type: none"> ○ Added Situational Rule for sending a country code when there is no country code. • Loop 2300: Health Coverage <ul style="list-style-type: none"> ○ Removed verbiage stating No HD loop will be sent for the subscriber if they have no change (INS04 = XN or REF03 = 00) • Loop 2300, DTP01: Health Coverage Date Time Qualifier <ul style="list-style-type: none"> ○ Added 357 sent for dependent deletes <p>Table Section Two:</p> <ul style="list-style-type: none"> • INS04: <ul style="list-style-type: none"> ○ Clarified ANSI value for Activity Code 51 ○ Removed Activity Code 7 (New Retired Status) ○ Added Reason Code 325 (26 Year Old Delete) ○ Corrected Reason Code 834 to 26 Year Old Delete – Batch ○ Corrected Reason Code 835 (COBRA Subscriber Death – Batch) • REF01 = Code 1L, Payroll Office Code <ul style="list-style-type: none"> ○ Added T, and Z <ul style="list-style-type: none"> ▪ T = STRS Survivors No Allowance ▪ Z = Conversion • REF01 = Code ZZ, Reason Code <ul style="list-style-type: none"> ○ Added Reason Code 325 (26 Year Old Delete) ○ Corrected Reason Code 834 to 26 Year Old Delete – Batch ○ Corrected Reason Code 835 (COBRA Subscriber Death – Batch) • REF01 = 3H, Activity Code <ul style="list-style-type: none"> ○ Removed Activity Code 7 (New Retired Status) • DTP01, Member Level Dates <ul style="list-style-type: none"> ○ Removed Activity Code 7 (New Retired Status)
May, 2011	3.5.1	Corrected Ref3H Table Header on page 28
May, 2011	3.5	<p>Table Section One:</p> <ul style="list-style-type: none"> • Loop 2000, INS03, Updated notes • Loop 2000, INS04, Updated notes • Loop 2000, Ref ZZ: <ul style="list-style-type: none"> ○ Deleted comments • Loop 2000, Ref 3H: <ul style="list-style-type: none"> ○ Deleted comments • Loop 2000, Ref ABB: <ul style="list-style-type: none"> ○ Updated comments • Loop 2100A, NM109 <ul style="list-style-type: none"> ○ Updated comments • Loop 2100A, Member Residence Street Address: <ul style="list-style-type: none"> ○ Changed from situational to “Required, always sent” • Loop 2100A, N406, Eligibility Zip Code <ul style="list-style-type: none"> ○ Deleted • Loop 2100B, Incorrect Member Name: <ul style="list-style-type: none"> ○ Added comments for clarification • Loop 2100C, Mailing Address: <ul style="list-style-type: none"> ○ Changed from situational to “Required, always sent”

Date	Revision Number	Revision Description
		<ul style="list-style-type: none"> • Loop 2100D, Member Employer: <ul style="list-style-type: none"> ○ Deleted Section • Loop 2200, Disability Information: <ul style="list-style-type: none"> ○ Changed to clarify child dependent <p>Table Section Two:</p> <ul style="list-style-type: none"> ○ INS02: Removed unnecessary values ○ INS03: Removed unnecessary values ○ INS04: Removed unnecessary values ○ INS05: Removed unnecessary values ○ INS07: Removed unnecessary values ○ INS08: Removed unnecessary values ○ Loop 2000, REF1L: Removed unnecessary values ○ Loop 2000, REFZZ: Removed unnecessary values ○ Loop 2000, DTP: Removed unnecessary values ○ Loop 2300, HD: Removed unnecessary values ○ Loop 2300, DTP: Removed unnecessary values
April, 2011	3.4	Updated Standard with 005010X220A1 per the Errata dated June, 2010
April, 2011	3.3	<p>Table Section One:</p> <ul style="list-style-type: none"> • Loop 2000, INS04, Notes/Comments: <ul style="list-style-type: none"> ○ Added to Activity Code 14: "When Ref01 = ZZ, Ref02 will contain the original CalPERS reason code of the transaction. • Loop 2000, REF02, Notes/Comments: Removed last two bullets. • Loop 2000, REF02, Notes/Comments: Removed last bullet. • Loop 2000, REF02, Notes/Comments: <ul style="list-style-type: none"> ○ Added "REF3h = 51, 52 or 53. ○ Removed last two bullets • Loop 2000, DTP01, Notes/Comments: Added: <ul style="list-style-type: none"> ○ "Start and End dates are always sent with all COBRA related transactions." • Loop 2100A, PER05, Notes/Comments: Removed Notes/Comment • Loop 2100A, PER07, Notes/Comments: Removed Notes/Comment • Loop 2100A, N301, Notes/comments: Added: "Every transaction that is sent must include <i>the City, State, and zip code, so CalPERS will send the whole address. The mailing address is also mandatory. Loop 2100C, so CalPERS will also send the mailing address even if it is the same as this address.</i>" • Loop 2100B, NM108, Codes: Removed "ZZ + Mutually Defined" • Loop 2100C, N301, Notes/Comments: Added, "Every transaction <i>requires the City, State, and zip code for Loop 2100A, so CalPERS will send the whole address. The mailing address, Loop 2100C, is also mandatory so CalPERS will also send the mailing address even if it is the same as the address in loop 2100A.</i>" • Loop 2100G, PER05, Notes/comments: Removed Notes/Comment • Loop 2100G, PER07, Notes/comments: Removed Notes/Comment • Loop 2200, Notes/Comments: Changed references of "Disabled Member" to "Disabled <i>Child</i>" • Loop 2200, DTSP01, Notes/Comments: Changed, <ul style="list-style-type: none"> ○ 360 to "disability <i>certification</i> begin <i>date</i>" ○ 361 to "disability <i>certification</i> end <i>date</i>" • Loop 2310, Provider Information: <ul style="list-style-type: none"> ○ Deleted section. ○ Added: "Not Sent. The new system does not provide a place to

Date	Revision Number	Revision Description
		<p>input the Provider Information”.</p> <p>Table Section Two:</p> <ul style="list-style-type: none"> ○ INS03: Removed CalPERS Reason Categories ○ INS08: Updated ANSI Values Sent ○ DTP01: Added 51, 52, and 53 codes for clarification ○ Supplemental Address Type: Updated for all addresses ○
August, 2010	3.0	<p>Changes from the 5010 Version:</p> <ul style="list-style-type: none"> ▪ Call out of Carrier Differences in ISA05 and ISA06 ▪ GS08 – Version change to 005010X220A1 ▪ Loop 2000, REF01 & REF02 – Use of ABB ▪ Communication Number Qualifier – Change to using AP for International Phone Numbers from TE ▪ Communication Numbers – Will send up to three (3) with my CalPERS ▪ Loop 2100C – Subscriber mailing address sent if different from the residence address. ▪ Loop 2100C – Dependent address sent if different from the Subscriber residence address. ▪ Loop 2100D, NM108 & NM109 – Sent twice; first loop will be CalPERS Unique Employer ID, the 2nd loop will be the Employer Federal Tax ID ▪ Loop 2100D – Employer Communication Numbers sent if we have them ▪ Loop 2100D – Employer Mailing Address sent ▪ Loop 2100G – Financially Responsible Person’s Middle Initial and Name Suffix sent ▪ Loop 2100G - Financially Responsible Person’s Communication Numbers sent ▪ Loop 2100G - Financially Responsible Person’s Address sent
April 2012	3.6	Updates for MyCalPERS implementation

Introduction

The guide is broken into two sections. The first section describes the X12N Implementation Guides (IGs) adopted under HIPAA in detail with the use of a table. The second section of tables conveys the codes CalPERS uses. For example, the first section refers the user to the CalPERS table for INS02. Table INS02 in the second section contains the values used in INS02. The second section also gives the CalPERS values so when Carriers look at the on-line system they can understand what the codes mean.

CalPERS' sends files for both daily changes, and for full file audits. The daily change file is sent each business day, Monday through Friday after all batches have run for the day. The full audit file may be requested by each carrier and will be scheduled for a time when discrepancies can be worked by both the Carrier and CalPERS staff.

The daily change file contains a complete family record. We do not just send the change for a particular individual. For example, if we have a subscriber who is married with one child, and the subscriber is adding a third dependent, we will send the subscriber loop, then the first dependent (spouse) loop, then the second dependent loop, and finally, the third dependent loop. We indicate which person the change applies to by putting an XN in INS04 for each family member the transaction does NOT apply to. An example of the mapping follows*:

```
INS*Y*18*030*XN*A*E**FT~REF*0F*998988888~REF*1L*0~REF*DX*8233~REF*3H*00~NM1*IL*1*Last
name*First name*M***34*998988888~N3*111 Subscriber
Address~N4*SACRAMENTO*CA*95814~DMG*D8*19580314*M~NM1*ES*2*5415559*****ZZ*Department of
Boating and Waterways~
```

```
INS*N*01*030*XN*A*E***N~REF*0F*998988888~REF*1L*0~REF*DX*8233~REF*3H*00~NM1*IL*1* Last
name *Spouse first name****34*997977777~N3*111 Subscriber
Address~N4*SACRAMENTO*CA*95814~DMG*D8*19631001*F~
```

```
INS*N*19*030*XN*A*E***N~REF*0F*998988888~REF*1L*0~REF*DX*8233~REF*3H*00~NM1*IL*1* Last
name *Child first name~N3*111 Subscriber
Address~N4*SACRAMENTO*CA*95814~DMG*D8*20040126*M~
```

```
INS*N*09*021*05*A*E***N~REF*0F*998988888~REF*1L*0~REF*DX*8233~REF*ZZ*200~REF*3H*51~DT
P*356*D8*20090421~NM1*IL*1* Last name *Second child first name~N3*111 Subscriber
Address~N4*SACRAMENTO*CA*95814~DMG*D8*20090314*F~HD*021**HLT~DTP*348*D8*20090401~
```

The daily change file also contains multiple transactions. If a subscriber adds a dependent and changes their address on the same day, the daily change file contains two transactions for that subscriber.

*Note: No information in the above example represents any real person.

Section One

In this first section, the tables contain a row for each segment that CalPERS has something additional, over and above, or different from, the information in the IGs. That information can:

- ◆ Establish the repeat of loops, or segments,
- ◆ Establish that Dependent loops follow the Subscriber loop, where applicable,
- ◆ Establish the length of a simple data element,
- ◆ Specify a sub-set of the IGs internal code listings,
- ◆ Clarify the use of loops, segments, composite and simple data elements, and
- ◆ Any other information tied directly to a loop, segment, composite, or simple data element pertinent to electronic transactions with CalPERS.

In addition to the row for each segment, one or more additional rows are used to describe CalPERS' usage for composite and simple data elements and for any other information. Notes and comments are placed at the deepest level of detail. For example, a note about a code value is placed on a row specifically for that code value, not in a general note about the segment.

The following table specifies the detailed description of the ANSI ASC X12 Standards for Electronic Data Interchange Technical Report Type 3 Benefit Enrollment and Maintenance (834) agreed to by CalPERS and their Trading Partners.

LEGEND:

SHADED rows represent "segments" in the X12N Implementation Guide.

NON-SHADED rows represent "data elements" in the X12N Implementation Guide.

"LOOP – SPECIFIC" comments should be indicated in the first segment of the loop.

834 Guide Page #	Loop ID	Segment	Name	Codes	Notes/Comments
C4	NA		Interchange Control Header (ISA)		
C.4	NA	NA	Delimiters	Data element separator = asterisk * Sub-element separator = greater-than sign > Segment terminator = tilde ~	The 834 Implementation Guide (IG) specifies that the submitter (CalPERS) must specify the delimiters in the interchange header segment, ISA. We require that carriers use the delimiters we have specified.
C.4	NA	ISA05	Interchange ID Qualifier	ZZ or 30	Mutually Defined U.S. Federal Tax ID Number (EIN)
C.4	NA	ISA06	Interchange Sender ID	Carrier Specific	Carrier Specific.
C.5	NA	ISA07	Interchange ID Qualifier	ZZ or 30	Mutually Defined U.S. Federal Tax ID Number (EIN)
C.5	NA	ISA08	Interchange Receiver ID		As described by each carrier.
C.6	NA	ISA15	Usage Indicator	P – production data T – test data	
C.7	NA		Functional Group Header (GS)		
C.7	NA	GS02	Application Sender's Code	CalPERS EIN	Carrier Specific
C.7	NA	GS03	Application Receiver's Code	Carrier's EIN	As described by each carrier.

834 Guide Page #	Loop ID	Segment	Name	Codes	Notes/Comments
C.8	NA	GS08	Version/Release/Industry Identifier Code	Current version of the 834	Use 005010X220A1 .
31	NA		Transaction Set Header (ST)		
	NA	ST01	Transaction Set Identifier code	ST01 = 834	
		ST02	Transaction Set Control Number		Software Generated
32	NA		Beginning Segment		
32	NA	BGN01	Transaction Set Purpose Code	00 = first send 15 = resubmit 22 = info only	<ul style="list-style-type: none"> • CalPERS will send only one original transmission per day. • The daily file will be sent in the evening of that day. • 22 is sent when the original transmission was lost or not processed, and another transmission of that same file is sent.
33	NA	BGN02	Reference Identification		File Sequence Number.
33	NA	BGN03	Date		Uses CCYYMMDD format. File creation date.
33	NA	BGN04	Time	= 0000	Time is always set to 00:00 (12:00 midnight).
35	NA	BGN06	Reference Identification	If BGN01 = 15 or 22, then BGN06 will reference the previous file sequence number.	Used only for re-submission of a lost or incorrect file.
35	NA	BGN08	Action Code	2 = Change 4 = Verify RX=Replace	Use 2 for daily files. Use 4 for full files. RX not used.
36	NA		Transaction Set Policy Number		
36	NA	REF01	Reference Identification Qualifier	38 = Master Policy Number	Use 38 .
37	NA	REF02	Reference Identification	Master Policy Number	Put Master Policy Number.
37		DTP	File Effective Date		
37		DTP01	Date/Time Qualifier	090	Report Start
37		DTP02	Date Time Period Format Qualifier	D8	Date expressed in format CCYYMMDD
37		DTP03	Date Time Period		Report start date/time
39	1000A		Sponsor Name		
39	1000A	N101	Entity Identifier Code	P5 = Plan Sponsor	Use P5 .

834 Guide Page #	Loop ID	Segment	Name	Codes	Notes/Comments
40	1000A	N103	Identification Code Qualifier	F1 = EIN	Use F1
40	1000A	N104	Identification Code	CalPERS EIN	Send 946207465 .
41	1000B		Payer		
41	1000B	N101	Entity Identifier Code	IN = Insurer	Use IN .
41	1000B	N103	Identification Code Qualifier	F1 = EIN	Use F1 .
42	1000B	N104	Identification Code	Carrier's EIN	As described by each carrier.
47	2000		Member Level Detail		First loop is for Subscriber, following loops are for Dependents. Complete family records are sent when a change is made to 1+ family member.
48	2000	INS01	Yes/No Condition	Y = Subscriber N = Dependent	Y used when loop pertains to Subscriber. N used when loop pertains to Dependent.
49	2000	INS02	Individual Relationship Code	18 = Subscriber Use CalPERS INS02 Table for Dependent	18 in Subscriber loop Use the appropriate code from CalPERS INS02 table for Dependents.
49	2000	INS03	Maintenance Type Code	See CalPERS INS03 Table	MAINTENANCE TYPE CODE <ul style="list-style-type: none"> • 001 sent when reporting demographic changes. • 030 sent for Full File and for Complete Record where the enrollee has no change. • 001, 021 & 024 sent for enrollment changes. When an enrollee changes dental plans, the new carrier will receive 021. The terminating carrier will receive 024. • If the carrier chooses to use this segment, it cannot be used alone. For example, when we send 001 (change), this could be used for a Premium Payment Method change to or from direct pay or a demographic change. • CalPERS will send a full file upon carrier request allowing for schedule and time constraints of daily production
49	2000	INS04	Maintenance Reason Code	See CalPERS INS04 Table	MAINTENANCE REASON CODE <ul style="list-style-type: none"> • XN for notification only, used in the daily Change File or Full File to indicate that no change was made to enrollee. • 07 sent to terminate plan when Subscriber changes Dental plans. • 28 sent for new enrollments and when a Subscriber changes Dental plans. • 20 sent exclusively to identify end of Direct Pay and a return to deduction. • AI sent exclusively to identify new enrollment or change into DIRECT PAY. • 25 sent for most demographic changes. (Health Activity Codes 01, 02, 04, 06, 08, 10, 11 & 12) • 09 sent for new COBRA enrollments.

834 Guide Page #	Loop ID	Segment	Name	Codes	Notes/Comments
					<ul style="list-style-type: none"> • 43 Subscriber and Dependent address changes. • 52 sent to identify rescinded transactions. When Ref01 = ZZ, Ref02 will contain the original CalPERS reason code of the transaction. Since INS04 is not a required field, CalPERS will not send with Health Activity Code 5, 9, or 53 (Health Cert Dates, Relationship Change for Dependent, or Reapply transactions).
51	2000	INS05	Benefit Status Code	See CalPERS INS05 Table	
52	2000	INS07	COBRA Qualifying	See CalPERS INS07 Table	<p>COBRA QUALIFYING EVENT CODE.</p> <ul style="list-style-type: none"> • An initial COBRA QUALIFYING EVENT CODE is always sent for the Subscriber. However, the actual qualifying event code will only be sent for the initial COBRA enrollment. Once enrolled on COBRA, any subsequent transaction will have a ZZ – Mutually Defined value here. CalPERS will send a ZZ because we don't extract the actual qualifying event for transactions other than the initial enrollment. • For COBRA enrollments, a subsequent termination transaction will be sent at the same time. No other transaction will be generated for COBRA terminations unless they are terminated for a reason other than the COBRA qualification expiration
52	2000	INS08	Employment Status Code	See CalPERS INS08 Table	Required for Subscriber only.
53	2000	INS09	Student Status Code		Not Sent. CalPERS does not track student status or require it for eligibility.
53	2000	INS10	Handicap Yes/No Condition	Dependent Only Y = Yes N = No	<p>HANDICAP INDICATOR DEPENDENT LOOP:</p> <ul style="list-style-type: none"> • Y indicates Dependent is certified disabled. • N indicates Dependent is not certified disabled. • If yes, disability certification and expiration dates are sent in Loop 2200, DTP.
53	2000	INS11	Date Time Period Format Qualifier	D8 = Date Expressed in Format CCYYMMDD	D8 DEATH DATE sent in INS12 only if death is being reported.
54	2000	INS12	Date Time Period	DEATH_DATE	DEATH DATE of Subscriber is only reported when sending a health cancellation due to death. This death date is the same as the EVENT DATE in Loop 2000, DTP03. When we delete a dependent due to death, we will send the death date if we have it.
55	2000		Subscriber Number		
55	2000	REF01	Reference Identification Qualifier	OF = Subscriber Number	Send OF.

834 Guide Page #	Loop ID	Segment	Name	Codes	Notes/Comments
55	2000	REF02	Reference Identification	Subscriber SSN	SUBSCRIBER SSN is sent.
56	2000		Member Policy Number		
56	2000	REF01	Reference Identification Qualifier	1L	1L used to indicate Payroll Office Code will be sent in REF02.
56	2000	REF02	Reference Identification	See CalPERS Code 1L Table.	PAYROLL OFFICE CODE is sent. <ul style="list-style-type: none"> If the subscriber is on Direct Pay or COBRA, the value is S. To differentiate between Direct Pay and COBRA, see INS05. If INS05 is equal to "C" (COBRA), then the subscriber is enrolled in COBRA. If NS05 is not equal to "C" (COBRA), then the subscriber is enrolled in Direct Pay. With the new MyCalPERS System there are 2 new codes, R = Survivors of FFPO and Z = Conversion.
57	2000		Member Identification Number		CalPERS uses five Reference Identification Qualifiers, DX, ZZ, 3H, 6O, and ABB. They are listed below separately as pairs of Ref01 and Ref02.
58	2000	REF01	Reference Identification Qualifier	DX	DX indicates that EXTERNAL PLAN CODE + PARTY RATE are sent in REF02.
58	2000	REF02	Reference Identification	See CalPERS DX Table	EXTERNAL PLAN CODE + PARTY RATE 3 digit plan code and 1 digit party rate sent currently. <ul style="list-style-type: none"> External plan code and party rate tells you if the enrollment is for one party, two parties or a family.
58	2000	REF01	Reference Identification Qualifier	ZZ	ZZ indicates that the 3 digit CalPERS REASON CODE will be sent in REF02.
58	2000	REF02	Reference Identification	See the CalPERS ZZ Table for values.	CalPERS REASON CODE sent when we are reporting an enrollment change for the subscriber, (INS04 not equal to XN and REF3H = 51, 52, or 53).
57	2000	REF01	Reference Identification Qualifier	3H	3H indicates that the CalPERS Health Enrollment Activity Code will be sent in REF02.
57	2000	REF02	Reference Identification	See CalPERS 3H Table	CalPERS HEALTH ENROLLMENT ACTIVITYCODE is always sent. We always send a "51" for daily event change transactions, a 52 for rescinded transactions and a 53 for reapplied transactions. <ul style="list-style-type: none"> Values of 01 through 12 identify specific demographic changes. A separate demographic transaction will be sent for each type of change, even if they are all done in one day. For example, a name change and an address change processed for the same individual will generate 2 separate transactions.

834 Guide Page #	Loop ID	Segment	Name	Codes	Notes/Comments
					<ul style="list-style-type: none"> • 00 sent to indicate there was no change to this person, when INS04 = XN.
58	2000	REF01	Reference Identification Qualifier	60	60 indicates that the QUALIFYING SSN will be sent in REF02.
58	2000	REF02	Reference Identification		<ul style="list-style-type: none"> • QUALIFYING SSN is sent only if it exists. Qualifying SSN is a cross reference SSN for COBRA enrollees and survivors. It's the original subscriber that qualified this person for dental coverage. • Qualifying SSN is not the same as Financially Responsible SSN which is sent in Loop 2100G, NM1.
58	2000	REF01	Reference Identification Qualifier	ABB	CalPERS Unique Identifier will be sent in REF02
58	2000	REF02	Reference Identification		CalPERS Unique Identifier is a 10 digit number assigned to all subscribers, dependents, and business partners.
58	2000	REF01	Reference Identification Qualifier	P5	Retirement System
58	2000	REF02	Reference Identification		Sent for Retirees, COBRA enrollees, and Survivors. Values include CalPERS, CalSTRS, JRS I, JRS II, LRS, Other, and Military Retirement System.
59	2000		Member Level Dates		Note: Per the 5010 standard, Subscriber/Family cancellations of coverage are sent in loop 2300, DTP. Includes all cancellations/termination/delete dependents.
59	2000	DTP01	Date/Time Qualifier		<p>DATES are sent in DTP03.</p> <ul style="list-style-type: none"> • 356 sent for all new enrollments, addition of dependents, plan changes (new plan) and all changes to direct pay • 303 sent for address changes and retirement dates • If there is a change effecting the benefit, Ref 3H = 51, 52, or 53. See CalPERS ZZ table for reason codes (series 100 through 800) and unique reason codes. If no change to enrollee, INS04 = XN. If the health enrollment activity code = 01 through 12, or 91, then THERE IS NO DTP (Event Date). • 340 sent for COBRA begins. If COBRA (INS05 = C) then loop repeats for COBRA start date and COBRA end date. • 341 sent for COBRA end. Start and End dates are always sent with all COBRA transactions.
60	2000	DTP02	Date/Time Period Format Qualifier	D8	D8 DATES expressed in CCYYMMDD format.

834 Guide Page #	Loop ID	Segment	Name	Codes	Notes/Comments
60	2000	DTP03	Date Time Period	Event Date	<p>EVENT DATE represents the date of a qualifying event. Example, marriage, divorce, adoption and birth dates.</p> <ul style="list-style-type: none"> In case of termination/deletions, the effective date will be sent here. No Loop 2300, HD is sent for cancels (per pages 5/6 of 5010 Standard). COBRA START DATE is the original start date and may be different from the effective date. Example: COBRA enrollment from 01/01/2011 to 07/01/2013 with Plan A. Open enrollment change to Plan B effective 01/01/2012. COBRA START DATE will always be 01/01/2011. Effective date sent in Loop 2300 will be 01/01/2012. COBRA END DATE is the original end date. If COBRA must be extended, then current COBRA enrollment is cancelled and a new COBRA enrollment is processed with extended dates. Per above example, COBRA end date is always 07/01/2013.
61	2100A		Member Name		Subscriber info is ALWAYS required.
62	2100A	NM101	Entity Identifier Code	74 or IL (See CalPERS 3H Table)	<ul style="list-style-type: none"> 74 is designated to correct the Name, SSN, gender or birth date for a member already enrolled. If the 74 is used, loop 2100B, NM101 must be populated with a 70 and the subsequent NM segments populated with the First and Last names, Middle Initial and the SSN. IL = Subscriber SSN
63	2100A	NM102	Entity Type Qualifier	1	1 Sent for PERSON.
63	2100A	NM103	Name Last		LAST NAME.
63	2100A	NM104	Name First		FIRST NAME.
63	2100A	NM105	Name Middle		MIDDLE NAME (Optional).
63	2100A	NM106	Name Prefix		Not Used.
63	2100A	NM107	Name Suffix		NAME SUFFIX (Optional).
63	2100A	NM108	Identification Code Qualifier		ENROLLEE SSN sent in NM109.
63	2100A	NM109	Identification Code		ENROLLEE SSN – For Subscribers, this is the same as the Subscriber SSN sent in Loop 2000, REF01. The Dependent loop contains the Dependent's SSN, if one is available.
66	2100A		Member Communications Numbers		PHONE NUMBER sent only if available. A phone number addition or change will not trigger a transaction; rather, the most current phone number(s) (if available) will be included for each transaction. .
66	2100A	PER01	Contact Function Code	IP	IP If available, the phone number is sent in PER04.

834 Guide Page #	Loop ID	Segment	Name	Codes	Notes/Comments
66	2100A	PER03	Communication Number Qualifier	HP = Home Phone WP = Work Phone CP = Cell Phone AP = International Phone	AP sent exclusively to identify international phone number. Since international phone numbers are a free form field, not all carriers can accommodate this type of phone number. If this is the case, the carrier may identify such phone numbers by looking for a qualifier of "AP".
66	2100A	PER04	Communication Number		AREA CODE + PHONE NUMBER International phone number could be sent.
66	2100A	PER05	Communication Number Qualifier	HP = Home Phone WP = Work Phone CP = Cell Phone AP = International Phone	
67	2100A	PER06	Communication Number		AREA CODE + PHONE NUMBER International phone number could be sent.
67	2100A	PER07	Communication Number Qualifier	HP = Home Phone WP = Work Phone CP = Cell Phone AP = International Phone	
67	2100A	PER08	Communication Number		AREA CODE + PHONE NUMBER International phone number could be sent.
68	2100A		Member Residence Street Address		Required; always sent
68	2100A	N301	Address Information	Street Address	STREET ADDRESS - Carrier Decision Point. <ul style="list-style-type: none"> • Every transaction that is sent must include the City, State, and zip code, so CalPERS will send the address. Most carriers have opted to ignore the address segment on regular transactions and overlay the address only when REF3H = 3 or 15 indicating that we are sending an actual address change transaction. • We will send CalPERS' designated mailing address here unless there is also a physical address; then we will send both the physical and mailing address (loop 2100C). Those carriers looking at MyCalPERS via the Internet will see this designation,
68	2100A	N302	Address Information	See CalPERS N302 Table	SUPPLEMENTAL ADDRESS CODE
69	2100A		Member Residence City, State, Zip		
69	2100A	N401	City Name	City	CITY.
69	2100A	N402	State Code	State	STATE.
70	2100A	N403	Postal Code	Zip Code	Zip Code and International Postal Code. <ul style="list-style-type: none"> • For USA and Canada we send the 5 digit zip code. If we have the plus4 or plus2 numbers we will send them also.

834 Guide Page #	Loop ID	Segment	Name	Codes	Notes/Comments
					<ul style="list-style-type: none"> If a foreign address, the foreign postal code will be sent, if available.
70	2100A	N404	Country Code		COUNTRY CODE required only if the country is not the USA.
70	2100A	N407	Country Subdivision Code		Not Sent - CalPERS doesn't track foreign addresses to this level
71	2100A		Member Demographics		
71	2100A	DMG01	Date Time Period Format Qualifier	D8	D8 sent confirms BIRTHDATE sent in DMG02.
71	2100A	DMG02	Date Time Period	BIRTHDATE	BIRTHDATE.
72	2100A	DMG03	Gender Code	SEX	SEX. <ul style="list-style-type: none"> "UNKNOWN" may be sent for some dependents, never a Subscriber.
86	2100B		Incorrect Member Name		If there is more than one <u>name</u> correction in a given day, the prior information fields will not contain the incorrect information. The new system is not capable of extracting the old information.
86	2100B	NM101	Entity Identifier Code	70	70 - Prior Incorrect Insured indicates identifying or demographic information on an enrollee is being corrected.
87	2100B	NM102	Entity Type Qualifier	1	1 sent to indicate a PERSON.
87	2100B	NM103	Name Last		PRIOR LAST NAME (If REF 3H = 2) If last name didn't change, send current last name.
87	2100B	NM104	Name First		PRIOR FIRST NAME (If REF 3H = 2) If first name didn't change, send current first name.
87	2100B	NM105	Name Middle		PRIOR MIDDLE NAME (If REF 3H = 2) If middle name didn't change, send current middle name.
87	2100B	NM107	Name Suffix		PRIOR NAME SUFFIX (If REF 3H = 2) If not available, sent as blank.
87	2100B	NM108	Identification Code Qualifier	34 = SSN	34 send PRIOR SSN (If REF3H = 1) in NM109. Otherwise, sent as blank.
88	2100B	NM109	Identification Code		PRIOR SSN sent (If REF3H = 1). Otherwise, sent as blank.
89	2100B		Incorrect Member Demographics		Following segments sent only if correcting sex or birth dates
89	2100B	DMG01	Date Time Period Format Qualifier	D8	D8 sent confirms the date sent in DMG02 is CCYYMMDD.
90	2100B	DMG02	Date Time Period		PRIOR BIRTHDATE sent if (REF3H = 6). Current birth date sent if there was no change to birth date, but change to sex.
90	2100B	DMG03	Gender Code		PRIOR SEX sent if (REF3H = 4). Current sex will be sent if there was no change to sex, but change to birth date.

834 Guide Page #	Loop ID	Segment	Name	Codes	Notes/Comments
92	2100C		Member Mailing Address		Situational, send when Subscriber mailing address is different from the residence address. Sent for Dependent address changes also.
92	2100C	NM101	Entity Identifier Code	31	Postal Mailing Address
92	2100C	NM102	Entity Type Qualifier	1	Person
94	2100C	N301	Address Information	Mailing Address	MAILING ADDRESS - Carrier Decision Point. <ul style="list-style-type: none"> • Every transaction that is sent must include the City, State, and zip code, so CalPERS will send the address. Most carriers have opted to ignore the address segment on regular transactions and overlay the address only when REF3H = 3 or 15 indicating that we are sending an actual address change transaction. • We will send CalPERS' designated mailing address here only if there is also a physical address. Those carriers looking at MyCalPERS via the Internet will see this designation
94	2100C	N302	Address Information	See CalPERS N302 Table	SUPPLEMENTAL ADDRESS CODE
95	2100C		Member Residence City, State, Zip		
95	2100C	N401	City Name	City	CITY
95	2100C	N402	State Code	State	STATE
96	2100C	N403	Postal Code	Zip Code	Zip Code and International Postal Code. <ul style="list-style-type: none"> • For USA and Canada we send the 5 digit zip code. If we have the plus4 or plus2 numbers we will send them also. • If a foreign address, the foreign postal code will be sent, if available.
96	2100C	N404	County Code		COUNTRY CODE <ul style="list-style-type: none"> • Required only if the country is not the USA. • Although not all foreign countries have a country code, the situational rule requires one that is at least 2 characters. For foreign countries without a country code, we will send 00.
96	2100C	N407	Country Subdivision Code		Not Sent - CalPERS doesn't track foreign addresses to this level
97	2100D		Member Employer		Since all Subscribers are State Retirees, Employer loops not sent.
123	2100G		Responsible Person		Financially Responsible Name/SSN is sent only when survivor children are eligible for health benefits, but a third party must pay. This is not the same as the Qualifying SSN.

834 Guide Page #	Loop ID	Segment	Name	Codes	Notes/Comments
					The Qualifying SSN is for the deceased person who qualified the children for benefits. The Financially Responsible SSN is the third party who pays the premiums.
123	2100G	NM101	Entity Identifier Code	QD =Guardian	QD sent to identify the Responsible Party.
124	2100G	NM102	Entity Type Qualifier	1	1 sent to indicate Person.
124	2100G	NM103	Name Last		FINANCIALLY RESPONSIBLE NAME sent on both NM103 and NM104 since CalPERS does not differentiate between person and organization. As a result, First Name and Last Name will be in the same field.
124	2100G	NM104	Name First		Same as FINANCIALLY RESPONSIBLE NAME Above.
124	2100G	NM105	Name Middle		MIDDLE NAME (Optional).
124	2100G	NM106	Name Prefix		Not Used.
125	2100G	NM107	Name Suffix		NAME SUFFIX (Optional).
125	2100G	NM108	Identification Code Qualifier	34	34 sent to confirm the SSN of the Financially Responsible Person follows in NM109.
125	2100G	NM109	Identification Code		FINANCIALLY RESPONSIBLE PERSON'S SSN sent when different from Subscriber and person is not covered on enrollment.
126	2100G		Responsible Person Communications Numbers		PHONE NUMBER sent only if available. The phone number addition or change will not trigger a transaction; rather, the most current phone number(s) (if available) will be included whenever we report any other health change for the member.
127	2100G	PER01	Contact Function Code	RP	RP If available, the financially responsible person's phone number is sent in PER04.
127	2100G	PER03	Communication Number Qualifier	HP = Home Phone WP = Work Phone CP = Cell Phone AP = International Phone	AP sent exclusively to identify international phone number. Since international phone numbers are a free form field, not all carriers can accommodate this type of phone number. If this is the case, the carrier may identify such phone numbers by looking for a qualifier of "AP".
127	2100G	PER04	Communication Number		AREA CODE + PHONE NUMBER International phone number could be sent.
127	2100G	PER05	Communication Number Qualifier	HP = Home Phone WP = Work Phone CP = Cell Phone AP = International Phone	
128	2100G	PER06	Communication Number		AREA CODE + PHONE NUMBER International phone number could be sent.
128	2100G	PER07	Communication Number Qualifier	HP = Home Phone WP = Work Phone CP = Cell Phone AP = International	

834 Guide Page #	Loop ID	Segment	Name	Codes	Notes/Comments
				Phone	
128	2100G	PER08	Communication Number		AREA CODE + PHONE NUMBER International phone number could be sent.
129	2100G		Responsible Person Street Address		
129	2100G	N301	Address Information	Street Address	STREET ADDRESS
130	2100G	N401	City Name	City	CITY
131	2100G	N402	State Code	State	STATE
131	2100G	N403	Postal Code	Zip Code	Zip Code and International Postal Code. <ul style="list-style-type: none"> For USA and Canada we send the 5 digit zip code. If we have the plus4 or plus2 numbers we will send them also. If a foreign address, the foreign postal code will be sent, if available.
131	2100G	N404	County Code	Country Code	COUNTRY CODE <ul style="list-style-type: none"> Required only if the country is not the USA. Although not all foreign countries have a country code, the situational rule requires one that is at least 2 characters. For foreign countries without a country code, we will send 00.
137	2200		Disability Information		Situational, sent when enrolling a disabled child or when disability info is added or changed for a disabled child.
137	2200	DSB01	Disability Type Code	1 = Short Term Disability 2 = Long Term Disability 3 = Permanent or long term Disability 4 = No Disability	A "2" is the only value sent.
139	2200		Disability Eligibility Dates		DISABILITY CERTIFICATION AND EXPIRATION DATES sent for disabled Dependents. <ul style="list-style-type: none"> We are sending two dates. A disability certification date and an expiration date. If the carrier cannot accommodate both dates, then it's recommended that they use the expiration date.
139	2200	DTP01	Date/Time Qualifier	360 = Disability Begin 361 = Disability End	This loop will be sent two times. <ul style="list-style-type: none"> 360 sent in first loop for disability certification begin date. 361 sent in second loop for disability certification end date.
139	2200	DTP02	Date Time Period Format Qualifier	D8	D8 defines format CCYYMMDD.
139	2200	DTP03	Date Time Period		

834 Guide Page #	Loop ID	Segment	Name	Codes	Notes/Comments
140	2300		Health Coverage		Situational, sent when enrolling a new member or when adding or changing coverage for an existing member. NOT SENT FOR TERMINATIONS. No HD loop will be sent for demographic changes, leaves, return from leave, retirement dates, or Medicare dates (refer to event date in Loop 2000 DTP).
140	2300	HD01	Maintenance Type Code	See CalPERS HD01 Table	MAINTENANCE TYPE CODE. <ul style="list-style-type: none"> • This is a required segment, so always sent. • 030 sent if INS04 = XN.
140	2300	HD03	Insurance Line Code		Use DEN for Dental.
143	2300		Health Coverage Dates		
143	2300	DTP01	Date Time Qualifier	See CalPERS DTP Table 348 = Benefit Begin 303 = Maintenance Begin 349 = Benefit End	<ul style="list-style-type: none"> • 348 sent for new enrollments, to add dependents, & plan changes (new plan). • 303 sent for beginning or ending direct pay. • 349 – sent for Subscriber/Family cancellations and all cancellations/termination/delete dependents.
144	2300	DTP02	Date Time Period Format Qualifier	D8	D8 defines format CCYYMMDD.
144	2300	DTP03	Date Time Period		EFFECTIVE DATE <ul style="list-style-type: none"> • Sent for newborns and adopted children, who are covered from birth or adoption date (Loop 2000.DTP event date). But effective date for premium change is the 1st of the month following the event date.
152	2310		Provider Information		Not Sent. The new system does not provide a place to input the Provider Information.

CODE MAPPING TABLES

Member Level Detail – Individual Relationship Code

Loop 2000, Segment INS, INS02

Mapping Between Dependent Type Code and and ANSI-834 Individual Relationship Code

CalPERS Dependent Type Value	CalPERS Dependent Type Definition	ANSI Code (INS02)	ANSI Code Definition
		18	Self
1	Spouse	01	Spouse
2	Natural Born Child	19	Child
3	Step Child	17	Stepson or Stepdaughter
4	Economically Dependent Child	19	Child
5	Adopted Child	09	Adopted Child
6	Domestic Partner	53	Life Partner
7	Domestic Partner Child	19	Child
15	Sibling	14	Brother or Sister

Member Level Detail – Maintenance Type Code

Loop 2000, Segment INS, INS03

Mapping Between REASON_CATEGORY and ANSI-834 Maintenance Type Code

CalPERS Reason Category	ANSI Code (INS03)	ANSI Code Definition
New Enrollment	021	Addition
Add Dependent	021	Addition
Delete Dependent	024	Cancellation
Change Health Plan	021 / 024	Addition / Cancellation
Cancel Coverage	024	Cancellation
Change Premium Payment Method	001	Change
Demographic Change	001	Change
Full Audit File	030	Audit or Compare

Member Level Detail – Maintenance Reason Code

Loop 2000, Segment INS, INS04

Mapping Between Health Enrollment Activity Code, Health Event Reason Category, and ANSI-834 Maintenance Reason Code

CalPERS Enrollment Activity Code	CalPERS Definition	ANSI Code (INS04)	ANSI Code Definition
1	New Subscriber SSN	25	Change in Identifying Data Elements
2	New Subscriber Name	25	Change in Identifying Data Elements
4	New Subscriber Sex	25	Change in Identifying Data Elements
6	New Subscriber Birth date	25	Change in Identifying Data Elements
8	New Dependent Name	25	Change in Identifying Data Elements
9	Dependent Relationship Change	25	Change in Identifying Data Elements
10	New Dependent Birth date	25	Change in Identifying Data Elements
11	New Dependent Sex	25	Change in Identifying Data Elements
12	New Dependent SSN	25	Change in Identifying Data Elements

CalPERS Enrollment Activity Code	CalPERS Definition	ANSI Code (INS04)	ANSI Code Definition
3	New Subscriber Address	43	Change of Location
15	Dependent Address Change	43	Change of Location; Only sent if different from the Subscriber.
52	Rescind	14	Voluntary Withdrawal
51	Daily Event Changes Extract	<ul style="list-style-type: none"> • See Below • XN 	<ul style="list-style-type: none"> • See Event Reason Category Below • Notification only; indicates which family member remains UNCHANGED.
91	Full Audit File	XN	Notification Only
00	No Change	XN	Notification Only
5	New Health Cert Date		No INS04 Sent
53	Reapply		No INS04 Sent

my CalPERS Health Event Reason Code	CalPERS Definition	ANSI Code (INS04)	ANSI Code Definition
100's – All reason codes beginning with "1"	New Enrollment	28	Initial Enrollment
100's – All reason codes beginning with "1" (DP)	New Enrollment – Direct Pay	AI	Plan Change
100's – All reason codes beginning with "1"	New Enrollment – COBRA	09	COBRA
200's – All reason codes beginning with "2"	Add Dependent(s)	28	Initial Enrollment
200	Birth/Adoption	02/05	Birth/Adoption
201	Marriage	32	Marriage
210	Medical Disability	21	Disability
300's – All reason codes beginning with "3"	Delete Dependent(s)	07	Eligibility End
300	Death	03	Death
302	Divorce	01	Divorce
308	Legal Separation	31	Legal Separation
324	26 Year Old Delete	07	Eligibility End
400's – All reason Codes beginning with "4"	Change Health Plans	28/07	Initial Enrollment/Termination
500's – All Reason codes beginning with "5"	Cancel Coverage	07	Termination of Benefits
526	Death	03	Death
537	COBRA Subscriber Death – Online	03	Death
700's – All reason codes beginning with "7"	Direct Pay Enrollment	AI	No Reason Given
700's – All reason codes beginning with "7"	Direct Pay Termination	20	Active
800's – All reason codes beginning with "8"	Batch Terminating Coverage	7	Termination
802	23 year old delete – Batch	08	Eligibility End
813	Subscriber Death	03	Death
828	Certification Dependent Delete – Batch	07	Eligibility End
834	26 Year Old Delete – Batch	07	Eligibility End
835	COBRA Subscriber Death – Batch	03	Death

my CalPERS Health Event Reason Code	CalPERS Definition	ANSI Code (INS04)	ANSI Code Definition
836	18 year old delete – Batch	07	Eligibility End
837	22 year old delete – Batch	07	Eligibility End

Member Level Detail – Benefit Status Code

Loop 2000, Segment INS, INS05

Mapping Between Eligibility Basis and ANSI-834 Benefit Status Code

CalPERS Eligibility Basis (Subscriber)	CalPERS Definition	ANSI Code (INS05)	ANSI Code Definition
2	Retired	A	Active
3	Survivor	S	Surviving Insured
4	New Contract Retiree	A	Active
5	New Contract Survivor	S	Surviving Insured
8	Survivor without Benefit	S	Surviving Insured
9	COBRA Qualifying Subscriber	C	COBRA
10	COBRA Qualifying Dependent	C	COBRA
11	COBRA Qualifying Subscriber New Contracting	C	COBRA
12	COBRA Qualifying Dependent New Contracting	C	COBRA
14	Survivor with Safety Officers	S	Surviving Insured
15	COBRA SSA Disabled	C	COBRA

Member Level Detail – COBRA Qualifying Code

Loop 2000, Segment INS, INS07

Mapping Between Health Enrollment Reason and ANSI-834 COBRA Qualifying Code

CalPERS Health Enrollment Reason	CalPERS Definition	ANSI Code (INS07)	ANSI Code Definition
133	Div/Sep/Mv from Household	5	Divorce
134	Death of Employee	4	Death
136	Loss of Dependent Status	7	Ineligible child
137	Senate Bill 761	1	Termination of employment
138	SSA Certified Disabled	1	Termination of employment
139	New Contract Agency Sub	1	Termination of employment
140	New Contract Agency Dep	1	Termination of employment
141	Agency No Longer Contract	1	Termination of employment
142	Other	1	Termination of employment
Any other Reason	See Reason Codes	ZZ	Mutually Defined

Member Level Detail – Employment Status Code

Loop 2000, Segment INS, INS08

Mapping Between Eligibility Basis and ANSI-834 Employment Status Code

CalPERS Eligibility Basis	CalPERS Definition	ANSI Code (INS08)	ANSI Code Definition
2	Retired	RT	Retired
3	Survivor	RT or FT	Retired or Full-Time
4	New Contracting Retiree	RT	Retired

CalPERS Eligibility Basis	CalPERS Definition	ANSI Code (INS08)	ANSI Code Definition
5	New Contracting Survivor		Not Sent
7	Past Employment	RT	Retired
8	Survivor no Benefits		Not Sent
9	COBRA Qualifying Subscriber	TE	Terminated
10	COBRA Qualifying Dependent	TE	Terminated
11	COBRA Qualifying Subscriber New Contracting	TE	Terminated
12	COBRA Qualifying Dependent New Contracting	TE	Terminated
14	Survivor with Safety Officer		Not Sent
15	COBRA SSA Disabled	TE	Terminated

Member Level Detail – Sending Payroll Code
Loop 2000, REF Segment, REF01 = Code 1L
 Used to track pay entity.

CalPERS Payroll Code	Subscriber Group
1	State Retired: <ul style="list-style-type: none"> • State Annuitants • District Agricultural Assoc. Annuitants • Legislative Annuitants • Military Department Annuitants
2	Retired Legislators (LRS)
3	State Retired Judges (JRS) <ul style="list-style-type: none"> • Appeals, Superior, Supreme, Senior Court Judges
4	State Retired STRS members
B	Retired Military Department (MRS)
E	Survivors of deceased employees under Section 22821
S	Subscriber - Direct Pay/COBRA
T	STRS Survivors No Allowance
Z	Conversion

Member Level Detail – Plan Code and Party Rate
Loop 2000, REF Segment, REF01 = Code DX
 3 Digit Plan Code and 1 Digit Party Rate

Plan Code – Unique for each Carrier

***Note: Plan codes are not included in this document.**

Party Rate	Party Rate
Employee Only	1
Employee and 1 Dependent	2
Employee and 2 or more dependents	3

Member Level Detail – Maintenance Reason Code
Loop 2000, REF Segment, REF01 = Code ZZ
Maintenance Reason Code

my CalPERS Reason Code	Reason Code Definition	Health Event Type	Health Reason Category
105	Open Enrollment Retirees New Enrollment	Open Enrollment	New Enrollment
109	Enroll Own right Retirees	New Enrollment	New Enrollment
110	Enroll Own right Survivor	New Enrollment	New Enrollment
112	Retirement	New Enrollment	New Enrollment
113	Deferred Retirement - Direct Pay Optional	New Enrollment	New Enrollment
114	Survivor	New Enrollment	New Enrollment
116	New contracting retiree	New Enrollment	New Enrollment
117	New contracting survivor	New Enrollment	New Enrollment
119	Pending Retirement	Continued Enrollment	New Enrollment
120	Deferred Retirement - JRS	New Enrollment	New Enrollment
122	Survivors under 21547	New Enrollment	New Enrollment
126	Spouse inelig, kids elig	New Enrollment	New Enrollment
128	Survivor Without Benefits	New Enrollment	New Enrollment
130	Special Enrollment Retirees	New Enrollment	New Enrollment
133	COBRA Div/Sep/Mv from Household	COBRA New Enrollment	New Enrollment
134	COBRA Death of Employee	COBRA New Enrollment	New Enrollment
136	COBRA Loss of Dependent Status	COBRA New Enrollment	New Enrollment
137	COBRA Senate Bill 761	COBRA New Enrollment	New Enrollment
138	COBRA SSA Certified Disabled	COBRA New Enrollment	New Enrollment
139	COBRA New Contract Agency Sub	COBRA New Enrollment	New Enrollment
140	COBRA New Contract Agency Dep	COBRA New Enrollment	New Enrollment
141	COBRA Agency No Longer Contract	COBRA New Enrollment	New Enrollment
142	COBRA Other	COBRA New Enrollment	New Enrollment
143	Admin: CSEA Survivor	New Enrollment	New Enrollment
144	Admin: CSEA Retiree	New Enrollment	New Enrollment
145	Surv Benefits Paid by ER	New Enrollment	New Enrollment
146	Re-enroll SES/PA FFPO Survivor	Continued Enrollment	New Enrollment
147	Loss of Coverage (Ret)	New Enrollment	New Enrollment
149	STRS Survivor No Allowance	New Enrollment	New Enrollment
152	Firefighter/Peace Offcr Surv	New Enrollment	New Enrollment

my CalPERS Reason Code	Reason Code Definition	Health Event Type	Health Reason Category
154	Open Enrollment Survivor New Enrollment	Open Enrollment	New Enrollment
156	Direct Pay ER Share age >65	New Enrollment	New Enrollment
157	Survivor Not Cov as Dependent	New Enrollment	New Enrollment
161	Deferred Retirement - Direct Pay Required	New Enrollment	New Enrollment
162	Enrollment Other - Retired	New Enrollment	New Enrollment
163	New contracting Survivor without Benefits	New Enrollment	New Enrollment
164	Late Coverage (Ret)	New Enrollment	New Enrollment
165	CalSTRS Retirement	New Enrollment	New Enrollment
166	State Retiree - Dental Enrollment	New Enrollment	New Enrollment
168	Deferred Retirement/Inactive - LRS	New Enrollment	New Enrollment
169	Pending Retirement - Deferred Retirees	Continued Enrollment	New Enrollment
171	Continued Elig: Survivor – Batch	New Enrollment	New Enrollment
172	Continued Elig: Survivor – Online	New Enrollment	New Enrollment
173	COBRA Dependent Eligibility Verification	COBRA New Enrollment	New Enrollment
200	Birth/placement	Add Dependent	Add Dependent
201	Marriage	Add Dependent	Add Dependent
202	Custody	Add Dependent	Add Dependent
203	Economically dependent	Add Dependent	Add Dependent
204	Loss of Coverage	Add Dependent	Add Dependent
205	Return from Military Leave	Add Dependent	Add Dependent
206	Open Enrollment Add Dep	Open Enrollment	Add Dependent
208	Court Order	Add Dependent	Add Dependent
209	Split Resolution	Add Dependent	Add Dependent
210	Medically Disabled	Add Dependent	Add Dependent
211	Health Statement	Add Dependent	Add Dependent
212	Add Dependent Other	Add Dependent	Add Dependent
213	Special Enrollment Dependent	Add Dependent	Add Dependent
215	Domestic Partner Add	Add Dependent	Add Dependent
216	Domestic Partner Child Add	Add Dependent	Add Dependent
218	New Contracting - Medically Disabled	Add Dependent	Add Dependent
219	Dependent Eligibility Verification Re-Enrollment	Add Dependent	Add Dependent
300	Death of Dependent	Delete Dependent	Delete Dependent
301	26year old delete	Delete Dependent	Delete Dependent
302	Divorce	Delete Dependent	Delete Dependent

my CalPERS Reason Code	Reason Code Definition	Health Event Type	Health Reason Category
303	Marriage of Dependent Child	Delete Dependent	Delete Dependent
304	Enroll Own Right Dependent	Delete Dependent	Delete Dependent
305	No longer certifiable	Delete Dependent	Delete Dependent
306	Ineligible dependent	Delete Dependent	Delete Dependent
307	Gains other coverage	Delete Dependent	Delete Dependent
308	Legal separation	Delete Dependent	Delete Dependent
309	Military - Del Dependent	Delete Dependent	Delete Dependent
310	Loss economic dependence	Delete Dependent	Delete Dependent
311	Optional Delete	Delete Dependent	Delete Dependent
312	Change of custody	Delete Dependent	Delete Dependent
313	Vacates household	Delete Dependent	Delete Dependent
314	Dual/split resolution	Delete Dependent	Delete Dependent
315	Del Dep-Ded Exceed Gross	Delete Dependent	Delete Dependent
316	Delete Dependent Other	Delete Dependent	Delete Dependent
318	Domestic Partner Term	Delete Dependent	Delete Dependent
319	Domestic Partner Child Term	Delete Dependent	Delete Dependent
320	Open Enrollment Delete Dependent	Open Enrollment	Delete Dependent
322	Delete Dependent-Did not certify economic status	Delete Dependent	Delete Dependent
323	Del Dep-Ded Exceed Gross (internal)	Delete Dependent	Delete Dependent
324	26 Year Old Delete	Delete Dependent	Delete Dependent
326	Amnesty Disenrollment	Delete Dependent	Delete Dependent
327	Dependent Eligibility Verification	Delete Dependent	Delete Dependent
400	Open Enrollment Change Health Plan	Open Enrollment	Change Plan
402	Move	Change Health Plan	Change Plan
403	Association membership	Change Health Plan	Change Plan
404	Out of association plan	Change Health Plan	Change Plan
405	Special Enrollment - Change Health Plan	Change Health Plan	Change Plan
406	Plan Chg-Ded Exceed Gross	Change Health Plan	Change Plan
407	Admin Transfer - Online	Change Health Plan	Change Plan
408	Network disrupt/Mbr approve	Change Health Plan	Change Plan
410	Change Health Plan Other	Change Health Plan	Change Plan
482	Plan Chg-Ded Exceed Gross (internal)	Change Health Plan	Change Plan
504	Delay in roll placement	Cancel Coverage	Cancellation
505	Subscriber request	Cancel Coverage	Cancellation
506	22816.7	Cancel Coverage	Cancellation

my CalPERS Reason Code	Reason Code Definition	Health Event Type	Health Reason Category
507	Appeal denied	Cancel Coverage	Cancellation
508	Disability app denied	Cancel Coverage	Cancellation
509	Deductions Exceed Gross	Cancel Coverage	Cancellation
510	Dual resolution	Cancel Coverage	Cancellation
511	Non-pay AB592	Cancel Coverage	Cancellation
513	1959 Survivor Allow term	Cancel Coverage	Cancellation
514	Cancellation Other	Cancel Coverage	Cancellation
517	CalPERS Terms Agency Contract - Online	Cancel Coverage	Cancellation
518	Agency Terms Health Contract - Online	Cancel Coverage	Cancellation
519	Agency Terms Retire Contract - Online	Cancel Coverage	Cancellation
520	Agency Terms Health Group - Online	Cancel Coverage	Cancellation
521	COBRA Due to Missed Payments	Cancel Coverage	Cancellation
524	COBRA Eligibility Expire-Online	Cancel Coverage	Cancellation
526	Subscriber Death	Cancel Coverage	Cancellation
528	Cancel SES/PA FFPO Survivor	Cancel Coverage	Cancellation
529	Cancel; PA/Sch Site Chg	Cancel Coverage	Cancellation
530	OE Cancel Coverage	Open Enrollment	Cancellation
532	Surv Dp no longer elig - Online	Cancel Coverage	Cancellation
534	Military Leave	Cancel Coverage	Cancellation
535	Reinstatement (Non-PERS)	Cancel Coverage	Cancellation
536	Subscriber Request - COBRA	Cancel Coverage	Cancellation
537	COBRA Subscriber Death - Online	Cancel Coverage	Cancellation
538	COBRA Health Contract Term - Online	Cancel Coverage	Cancellation
540	Agency Merger - No Med Group Available	Cancel Coverage	Cancellation
541	Carrier - COBRA Due to Missed Payments	Cancel Coverage	Cancellation
542	Carrier - Direct Pay Due to Missed Payments	Cancel Coverage	Cancellation
708	CSU Inactive	Change Premium Payment Method	Premium Payment Method Chg
713	Change to Deduction Other	Change Premium Payment Method	Premium Payment Method Chg
714	Change to Direct Pay Other	Change Premium Payment Method	Premium Payment Method Chg
716	Chg to deduct-Retirement	Change Premium Payment Method	Premium Payment Method Chg
719	Manual Rollover at age 65	Change Premium Payment Method	Premium Payment Method Chg
800	-Admin Transfer - Batch	Change Health Plan	Change Plan
802	26 year old delete - Batch	Delete Dependent	Delete Dependent

my CalPERS Reason Code	Reason Code Definition	Health Event Type	Health Reason Category
804	RIBS Exit (Re)marriage - Batch	Cancel Coverage	Cancellation
805	RIBS Exit Surv Child 18 - Batch	Cancel Coverage	Cancellation
806	RIBS Exit Exp bft/Divorce - Batch	Cancel Coverage	Cancellation
807	RIBS Exit Cancellation - Batch	Cancel Coverage	Cancellation
808	RIBS Exit Changed benefit - Batch	Cancel Coverage	Cancellation
809	RIBS Exit Actuarial Eqv Pd - Batch	Cancel Coverage	Cancellation
810	RIBS Exit Child 22 - Batch	Cancel Coverage	Cancellation
811	RIBS Exit Not Disabled - Batch	Cancel Coverage	Cancellation
813	Death of Subscriber - Batch	Cancel Coverage	Cancellation
814	chg to ded-retire - Batch	Change Premium Payment Method	Premium Payment Method Chg
817	Continued Elig: Employment - Batch	Continued Enrollment	Continued Eligibility
818	Continued Elig: Retirement - Batch	Continued Enrollment	Continued Eligibility
819	CalPERS terms agency contract - Batch	Cancel Coverage	Cancellation
820	Agency terms health contract - Batch	Cancel Coverage	Cancellation
821	Agency Terms Retire Contract - Batch	Cancel Coverage	Cancellation
822	Agency terms health group - Batch	Cancel Coverage	Cancellation
824	COBRA Eligibility Expire-Batch	Cancel Coverage	Cancellation
827	Batch Cancel Site Chg	Cancel Coverage	Cancellation
828	Certification Dependent Delete - Batch	Delete Dependent	Delete Dependent
833	Batch Surv Dep no longer elig	Cancel Coverage	Cancellation
834	-26 year old delete – Batch	Cancel Coverage	Cancellation
835	COBRA Health Contract Term - Batch	Cancel Coverage	Cancellation
836	18 year old delete - Batch	Delete Dependent	Delete Dependent
837	22 year old delete - Batch	Delete Dependent	Delete Dependent
838	Update CBU Benefits	Cancel Coverage	Cancel Coverage
839	COBRA Subscriber Death - Batch	Cancel Coverage	Cancellation
900	Address Update	Dependent Address Change	Demographic Update
906	Recertification of Disabled Dependent	Recertify Dependent	Demographic Update

Member Level Detail – Health Enrollment Activity Code
Loop 2000, REF Segment, REF01 = Code 3H
 Health Enrollment Activity Code

CalPERS Health Enroll Activity Code	my CalPERS Code Definition Health Activity Code
1	New Subscriber SSN
2	New Subscriber Name
3	New Subscriber Address
4	New Subscriber Sex
5	New Health Cert Date
6	New Subscriber Birthdates
8	New Dependent Name
9	Dependent Relationship Change
10	New Dependent Birth date
11	New Dependent Sex
12	New Dependent SSN
15	Dependent Address Change
51	Daily Event Change Extract
52	Rescind Transaction
53	Reapplied Transaction
91	Full Audit File
00	No change – sending family members as part of a complete record

Member Level Dates
Loop 2000, Segment DTP, DTP01
 Mapping between Health Enrollment Activity Code and Date/Time Qualifier

CalPERS Health Enrollment Activity Code	CalPERS Definition	ANSI Code	ANSI Date/Time Qualifier
3	New Subscriber Address	303	Maintenance Effective Date
15	Dependent Address Change	303	Maintenance Effective Date
0	No Change	Not Sent	
1	New Subscriber SSN	Not Sent	
2	New Subscriber Name	Not Sent	
4	New Subscriber Sex	Not Sent	
5	New Health Cert Date	Not Sent	
6	New Subscriber Birthdates	Not Sent	
8	New Dependent Name	Not Sent	
9	Dependent Relationship Change	Not Sent	
10	New Dependent Birth Date	Not Sent	
11	New Dependent Sex	Not Sent	
12	New Dependent SSA	Not Sent	
91	Full Audit File Extract	Not Sent	
51	Daily Event Change	See tables for	

CalPERS Health Enrollment Activity Code	CalPERS Definition	ANSI Code	ANSI Date/Time Qualifier
		Reason Category	
52	Rescind Health Event	See tables for Reason Category	
53	Reapply Health Event	See tables for Reason Category	

CalPERS Health Event Reason Code	CalPERS Definition	ANSI Code (DTP01)	ANSI Date/Time Qualifier
100's – All reason codes beginning with "1" except COBRA	New Enrollment	356	Eligibility Begin
100's – All COBRA reason codes beginning with "1"	COBRA New Enrollment	301	COBRA Qualifying Event
		340	COBRA Start Date
		341	COBRA End Date
200's – All reason codes beginning with "2"	Add Dependent(s)	356	Eligibility Begin
300's – All reason codes beginning with "3"	Delete Dependent(s)	See Loop 2300	Benefit End
400's – All reason Codes beginning with "4" except COBRA	Change Health Plans	356	Eligibility Begin
		See Loop 2300	Benefit End
		301	COBRA Qualifying Event
400's – All COBRA reason Codes beginning with "4"	COBRA Change Health Plan	340	COBRA Start Date
		341	COBRA End Date
500's – All Reason codes beginning with "5"	Cancel Coverage	See Loop 2300	Benefit End
700's – All reason codes beginning with "7"	Premium Payment Method = 2	297	Last Day Worked
	Premium Payment Method = 1	296	Return To Work
800's – All reason codes beginning with "8"	Batch Re-establishing Coverage	356	Eligibility Begin
	Batch Terminating Coverage	See Loop 2300	Benefit End
814	To Ded Retired	286	Retirement

Street Address
Supplemental Address Type

CalPERS Supplemental Address Type Code	CalPERS Definition
1	Conservator of
2	Guardian of
3	Custodian of
4	Cust-CA Uniform Trfr Minors
5	Executor of the Estate of
6	Administrator Estate of
7	Trustee of
8	For

9	Care of
---	---------

Health Coverage – Maintenance Type Code
Loop 2300, Segment, HD, HD01
 Mapping Between Reason Category and ANSI-834 Health Coverage Maintenance Type Code

CaIPERS Reason Category	ANSI Code	ANSI Code Definition
New Enrollment	021	Addition
Add Dependent	021	Addition
Change Health Plan	021	Addition
Change Premium Payment Method	001	Change
Continued Eligibility	001	Change
Note: For all reason categories when INS04 = XN	030	Audit or Compare
Full Audit File	030	Audit or Compare

Health Coverage Dates
Loop 2300, Segment, DTP, DTP01
 Mapping Between Reason Category and ANSI-834 Health Coverage Dates

CaIPERS Reason Category	ANSI Code	ANSI Code Definition
New Enrollment	348	Benefit Begin
Add Dependent	348	Benefit Begin
Change Health Plan	348	Benefit Begin
Change Premium Payment Method	303	Maintenance Begin
Continued Eligibility	303	Maintenance Begin
Cancel Coverage/Delete Dependent/Change Health Plan	349	Benefit End