CALIFORNIA FORM **700**
FAIR POLITICAL PRACTICES COMMISSION
A PUBLIC DOCUMENT

STATEMENT OF ECONOMIC INTERESTS

COVER PAGE

Date Received
Date Initial Filing Received
Official Use Only
JAN - 9 2015
Enterprise Compliance Division

Please type or print in ink.

NAME OF FILER (LAST)	(FIRST)	(MIDDLE)
BALDWIN MOODY	CAROL	ANN

1. Office, Agency, or Court

Agency Name *(Do not use acronyms)*
CALIFORNIA PUBLIC EMPLOYEES' RETIRMENT SYSTEM

Division, Board, Department, District, if applicable: INVESTMENT OFFICE

Your Position: SENIOR PORTFOLIO MANAGER

► If filing for multiple positions, list below or on an attachment. *(Do not use acronyms)*

Agency: _____ Position: _____

2. Jurisdiction of Office *(Check at least one box)*

- [x] State
- [ ] Multi-County _____
- [ ] City of _____
- [ ] Judge or Court Commissioner (Statewide Jurisdiction)
- [ ] County of _____
- [ ] Other _____

3. Type of Statement *(Check at least one box)*

- [x] **Annual:** The period covered is January 1, 2014, through December 31, 2014.
  -or-
  The period covered is ___/___/_____, through December 31, 2014.
- [ ] **Assuming Office:** Date assumed ___/___/_____
- [x] **Leaving Office:** Date Left 01 / 09 / 2015 *(Check one)*
  - ● The period covered is January 1, 2014, through the date of leaving office.
  - ○ The period covered is ___/___/_____, through the date of leaving office.
- [ ] **Candidate:** Election year _____ and office sought, if different than Part 1: _____

4. Schedule Summary

Check applicable schedules or "None."

► ***Total number of pages including this cover page:*** 4

- [x] **Schedule A-1** - *Investments* – schedule attached
- [ ] **Schedule A-2** - *Investments* – schedule attached
- [ ] **Schedule B** - *Real Property* – schedule attached
- [x] **Schedule C** - *Income, Loans, & Business Positions* – schedule attached
- [ ] **Schedule D** - *Income – Gifts* – schedule attached
- [ ] **Schedule E** - *Income – Gifts – Travel Payments* – schedule attached

-or-

- [ ] **None** - *No reportable interests on any schedule*

5. Verification

MAILING ADDRESS (Business or Agency Address Recommended - Public Document) STREET	CITY	STATE	ZIP CODE
400 Q STREET LPE 4830	SACRAMENTO	CA	95811

DAYTIME TELEPHONE NUMBER	E-MAIL ADDRESS
[redacted]	

SCHEDULE C
Income, Loans, & Business Positions
(Other than Gifts and Travel Payments)

CALIFORNIA FORM **700**
FAIR POLITICAL PRACTICES COMMISSION

Name
BALDWIN MOODY, CAROL A.

1. INCOME RECEIVED

NAME OF SOURCE OF INCOME
NATIONWIDE MUTUAL INSURANCE COMPANY

ADDRESS *(Business Address Acceptable)*
ONE NATIONWIDE PLAZA, COLUMBUS, OH43215

BUSINESS ACTIVITY, IF ANY, OF SOURCE
INUSRANCE AND FINANCIAL SERVICES

YOUR BUSINESS POSITION
CHIEF COMPLIANCE OFFICER (FORMER)

GROSS INCOME RECEIVED
- ☐ $500 - $1,000
- ☐ $1,001 - $10,000
- ☑ $10,001 - $100,000
- ☐ OVER $100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
- ☐ Salary
- ☐ Spouse's or registered domestic partner's income (For self-employed use Schedule A-2.)
- ☐ Partnership (Less than 10% ownership. For 10% or greater use Schedule A-2.)
- ☐ Sale of _____ *(Real property, car, boat, etc.)*
- ☐ Loan repayment
- ☐ Commission or
- ☐ Rental Income, *list each source of $10,000 or more*

_____ *(Describe)*

- ☑ Other Deferred Compensation from prior employer *(Describe)*

1. INCOME RECEIVED

NAME OF SOURCE OF INCOME
RENTAL PROPERTY

ADDRESS *(Business Address Acceptable)*
6733 E PLEASANT PLACE, PHILADELPHIA, PA

BUSINESS ACTIVITY, IF ANY, OF SOURCE
RENTAL INCOME

YOUR BUSINESS POSITION
LANDLORD

GROSS INCOME RECEIVED
- ☐ $500 - $1,000
- ☐ $1,001 - $10,000
- ☑ $10,001 - $100,000
- ☐ OVER $100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
- ☐ Salary
- ☐ Spouse's or registered domestic partner's income (For self-employed use Schedule A-2.)
- ☐ Partnership (Less than 10% ownership. For 10% or greater use Schedule A-2.)
- ☐ Sale of _____ *(Real property, car, boat, etc.)*
- ☐ Loan repayment
- ☐ Commission or
- ☑ Rental Income, *list each source of $10,000 or more*

_____ *(Describe)*

- ☐ Other _____ *(Describe)*

2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD

* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER*
_____

ADDRESS *(Business Address Acceptable)*
_____

BUSINESS ACTIVITY, IF ANY, OF LENDER
_____

HIGHEST BALANCE DURING REPORTING PERIOD
- ☐ $500 - $1,000
- ☐ $1,001 - $10,000
- ☐ $10,001 - $100,000
- ☐ OVER $100,000

INTEREST RATE
_____% ☐ None

TERM (Months/Years)
_____

SECURITY FOR LOAN
- ☐ None
- ☐ Personal residence
- ☐ Real Property _____ *Street address*

_____ *City*

- ☐ Guarantor _____
- ☐ Other _____ *(Describe)*

Comments: _____

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

Name

Baldwin Moody, Carol

aflac	Finance	$10,001 - $100,000	Stock	1/17/2013	
boeing	Industrials	$10,001 - $100,000	Stock	1/5/2013	
british american tobacco	Consumer	$10,001 - $100,000	Stock		
clorox	Consumer	$10,001 - $100,000	Stock	1/17/2013	
coca cola	Consumer	$10,001 - $100,000	Stock	1/17/2013	
colgate	Consumer	$10,001 - $100,000	Stock	1/17/2013	
csx	transportatin	$10,001 - $100,000	Stock	1/17/2013	
diageo	Consumer	$10,001 - $100,000	Stock		
emerson electirc	Industrials	$10,001 - $100,000	Stock	1/17/2013	
illinois tool works	Industrials	$10,001 - $100,000	Stock		
intel	Technology	$10,001 - $100,000	Stock	1/17/2013	
johnson & johnson	Consumer	$10,001 - $100,000	Stock	1/17/2013	
medtronic	Healthcare	$10,001 - $100,000	Stock	1/17/2013	
microsoft	Technology	$10,001 - $100,000	Stock		
nextera energy	Utilities	$10,001 - $100,000	Stock	1/17/2013	
nordstrom	Consumer	$10,001 - $100,000	Stock	8/13/2013	
northeast utilities	Utilities	$10,001 - $100,000	Stock	1/17/2013	
novartis	Healthcare	$10,001 - $100,000	Stock	1/5/2013	
occidential petroleum	Energy	$10,001 - $100,000	Stock	1/17/2013	
pearson plc	Consumer	$10,001 - $100,000	Stock	1/17/2013	
sanofi	Healthcare	$10,001 - $100,000	Stock	1/17/2013	
stanley black and decker	Consumer	$10,001 - $100,000	Stock	8/13/2013	
teva pharmaceuticals	Healthcare	$10,001 - $100,000	Stock	2/11/2013	
unilever	Consumer	$10,001 - $100,000	Stock	7/27/2013	
UPS	transportatin	$10,001 - $100,000	Stock	1/17/2013	
united technologies	Industrials	$10,001 - $100,000	Stock	1/17/2013	
vf corp	Consumer	$10,001 - $100,000	Stock		
yum brands	Consumer	$10,001 - $100,000	Stock	11/11/2013	
apd	Materials	$10,001 - $100,000	Stock	1/17/2013	8/12/2013
comcast	telecom	$2,000 - $10,000	Stock	1/17/2013	
anheuser busch	Consumer	$2,000 - $10,000	Stock		1/17/2013
apple	Technology	$2,000 - $10,000	Stock		1/17/2013
amphenol	Materials	$2,000 - $10,000	Stock		1/17/2013
astra zeneca	Healthcare	$10,001 - $100,000	Stock	1/17/2013	11/11/2013
bank of nova scotia	Finance	$10,001 - $100,000	Stock	1/17/2013	5/29/2013
basf	Consumer	$2,000 - $10,000	Stock		1/17/2013
bhp	Energy	$2,000 - $10,000	Stock		1/17/2013
bwa	Industrials	$2,000 - $10,000	Stock		1/17/2013
cameron corp	Energy	$2,000 - $10,000	Stock		1/17/2013
capital one financial	Finance	$2,000 - $10,000	Stock		1/17/2013
core-mark holding	Consumer	$2,000 - $10,000	Stock		1/17/2013
caterpillar	Industrials	$2,000 - $10,000	Stock		1/17/2013
celgene	Healthcare	$2,000 - $10,000	Stock		1/17/2013
conoco phillips	Energy	$2,000 - $10,000	Stock		1/17/2013
deere	Industrials	$2,000 - $10,000	Stock		1/17/2013
emc	Technology	$2,000 - $10,000	Stock		1/17/2013
enersys	technology	$2,000 - $10,000	Stock		1/17/2013
emerson electirc	Technology	$2,000 - $10,000	Stock	1/17/2013	2/11/2013
equifax	Finance	$2,000 - $10,000	Stock		1/17/2013
esterline technologies	Industrials	$2,000 - $10,000	Stock		1/17/2013
fei company	Industrials	$2,000 - $10,000	Stock		1/17/2013
google	Technology	$10,001 - $100,000	Stock		1/17/2013
general mills	Consumer	$10,001 - $100,000	Stock	1/17/2013	2/11/2013
gulfmark offshore	Energy	$2,000 - $10,000	Stock		1/17/2013
Nordstrom	Consumer	$2,000 - $10,000	Stock		
pearson plc	Consumer	$2,000 - $10,000	Stock		
vf corp	Consumer	$10,001 - $100,000	Stock		
yum brands	Consumer	$2,000 - $10,000	Stock		
Oxy pete	Energy	$2,000 - $10,000	Stock		
Aflac	Finance	$2,000 - $10,000	Stock		
ares capital corp	Finance	$2,000 - $10,000	Stock		
invesco	Finance	$2,000 - $10,000	Stock		
travelers	Finance	$2,000 - $10,000	Stock		
johnson & johnson	Healthcare	$2,000 - $10,000	Stock		
medtronic	Healthcare	$10,001 - $100,000	Stock		
novartis	Healthcare	$2,000 - $10,000	Stock		
roche holding	Healthcare	$2,000 - $10,000	Stock		
boeing	Industrials	$2,000 - $10,000	Stock		
emerson electirc	Industrials	$2,000 - $10,000	Stock		
illinois tool works	Industrials	$2,000 - $10,000	Stock		
stanley black and decker	Industrials	$2,000 - $10,000	Stock		
union pacific	Industrials	$10,001 - $100,000	Stock		
united parcel service	Industrials	$2,000 - $10,000	Stock		
united technologies	Industrials	$2,000 - $10,000	Stock		
british american tobacco	staples	$2,000 - $10,000	Stock		
colgate	staples	$10,001 - $100,000	Stock		
diageo	staples	$2,000 - $10,000	Stock		
intel	Technology	$10,001 - $100,000	Stock		
microsoft	Technology	$2,000 - $10,000	Stock		
qualcomm	Technology	$2,000 - $10,000	Stock		
dominion resources	Utilities	$2,000 - $10,000	Stock		
nextera energy	Utilities	$2,000 - $10,000	Stock		
northeast utilities	Utilities	$10,001 - $100,000	Stock		
california resources	Utilities	$2,000 - $10,000	Stock	12/1/2014	12/2/2014
sanofi	Healthcare	$2,000 - $10,000	Stock		2/11/2014
teva pharmaceuticals	Healthcare	$2,000 - $10,000	Stock		2/11/2014
unilever	Consumer	$2,000 - $10,000	Stock		3/10/2014
coca cola	Consumer	$10,001 - $100,000	Stock		12/9/2014

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

Name

Baldwin Moody, Carol

[redacted]

clorox	Consumer	$10,001 - $100,000	Stock	5/5/2014