CALIFORNIA FORM **700**
FAIR POLITICAL PRACTICES COMMISSION
A PUBLIC DOCUMENT

STATEMENT OF ECONOMIC INTERESTS

COVER PAGE

Date Received
Date Received
Official Use Only
FEB 11 2014
Enterprise Compliance Division

Please type or print in ink.

NAME OF FILER (LAST): RIFFLE (FIRST): MICHAEL (MIDDLE):

1. Office, Agency, or Court

Agency Name *(Do not use acronyms)*

Public Employees Retirement System - INVO

Division, Board, Department, District, if applicable

Your Position

Portfolio Manager

► If filing for multiple positions, list below or on an attachment. *(Do not use acronyms)*

Agency: _____ Position: _____

2. Jurisdiction of Office *(Check at least one box)*

- [x] State
- [ ] Multi-County _____
- [ ] City of _____
- [ ] Judge or Court Commissioner (Statewide Jurisdiction)
- [ ] County of _____
- [ ] Other _____

3. Type of Statement *(Check at least one box)*

[x] **Annual:** The period covered is January 1, 2013, through December 31, 2013.

-or-

The period covered is ___/___/_____, through December 31, 2013.

[ ] **Assuming Office:** Date assumed ___/___/_____

[ ] **Candidate:** Election year _____ and office sought, if different than Part 1: _____

[ ] **Leaving Office:** Date Left ___/___/_____ *(Check one)*

○ The period covered is January 1, 2013, through the date of leaving office.

○ The period covered is ___/___/_____, through the date of leaving office.

4. Schedule Summary

Check applicable schedules or "None."

► ***Total number of pages including this cover page:*** 4

- [x] **Schedule A-1** - *Investments* – schedule attached
- [ ] **Schedule A-2** - *Investments* – schedule attached
- [ ] **Schedule B** - *Real Property* – schedule attached
- [ ] **Schedule C** - *Income, Loans, & Business Positions* – schedule attached
- [ ] **Schedule D** - *Income – Gifts* – schedule attached
- [ ] **Schedule E** - *Income – Gifts – Travel Payments* – schedule attached

-or-

[ ] **None** - *No reportable interests on any schedule*

5. Verification

MAILING ADDRESS (Business or Agency Address Recommended - Public Document) STREET CITY STATE ZIP CODE

[redacted]

SCHEDULE A-1
2013 Investments
Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**
FAIR POLITICAL PRACTICES COMMISSION

Name: Michael Riffle

▶ NAME OF BUSINESS ENTITY: InfoBlox, Inc (BLOX)
GENERAL DESCRIPTION OF THIS BUSINESS: IT Information Technology
FAIR MARKET VALUE: [X] $2,000 - $10,000 [ ] $10,001 - $100,000 [ ] $100,001 - $1,000,000 [ ] Over $1,000,000
NATURE OF INVESTMENT: [X] Stock [ ] Other Sold 100 shrs (exercise of covered call) (Describe)
[ ] Partnership ○ Income Received of $0 - $499 ○ Income Received of $500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE: / / 13 (ACQUIRED) 10/18/13 (DISPOSED)

▶ NAME OF BUSINESS ENTITY: ITT Education (ESI)
GENERAL DESCRIPTION OF THIS BUSINESS: Education Services
FAIR MARKET VALUE: [X] $2,000 - $10,000 [ ] $10,001 - $100,000 [ ] $100,001 - $1,000,000 [ ] Over $1,000,000
NATURE OF INVESTMENT: [ ] Stock [X] Other Sold 2 Covered Calls ($222.49) (Describe)
[ ] Partnership ○ Income Received of $0 - $499 ○ Income Received of $500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE: 5/28/13 (ACQUIRED) 10/21/13 (DISPOSED)

▶ NAME OF BUSINESS ENTITY: Hewlett Packard (HPQ)
GENERAL DESCRIPTION OF THIS BUSINESS: IT Information Technology
FAIR MARKET VALUE: [X] $2,000 - $10,000 [ ] $10,001 - $100,000 [ ] $100,001 - $1,000,000 [ ] Over $1,000,000
NATURE OF INVESTMENT: [X] Stock [ ] Other Bot 200 Shares (Describe)
[ ] Partnership ○ Income Received of $0 - $499 ○ Income Received of $500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE: 10/29/13 (ACQUIRED) / / 13 (DISPOSED)

▶ NAME OF BUSINESS ENTITY: Infoblox, Inc.
GENERAL DESCRIPTION OF THIS BUSINESS: IT Information
FAIR MARKET VALUE: [X] $2,000 - $10,000 [ ] $10,001 - $100,000 [ ] $100,001 - $1,000,000 [ ] Over $1,000,000
NATURE OF INVESTMENT: [ ] Stock [X] Other Sold 1 Covered Call ($41.74) (Describe)
[ ] Partnership ○ Income Received of $0 - $499 ○ Income Received of $500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE: 5/28/13 (ACQUIRED) 10/21/13 (DISPOSED)

▶ NAME OF BUSINESS ENTITY: Volcano Corp
GENERAL DESCRIPTION OF THIS BUSINESS: Therapy Tools
FAIR MARKET VALUE: [X] $2,000 - $10,000 [ ] $10,001 - $100,000 [ ] $100,001 - $1,000,000 [ ] Over $1,000,000
NATURE OF INVESTMENT: [X] Stock [ ] Other Bot 200 Shares VOLC (Describe)
[ ] Partnership ○ Income Received of $0 - $499 ○ Income Received of $500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE: 11/7/13 (ACQUIRED) / / 13 (DISPOSED)

▶ NAME OF BUSINESS ENTITY: ITT Education
GENERAL DESCRIPTION OF THIS BUSINESS: Education Services
FAIR MARKET VALUE: [X] $2,000 - $10,000 [ ] $10,001 - $100,000 [ ] $100,001 - $1,000,000 [ ] Over $1,000,000
NATURE OF INVESTMENT: [ ] Stock [X] Other Sold 2 Covered Calls ($190.49) (Describe)
[ ] Partnership ○ Income Received of $0 - $499 ○ Income Received of $500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE: 10/24/13 (ACQUIRED) 12/23/13 (DISPOSED)

Comments: See page 4 of 4 Exhibit 1

2013 **SCHEDULE A-1**
Investments
Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**
FAIR POLITICAL PRACTICES COMMISSION
Name Michael Riffle

► NAME OF BUSINESS ENTITY
Hewlett Packard
GENERAL DESCRIPTION OF THIS BUSINESS
IT Information Technology
FAIR MARKET VALUE
☒ $2,000 - $10,000 ☐ $10,001 - $100,000
☐ $100,001 - $1,000,000 ☐ Over $1,000,000
NATURE OF INVESTMENT
☐ Stock ☒ Other Sold 2 Covered Calls (178.48)
(Describe)
☐ Partnership ○ Income Received of $0 - $499
○ Income Received of $500 or More *(Report on Schedule C)*
IF APPLICABLE, LIST DATE:
11 / 20 / 13 ACQUIRED ___/___/ 13 DISPOSED

► NAME OF BUSINESS ENTITY
GENERAL DESCRIPTION OF THIS BUSINESS
FAIR MARKET VALUE
☐ $2,000 - $10,000 ☐ $10,001 - $100,000
☐ $100,001 - $1,000,000 ☐ Over $1,000,000
NATURE OF INVESTMENT
☐ Stock ☐ Other
(Describe)
☐ Partnership ○ Income Received of $0 - $499
○ Income Received of $500 or More *(Report on Schedule C)*
IF APPLICABLE, LIST DATE:
___/___/ 13 ACQUIRED ___/___/ 13 DISPOSED

► NAME OF BUSINESS ENTITY
GENERAL DESCRIPTION OF THIS BUSINESS
FAIR MARKET VALUE
☐ $2,000 - $10,000 ☐ $10,001 - $100,000
☐ $100,001 - $1,000,000 ☐ Over $1,000,000
NATURE OF INVESTMENT
☐ Stock ☐ Other
(Describe)
☐ Partnership ○ Income Received of $0 - $499
○ Income Received of $500 or More *(Report on Schedule C)*
IF APPLICABLE, LIST DATE:
___/___/ 13 ACQUIRED ___/___/ 13 DISPOSED

► NAME OF BUSINESS ENTITY
GENERAL DESCRIPTION OF THIS BUSINESS
FAIR MARKET VALUE
☐ $2,000 - $10,000 ☐ $10,001 - $100,000
☐ $100,001 - $1,000,000 ☐ Over $1,000,000
NATURE OF INVESTMENT
☐ Stock ☐ Other
(Describe)
☐ Partnership ○ Income Received of $0 - $499
○ Income Received of $500 or More *(Report on Schedule C)*
IF APPLICABLE, LIST DATE:
___/___/ 13 ACQUIRED ___/___/ 13 DISPOSED

► NAME OF BUSINESS ENTITY
GENERAL DESCRIPTION OF THIS BUSINESS
FAIR MARKET VALUE
☐ $2,000 - $10,000 ☐ $10,001 - $100,000
☐ $100,001 - $1,000,000 ☐ Over $1,000,000
NATURE OF INVESTMENT
☐ Stock ☐ Other
(Describe)
☐ Partnership ○ Income Received of $0 - $499
○ Income Received of $500 or More *(Report on Schedule C)*
IF APPLICABLE, LIST DATE:
___/___/ 13 ACQUIRED ___/___/ 13 DISPOSED

Comments: See page 4 of 4 Exhibit 1

Results No. 1 - 22 of 22 | page 1 of 1

Mike Riffle Form 700 Exhibit 1

Securities to Watch Add

Export to Excel

Date	Settlement Date	Symbol	Description	Commission/Fees	Interest	Amount	Avg Price
12/23/2013	12/23/2013	ESI DEC 21 2013 45.00 C	OPTION EXPIRED -2 CONTRACTS OF ESI DEC 21 2013 45.00 C	$0.00	$0.00	$0.00	No
11/20/2013	11/21/2013	HPQ JAN 18 2014 26.00 C	SOLD TO OPEN 2 CONTRACTS OF OPTION HPQ JAN 18 2014 26.00 C AT $0.94	($9.52)	$0.00	$178.48	Yes
11/7/2013	11/13/2013	VOLC	BOUGHT 200 SHARES OF VOLC AT $19.8299	($7.00)	$0.00	($3,972.98)	No
10/29/2013	11/1/2013	HPQ	BOUGHT 200 SHARES OF HPQ AT $23.8699	($7.00)	$0.00	($4,780.98)	No
10/24/2013	10/25/2013	ESI DEC 21 2013 45.00 C	SOLD TO OPEN 2 CONTRACTS OF OPTION ESI DEC 21 2013 45.00 C AT $1.00	($9.51)	$0.00	$190.49	No
10/21/2013	10/21/2013	BLOX OCT 19 2013 35.00 C	OPTION ASSIGNED : 1 CONTRACTS OF BLOX OCT 19 2013 35.00 C	$0.00	$0.00	$0.00	No
10/21/2013	10/21/2013	ESI OCT 19 2013 35.00 C	OPTION EXPIRED -2 CONTRACTS OF ESI OCT 19 2013 35.00 C	$0.00	$0.00	$0.00	No
10/18/2013	10/23/2013	BLOX	AS OF: SOLD 100 SHARES OF BLOX AT $35.00	($17.07)	$0.00	$3,482.93	No
5/28/2013	5/29/2013	ESI OCT 19 2013 35.00 C	SOLD TO OPEN 2 CONTRACTS OF OPTION ESI OCT 19 2013 35.00 C AT $1.16	($9.51)	$0.00	$222.49	No
5/28/2013	5/29/2013	BLOX OCT 19 2013 35.00 C	SOLD TO OPEN 1 CONTRACTS OF OPTION BLOX OCT 19 2013 35.00 C AT $0.50	($8.26)	$0.00	$41.74	No