



Board of Administration

Agenda Item 9a10

February 19, 2020

Item Name: Proposed Decision – In the Matter of the Appeal of Membership Determination of MARK R. DANA, Respondent, and SAN FRANCISCO BAY AREA RAPID TRANSIT DISTRICT, Respondent.

Program: Employer Account Management Division

Item Type: Action

Parties' Positions

Based on all the facts and circumstances of the case, Staff does not oppose adoption of the Proposed Decision.

Respondent Mark R. Dana's (Respondent Dana) position is included in Attachment C, if any.

Respondent San Francisco Bay Area Rapid Transit District's (Respondent BART) position is included in Attachment C, if any.

Strategic Plan

This item is not a specific product of either the Strategic or Annual Plans. The determination of administrative appeals is a power reserved to the Board of Administration.

Procedural Summary

CalPERS determined that Respondent Dana was a common law employee of Respondent BART for the period of July 1, 1997 through May 26, 2008. Respondent BART submitted an appeal regarding CalPERS' determination and the matter was heard by the Office of Administrative Hearings on July 10, 11, and 30, 2019. A Proposed Decision was issued on December 17, 2019, rejecting CalPERS' determination and granting Respondent BART's appeal.

Alternatives

A. For use if the Board decides to adopt the Proposed Decision as its own Decision:

RESOLVED, that the Board of Administration of the California Public Employees' Retirement System hereby adopts as its own Decision the Proposed Decision dated December 17, 2019, concerning the appeal of Mark R. Dana; RESOLVED FURTHER that this Board Decision shall be effective 30 days following mailing of the Decision.

B. For use if the Board decides not to adopt the Proposed Decision, and to decide the case upon the record:

RESOLVED, that the Board of Administration of the California Public Employees' Retirement System, after consideration of the Proposed Decision dated December 17, 2019, concerning the appeal of Mark R. Dana, hereby rejects the Proposed Decision and determines to decide the matter itself, based upon the record produced before the Administrative Law Judge and such additional evidence and arguments that are presented by the parties and accepted by the Board; RESOLVED FURTHER that the Board's Decision shall be made after notice is given to all parties.

- C. For use if the Board decides to remand the matter back to the Office of Administrative Hearings for the taking of further evidence:

RESOLVED, that the Board of Administration of the California Public Employees' Retirement System, after consideration of the Proposed Decision dated December 17, 2019, concerning the appeal of Mark R. Dana, hereby rejects the Proposed Decision and refers the matter back to the Administrative Law Judge for the taking of additional evidence as specified by the Board at its meeting.

- D. Precedential Nature of Decision (two alternatives; either may be used):

1. For use if the Board wants further argument on the issue of whether to designate its Decision as precedential:

RESOLVED, that the Board of Administration of the California Public Employees' Retirement System requests the parties in the matter concerning the appeal of Mark R. Dana, as well as interested parties, to submit written argument regarding whether the Board's Decision in this matter should be designated as precedential, and that the Board will consider the issue whether to designate its Decision as precedential at a time to be determined.

2. For use if the Board decides to designate its Decision as precedential, without further argument from the parties.

RESOLVED, that the Board of Administration of the California Public Employees' Retirement System, hereby designates as precedential its Decision concerning the appeal of Mark R. Dana.

Budget and Fiscal Impacts: Not applicable

Attachments

Attachment A: Proposed Decision

Attachment B: Staff's Argument

Attachment C: Respondents Arguments

Anthony Suine
Deputy Executive Officer
Customer Services and Support