

### PRAs for October 2019

|                          |           |
|--------------------------|-----------|
| <b>New PRAs Received</b> | <b>43</b> |
| <b>PRAs Closed</b>       | <b>53</b> |

### Monthly Averages from November 2018 to October 2019

|                      |           |
|----------------------|-----------|
| <b>PRAs Received</b> | <b>38</b> |
| <b>PRAs Closed</b>   | <b>38</b> |

## Open Public Record Act Requests

|          | <b>Date Received</b> | <b>Requesting Organization</b> | <b>Requestor's Name</b> | <b>PRA #</b> | <b>Brief Description</b>   |
|----------|----------------------|--------------------------------|-------------------------|--------------|--|
| <b>1</b> | 08/21/2018           | The Deal                       | Donna Horowitz          | 3992         | Information regarding the Ocean Gate Life Settlement portfolio   |
| <b>2</b> | 07/15/2019           | The Webster Law Firm           | Jason Webster           | 4587         | Documents regarding CalPERS ownership in Academy Sports and Outdoors either as a direct investment or as an investment in the KKR 2006 Fund, L.P.                            |
| <b>3</b> | 08/02/2019           | Aleshire & Wynder, LLP         | Michael Huston          | 4608         | All documents, including but not limited to circular letters, memorandums, FAQ documents, reports and emails that include CalPERS interpretation of AB 1487 requirements     |
| <b>4</b> | 08/05/2019           | Freed Associates               | Lawrence Carlos         | 4615         | All documentation related to the myCalPERS System Maintenance and Support – Business Analyst/Tester, Letter of Engagement  |
| <b>5</b> | 08/19/2019           | N/A                            | Michael Rosborough      | 4638         | All emails, materials, and the final presentation to the Investment Strategy Group that led to the termination of the International Fixed Income program at CalPERS          |
| <b>6</b> | 08/21/2019           | N/A                            | Michael Rosborough      | 4655         | All presentations and correspondence related to the selection, hiring, contracting, and oversight of Standard Life and AQR as part of the Multi Asset Class partners program |
| <b>7</b> | 08/22/2019           | N/A                            | Michael Rosborough      | 4659         | CalPERS Total Fund Performance Package for June and July 2019  |
| <b>8</b> | 09/05/2019           | N/A                            | Anna Poole              | 4685         | All billing statements for legal services rendered to CalPERS from January 1, 2005 through July 1, 2019  |

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|----|------------|----------------------------|--------------------|------|---|
| 9  | 09/11/2019 | N/A                        | Michael Rosborough | 4693 | Transcripts of all e-mail correspondence Arnie Phillips has regarding the status of the International Fixed Income program with Delegates and Alternates of the Investment Strategy Group from March 2018 to September 2019   |
| 10 | 09/13/2019 | Bloomberg                  | John Gittelsohn    | 4699 | All records, communications, and consultant reports filed in connection with the June 2018 decision to commit \$550 million to develop 301 Capitol Mall with CIM Group and the recent decision to end the relationship        |
| 11 | 09/24/2019 | N/A                        | Michael Rosborough | 4716 | All reviews presented to CalPERS Board by staff which argued for or against the retention of CalPERS FTSE All World benchmark for the Fund's public equity program versus the adoption of a U.S. only equity market benchmark |
| 12 | 09/26/2019 | The Wall Street Journal    | Will Louch         | 4722 | All correspondence between CalPERS officials (including investment team, other officials and Board members) and Apollo Global Management from January 1, 2019 to the present  |
| 13 | 09/30/2019 | Boies Schiller Flexner LLP | Kathleen Hartnett  | 4730 | All correspondence with or regarding Rimini Street, Inc. describing, discussing, or otherwise concerning its provision of support for Oracle Software   |
| 14 | 10/02/2019 | N/A                        | Michael Rosborough | 4739 | All correspondence between Curtis Ishii and Arnie Phillips in which Mike Rosborough is referenced   |
| 15 | 10/15/2019 | N/A                        | Beth Madigan       | 4764 | Copies of records used by CalPERS to determine whether requestor is a Tuolumne County Economic Development Authority common-law employee or a regular employee of Tuolumne County   |
| 16 | 10/16/2019 | Satwic                     | Sanjeev Sehgal     | 4766 | Documents related to RFO 38 - Health Plan Implementation for Reference Pricing  |
| 17 | 10/17/2019 | Tampa Bay Times            | Bethany Barnes     | 4771 | All documents related to CalPERS investment in Garda World  |

|    |            |                             |                   |      |  |
|----|------------|-----------------------------|-------------------|------|--|
| 18 | 10/18/2019 | N/A                         | Michael Johnson   | 4772 | Copy of the flex-funded HMO Anthem Blue Cross contract   |
| 19 | 10/21/2019 | N/A                         | JJ Jelincic       | 4773 | List of all stocks deleted from the public equity benchmark by name, ticker system, principal trading exchange, and a justification for the deletion |
| 20 | 10/23/2019 | SacBee                      | Wes Venteicher    | 4775 | All emails between Margaret Brown and CalPERS related to her devices since August 1, 2019  |
| 21 | 10/24/2019 | SacBee                      | Wes Venteicher    | 4778 | Compensation and reimbursement amounts for Board members in FY 2018-2019   |
| 22 | 10/24/2019 | ENS-Inc.                    | Callum Smith      | 4779 | Information regarding CalPERS procurement of IT goods and services transactions finalized on or between July 1, 2018 and June 30, 2019               |
| 23 | 10/24/2019 | NBC Universal Media         | Adiel Kaplan      | 4780 | Copies of the Pharmacy Benefit Manager complaints reported to CalPERS from January 1, 2015 to present  |
| 24 | 10/27/2019 | N/A                         | Jennifer Fabish   | 4785 | Records reflecting the percent that employees at every CalPERS contracting agency contribute towards their pension                                   |
| 25 | 10/28/2019 | New York Times              | Matthew Goldstein | 4786 | Any correspondence between CalPERS and Apollo Management concerning Leon Black's dealings with Jeffrey Epstein                                       |
| 26 | 10/28/2019 | N/A                         | Joe Ruiz          | 4787 | All emails and documents where CalPERS Board members have discussed Joe Ruiz   |
| 27 | 10/30/2019 | Taxpayers United of America | Matthew Schultz   | 4790 | List of Village of Cambria employees who received pension benefits for the entire 12-month period ending December 31, 2018                           |
| 28 | 10/30/2019 | Georgeson                   | Gloria Loza       | 4791 | CalPERS Investment holdings as of September 30, 2019   |

|           |            |                         |                  |      |   |
|-----------|------------|-------------------------|------------------|------|---|
| <b>29</b> | 10/31/2019 | Harvard Business School | Emil Siriwardane | 4794 | The total number and total dollar amount of private equity co-investment done in each vintage year from 1990 to the present   |
| <b>30</b> | 10/31/2019 | N/A                     | Joe Ruiz         | 4795 | A reference to the employee handbook concerning insubordination or obstruction of an employee in their work product and the procedural discipline of a Division Chief or Supervisor with a failure to adequately perform their duties |

### Opened and Closed in October 2019 Public Record Act Requests

|          | <b>Closed Date</b> | <b>Requesting Organization</b>         | <b>Requestor's Name</b> | <b>PRA #</b> | <b>Brief Description</b>  | <b>Date Received</b> |
|----------|--------------------|--|-------------------------|--------------|---|----------------------|
| <b>1</b> | 10/04/2019         | Groom Law Group                        | John Barlow             | 4742         | Copy of Modification No. 315, approved December 31, 1959  | 10/03/2019           |
| <b>2</b> | 10/10/2019         | N/A                                    | Pieterneel Kouwenhoven  | 4736         | Copy of the 2002 information brochure for the Fund F.V.E. LP  | 10/01/2019           |
| <b>3</b> | 10/11/2019         | Jones Day                              | Kelsey Israel-Trummel   | 4737         | All records with respect to interpretation of Modification No. 16 to the Section 218 agreement between the State of California and the Social Security Administration and its application to workers performing services for the Tahoe Forest Hospital District | 10/01/2019           |
| <b>4</b> | 10/11/2019         | N/A                                    | Joe Ruiz                | 4744         | All emails from CEO Marcie Frost to Joseph Ruiz from 2016 through 2019  | 10/03/2019           |
| <b>5</b> | 10/14/2019         | Washington State Health Care Authority | Sara Whitley            | 4743         | Copy of the most recently executed contract with United Healthcare for the Group Medicare Advantage PPO plan  | 10/03/2019           |
| <b>6</b> | 10/16/2019         | N/A                                    | Michael Rosborough      | 4738         | All correspondence between Marina Kong and Kevin Winter in which Mike Rosborough is referenced  | 10/02/2019           |
| <b>7</b> | 10/16/2019         | Public Sector Credit                   | Marc Joffe              | 4746         | Copies of the most recent trustee reports CalPERS received from Voya 2017-4 CLO Ltd, TICP CLO Ltd, Sound Point CLO Ltd, and Galaxy CLO Ltd  | 10/06/2019           |
| <b>8</b> | 10/16/2019         | N/A                                    | Shannon McEuen          | 4758         | CalPERS Conflict-Of-Interest Code and indicate which disclosure category CalPERS asset managers file under  | 10/11/2019           |

|    |            |   |                      |      |   |            |
|----|------------|---|----------------------|------|---|------------|
| 9  | 10/16/2019 | N/A                                       | Scott Fitzgibbon     | 4759 | Who is the auditor of the Anthem health plan and where are its audit reports maintained   | 10/11/2019 |
| 10 | 10/16/2019 | Groom Law Group                           | Amy Latalladi-Fulton | 4762 | The appendix to California's Section 218 Agreement signed on March 9, 1951  | 10/14/2019 |
| 11 | 10/17/2019 | N/A                                       | Zhou Hu              | 4749 | CalPERS investment portfolio for 2018 to present  | 10/07/2019 |
| 12 | 10/18/2019 | N/A                                       | Parvin Olfati        | 4754 | Pension data for a CalPERS member   | 10/09/2019 |
| 13 | 10/21/2019 | Harvard Business School                   | Emil Siriwardane     | 4755 | List of all the investment consultants that the firm has used each year since 2001  | 10/10/2019 |
| 14 | 10/22/2019 | PERS Retirement Betterment Committee Inc. | Jake Petrosino       | 4753 | Copy of the Contract Activity Bulletins from July 1, 2019 through September 30, 2019  | 10/09/2019 |
| 15 | 10/22/2019 | Virginia Tech                             | Tyler Ludwig         | 4760 | Actuarial valuations for all contracting agencies from 2000 to 2003   | 10/13/2019 |
| 16 | 10/22/2019 | Synagro Technologies                      | Layne Baroldi        | 4767 | Copies of each check payment remitted to the City of La Habra Heights by a CalPERS member to cover the costs of health insurance                    | 10/16/2019 |
| 17 | 10/22/2019 | Synagro Technologies                      | Layne Baroldi        | 4768 | Copy of the CalPERS benefit enrollment form submitted by a CalPERS member to the City of La Habra Heights   | 10/16/2019 |
| 18 | 10/22/2019 | Synagro Technologies                      | Layne Baroldi        | 4769 | Copy of the document sent to CalPERS by the City of La Habra Heights to enroll a CalPERS member in a health insurance plan                          | 10/16/2019 |
| 19 | 10/22/2019 | My Public Pension                         | Jim Niehaus          | 4774 | The public agency contracts and all amendments for the City of Arroyo Grande in place during the periods of April 1, 2000 through December 31, 2010 | 10/21/2019 |

|    |            |                                       |                   |      |  |            |
|----|------------|---------------------------------------|-------------------|------|--|------------|
| 20 | 10/24/2019 | N/A                                   | James Niehaus     | 4776 | Copy of the contract between CalPERS and the City of Pacifica  | 10/23/2019 |
| 21 | 10/24/2019 | N/A                                   | Alicia Minyen     | 4777 | Copy of any contract amendments with CalPERS and the City of Vacaville from 2004 to present  | 10/24/2019 |
| 22 | 10/25/2019 | N/A                                   | Luz Villavicencio | 4781 | Current list of public agencies who have a section 218 agreement and participate in Social Security  | 10/24/2019 |
| 23 | 10/30/2019 | Covered California                    | Catalina Perry    | 4792 | IT Organizational Charts   | 10/30/2019 |
| 24 | 10/31/2019 | N/A                                   | Joe Ruiz          | 4741 | All correspondence from Renee Ostrander and staff members to City of Industry pertaining to the complaint filed by Joseph Ruiz to Renee Ostrander concerning his illegal termination in 2015 | 10/03/2019 |
| 25 | 10/31/2019 | N/A                                   | Joe Ruiz          | 4745 | Copies of lawsuits where Board members were sued collectively as well as individually  | 10/03/2019 |
| 26 | 10/31/2019 | Atkinson, Andelson, Loya, Ruud & Romo | Sean Flores       | 4796 | Copies of CalPERS recent audit/compliance reports for retired annuitants and disability retirement   | 10/31/2019 |