

**November 2019 Prospective Report  
Solicitations, Contracts, Purchase Orders, and Letters of Engagement  
\$1 Million or Greater**

**Item 4b, Attachment 1**

No.	Solicitations	Details	Program	Release	Anticipated Contract Start	Description of Services
1.	<b>Federal Legislative and Policy Representative Services Spring-Fed Pool</b> (Solicitation No. TBD)	Solicitation; five-year contract term; multiple contract awards; estimated pool amount may exceed \$1M. Letters of Engagement issued from the pool that are \$1M or more, will be reported under the Letter of Engagement section of the Prospective Report.	CSR/LAD	Winter 2020	July 1, 2020	Provide consultation and representation on federal executive, legislative, and/or regulatory plans that may impact CalPERS and its members.
2.	<b>Outside Health Legal Counsel</b> (Solicitation No. 2018-8628)	Solicitation; multiple contract awards; five-year contract term; estimated pool amount may exceed \$1M.	GCO/LEGO	May 2019	January 2020	Outside counsel services to the Board, as requested by the General Counsel or designee in connection with health matters.
3.	<b>Outside Business Litigation Counsel</b> (Solicitation No. 2018-8631)	Solicitation; multiple contract awards; five-year contract term; estimated pool amount may exceed \$1M.	GCO/LEGO	July 2019	January 2020	Outside counsel services to the Board, as requested by the General Counsel or designee in connection with business litigation matters.

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4.	<b>Outside Tax Counsel</b> (Solicitation No. 2019-8658)	Solicitation; multiple contract awards; five-year contract term; estimated pool amount may exceed \$1M.	GCO/LEGO	July 2019	January 2020	Outside counsel services to the Board, as requested by the General Counsel or designee in connection with tax and employee benefit matters.
5.	<b>Outside Real Assets and Partnership Counsel</b> (Solicitation No. 2019-8674)	Solicitation; multiple contract awards; five-year contract term; estimated pool amount may exceed \$1M.	GCO/LEGO	August 2019	April 2020	Outside counsel services to the Board, as requested by the General Counsel or designee in connection with Real Estate and Infrastructure investments.
6.	<b>Health Actuarial and Benefits Consulting Services Spring-Fed Pool</b> (Solicitation No. TBD)	Solicitation; five-year contract term; multiple contract awards; estimated pool amount is \$10M over the five-year term. Letters of Engagement issued from the pool that are \$1M or more, will be reported under the Letter of Engagement section of the Prospective Report.	HPBB/HPAD	Winter 2020	July 1, 2020	Provide actuarial and benefit consulting services for the health, pharmaceutical, and long term care programs to continue to respond to the changes in the national healthcare marketplace.

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7.	<b>Health Care Survey</b> (Solicitation No. 2019-8706)	Solicitation; five-year contract term; estimated contract amount to be negotiated.	HPBB/SRS	December 2019	June 2020	Provide annual health care survey services consistent with the Consumer Assessment of Health Providers Systems (CAHPS), to gain insight into the performance and satisfaction of our members with their health plans.
8.	<b>Broadly Syndicated Loans</b> (Solicitation No. TBD) Opportunistic Strategies	Solicitation; multiple contract awards; estimated contract amounts to be negotiated. Fees based on assets under management.	INVO	Winter 2020	Spring 2020	Multiple Awards to invest in Broadly Syndicated Loans.
9.	<b>Structured Products</b> (Solicitation No. TBD) Opportunistic Strategies	Solicitation; multiple contract awards; contracts without defined duration; estimated contract amounts to be negotiated. Fees based on assets under management.	INVO	Winter 2020	Spring 2020	Solicitation for structured product investment services.

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No.	New Contracts / Amendments	Details	Program	Award / Initiate	Contract / Amendment Start	Description of Services
1.	<b>Meketa Investments, LLC</b> (Contract No. TBD) Board of Administration	Contract award from the Board Investment Consultants RFP; three-year term with two, one-year options to renew; estimated contract value not to exceed \$5M over a five-year term.	BOA	October 2019	July 1, 2020	Private Equity Board Consultant Contract.
2.	<b>Meketa Investments, LLC</b> (Contract No. TBD) Board of Administration	Contract award from the Board Investment Consultants RFP; three-year term with two, one-year options to renew; estimated contract value not to exceed \$7M over a five-year term.	BOA	October 2019	July 1, 2020	Real Assets Board Consultant Contract.
3.	<b>Meketa Investments, LLC</b> (Contract No. TBD) Board of Administration	Contract award from the Board Investment Consultants RFP; three-year term with two, one-year options to renew; estimated contract value not to exceed \$2M over a five-year term.	BOA	October 2019	July 1, 2020	Infrastructure Board Consultant Contract.
4.	<b>Wilshire Associates Incorporated</b> (Contract No. TBD) Board of Administration	Contract award from the Board Investment Consultants RFP; three-year term with two, one-year options to renew; total contract value not to exceed \$18M for a five-year term.	BOA	October 2019	July 1, 2020	General Pension Consultant for the Board of Administration.

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5.	<b>DGS, Office of State Publishing</b> (Contract No. 2017-8357)	Inter-Agency agreement; amend current thirty-month contract to a full five-year term; estimated five-year contract amount not to exceed \$8M.	CSR/PAOF	January 2020	July 2020	Printing services for the PERSpective newsletter, member publications, open enrollment materials, and other misc. special projects.
6.	<b>State Controller's Office</b> (Contract No. 2019-8699)	Inter-Agency Agreement; amend current eighteen-month contract to a full five-year term; estimated five-year contract amount not to exceed \$23M.	CSS/RBSD	July 2020	January 2021	SCO issues payments for members, beneficiaries, and survivors who receive CalPERS benefits.
7.	<b>Voya Financial, Inc.</b> (Contract No. TBD)	Solicitation previously reported in May 2018 on separate agenda item; contract term February 1, 2020 – January 31, 2025; estimated contract value not to exceed \$18M.	FINO/PCPP	June 2019	February 2020	Defined contribution and deferred compensation plan administration, recordkeeping, field education, marketing and participant communication services for all plans offered under CalPERS' Supplemental Income Plans.
8.	<b>BDO USA, LLP</b> (Contract No. 2018-8640)	Services previously reported on the April 2018 report; existing contract term is June 7, 2019 – March 31, 2021; contract value is \$5.32M.	GCO/OFAS	June 2019	June 2019	Independent Financial Statement Auditor. Annual external audit services including work on GASB 68 and 75 opinions.

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9.	<b>OptumRx, Inc.</b> (Contract No. 2016-8198)	Amendment; add \$27.5M to current contract; existing contract term is January 1, 2017 – December 31, 2021; estimated contract amount not to exceed \$112M.	HPBB/HPAD	November 2019	January 2020	Pharmacy Benefits Manager (PBM)
10.	<b>Health Maintenance Organization (HMO) Health Plan Administrators:</b> <ul style="list-style-type: none"> <li>• Anthem Blue Cross (Contract No. 2018-8530)</li> <li>• Blue Shield of CA (Contract No. 2018-8528)</li> <li>• HealthNet of CA (Contract No. 2018-8533)</li> <li>• Sharp Health Plan (Contract No. 2018-8531)</li> <li>• UnitedHealthcare (Contract No. 2018-8532)</li> <li>• Western Health Advantage (Contract No. 2018-8534)</li> <li>• Kaiser Permanente (Contract No. 2018-8529)</li> </ul>	Amendments to existing contracts; five-year contract terms; estimated contract amounts not to exceed a combined total of \$2.8B	HPBB/HPAD	June 2019	January 2020	Health Maintenance Organization (HMO) Health plan medical and/or pharmacy third-party administration and similar services.

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11.	<p><b>Long Term Care Group Inc., dba LTCG Administrators</b> (Contract No. 2017-7341)</p>	<p>Amendment; add \$6.4M to current contract to fund the LIFT Wellness Program services; existing contract term is January 1, 2018 – December 31, 2022; estimated five-year contract amount not to exceed \$109M.</p>	HPBB/LTC	December 2019	January 2020	<p>The LIFT Wellness Program was approved by the Board in April 2019. It is designed to prevent falls and keep CalPERS Long-Term Care Program participants functionally independent, while lowering future Long-Term Care Program claims.</p>
12.	<p><b>Altus</b> (Contract No. TBD) Real Assets</p>	<p>Exemption from Competitive Bid; up to five-year contract term; estimated contract may exceed \$2M.</p>	INVO	November 2019	January 2020	<p>Collection and aggregation of critical Real Assets portfolio data with a consulting and analytical component to assist the Investment Office in making more critical and timely decisions for Real Assets and the Total Fund.</p>
13.	<p><b>Barra, LLC</b> (Contract No. TBD) Investment Risk and Performance</p>	<p>Exemption from Competitive Bid; up to five-year contract; estimated total contract value may exceed \$11M.</p>	INVO	Winter 2020	November 2020	<p>Risk and reporting services for the INVO Investment Performance and Risk Group.</p>

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No.	Existing Investment Manager Contracts	Details	Program	Award / Initiate	Contract / Amendment Start	Description of Services
1.	<b>Alliance Bernstein LP</b> (Contract No. 2006-4120) Global Fixed Income	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2019-20; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Fixed Income portfolio.
2.	<b>Baring International Investment Limited</b> (Contract No. 2000-2089) Global Fixed Income	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2019-20; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Fixed Income portfolio.
3.	<b>Blue Bay Asset Management LLP</b> (Contract No. 2014-7473) Global Fixed Income	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2019-20; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the international fixed income portfolio.
4.	<b>Columbia Management Investment Advisors, LLC</b> (Contract No. 2008-4960) Global Fixed Income	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2019-20; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Fixed Income portfolio.



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5.	<b>Goldman Sachs Asset Management, L.P.</b> (Contract No. 2014-7474) Global Fixed Income	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2019-20; if funded, base and/or performance fees may exceed \$1M	INVO	Existing	Existing	Investment management services for the international fixed income portfolio.
6.	<b>Investec Asset Management North America, Inc.</b> (Contract No. 2014-7475) Global Fixed Income	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2019-20; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Fixed Income portfolio.
7.	<b>J.P. Morgan Investment Management</b> (Contract No. 2008-4963) Global Fixed Income	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2019-20; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Fixed Income portfolio.
8.	<b>Logan Circle Partners, LP</b> (Contract No. 2008-4962) Global Fixed Income	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2019-20; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for high yield fixed income portfolio.
9.	<b>Nomura Corporate Research and Asset Management, Inc.</b> (Contract No. 2001-2621) Global Fixed Income	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2019-2020; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Fixed Income portfolio.

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10.	<b>Neuberger Berman Investment Advisors, LLC</b> (Contract No. 2014-7476) Global Fixed Income	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2019-20; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Fixed Income portfolio.
11.	<b>Pacific Investment Management Company, Inc.</b> (Contract No. 2001-2620) Global Fixed Income	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2019-20; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Fixed Income portfolio.
12.	<b>Pacific Investment Management Company, Inc.</b> (Contract No. 2006-4121) Global Fixed Income	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2019-20; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Fixed Income portfolio.
13.	<b>The Putnam Advisory Company, LLC</b> (Contract No. 2008-4961) Global Fixed Income	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2019-20; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the high yield fixed income portfolio.
14.	<b>Voya Investment Management Co. LLC</b> (Contract No. 2008-4965) Global Fixed Income	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2019-20; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Fixed Income portfolio.

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15.	<b>Arrowstreet Capital LP</b> (Contract No. 2014-7483) Global Equity	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2019-20; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Equity portfolio.
16.	<b>Baillie Gifford Overseas Limited</b> (Contract No. 2000-2263) Global Equity	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2019-20; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Equity portfolio.
17.	<b>State Street Global Advisors Trust Company</b> (Contract No. 2012-6541) Global Equity	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2019-20; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Equity portfolio.
18.	<b>Wellington Management Company, LLP</b> (Contract No. 2014-7480) Global Equity	Existing Investment Manager, undefined value; term is without defined duration, may or may not be funded throughout FY 2019-20; if funded, base and/or performance fees may exceed \$1M.	INVO	Existing	Existing	Investment management services for the Global Equity portfolio.

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No.	Contracts Without Fixed Values	Details	Program	Award / Initiate	Contract / Amendment Start	Description of Services
1.	<b>Goldman Sachs Asset Management, L.P.</b> (Contract No. 2018-8590) Global Equity	Investment advisory services where payment is based on specific fees, terms and services. Contract expires June 2024; payment is based on assets and may exceed \$1M per year.	INVO	Existing	Existing	Investment advisory services and/or modeling services for the Global Equity portfolio.

No.	Purchase Orders	Details	Program	Initiate	Change Order Effective	Description of Services
1.	<b>Carahsoft Technology Corp.</b> (Purchase Order No. 75338) INVO Wide	Subscription Agreement; annual renewal; total purchase order may exceed \$1.5M for FY 2019-2020.	INVO	Existing	N/A	Technology Platform for vendor management services for the INVO.
2.	<b>Glass Lewis</b> (Purchase Order No. TBD) Global Equity	Three-year investment subscription agreement for FY 2019-2022 may exceed \$1M total value.	INVO	2019	N/A	Proxy voting system subscription for Global Equity.

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No.	Letters of Engagement (LOEs)	Details	Program	Initiate	Contract / Amendment Start	Description of Services
1.	<b>McKinsey &amp; Company, Inc.</b> (LOE No. TBD) Investment Office	Exemption from competitive bid; one-year LOE term; fees may exceed \$1M.	INVO	Winter 2020	Winter 2020	Consulting and assessment services for the CalPERS Investment Office.

**Legend:**

- BOA – Board of Administration
- CSR – Communications and Stakeholder Relations
- CSS – Customer Services and Support
- FINO – Financial Office
- GCO – General Counsel Office
- HPAD – Health Plan Administration Division
- HPBB – Health Policy and Benefits Branch
- INVO – Investment Office
- LAD – Legislative Affairs Division
- LEGO – Legal Office
- LTC – Long Term Care
- OFAS – Office of Audit Services
- PAOF – Office of Public Affairs
- PCPP – Pension Contracts and Prefunding Programs
- RBSD – Retirement Benefit Services Division
- SRS – Strategic Research Services