



Risk and Audit Committee Meeting Agenda

CalPERS Auditorium

Lincoln Plaza North
400 P Street
Sacramento, CA

June 18, 2019

10:00 a.m.

Or upon adjournment of the Pension & Health Benefits Committee Closed Session – Whichever is later

Committee Members

Dana Hollinger, Chair

David Miller, Vice Chair

Margaret Brown

Fiona Ma

Lisa Middleton

Jason Perez

Betty Yee

Open Session

10:00 a.m.

Or upon adjournment of the Pension & Health Benefits Committee Closed Session – Whichever is later

Item

1. Call to Order and Roll Call
2. Election of the Risk & Audit Committee Chair and Vice Chair
3. Approval of the June 18, 2019 Risk & Audit Committee Timed Agenda
4. Executive Report – Marlene Timberlake D’Adamo
5. **Action Consent Items** – Marlene Timberlake D’Adamo
 - a. Approval of the February 20, 2019 Risk & Audit Committee Meeting Minutes
6. **Information Consent Items** – Marlene Timberlake D’Adamo
 - a. 2019 Annual Calendar Review
 - b. Draft Agenda for the September 17, 2019 Risk & Audit Committee Meeting
 - c. Quarterly Status Report – Office of Audit Services
 - d. Quarterly Status Report – Enterprise Risk Management
 - e. Quarterly Status Report – Enterprise Compliance Activity
7. **Action Agenda Items**
 - a. 2019-20 Enterprise Compliance Plan – Marlene Timberlake D’Adamo
 - b. 2019-20 Enterprise Risk Management Plan – Forrest Grimes
 - c. 2019-20 Office of Audit Services Plan – Beliz Chappuie

- d. Independent Auditor's 2019-20 Annual Plan – Beliz Chappuie
- e. Approval to Submit Proposed Amendments to the Personal Trading Regulation to the Office of Administrative Law – Marlene Timberlake D'Adamo

8. Information Agenda Items

- a. Third Party Valuation and Certification of the Contracting Public Agency Plans as of June 30, 2017 – Beliz Chappuie, Buck Global, LLC
- b. Enterprise Risk Management Framework Review – Forrest Grimes
- c. Summary of Committee Direction – Marlene Timberlake D'Adamo
- d. Public Comment

Closed Session

Upon Adjournment or Recess of Open Session (Government Code sections 11126(a)(1) and (g)(1))

Item

- 1. Call to Order and Roll Call
- 2. **Action Consent Items**
 - a. Approval of the February 20, 2019 Risk & Audit Committee Meeting Minutes
- 3. **Information Agenda Items**
 - a. Personnel, Employment and Performance, Chief Compliance Officer – Marlene Timberlake D'Adamo
 - b. Personnel, Employment and Performance, Chief Auditor – Beliz Chappuie
 - c. Personnel, Employment and Performance, Investment Director – Kit Crocker

Notes

- 1. Items designated for Information are appropriate for Committee Action if the Committee wishes to take action. Any Agenda Item from a properly noticed Committee meeting, held immediately prior to this Board meeting, may be considered by the Board.
- 2. The hour designated as the earliest starting time for this meeting is not intended to communicate the expected duration (or ending time) of the preceding meeting.
- 3. Public comment may be taken on any agenda item. There is a three-minute limitation on each public comment, unless otherwise directed by the Presiding Officer. (Cal. Code Regs. tit. 2, § 552.1.)
- 4. Board Members may attend meetings of committees of which they are not members and participate in the discussions during those meetings.