



Risk and Audit Committee Meeting Agenda

CalPERS Auditorium

Lincoln Plaza North
400 P Street
Sacramento, CA

September 25, 2018

9:00 a.m.

Or upon adjournment of the Finance & Administration Committee – Whichever is later

Committee Members

Dana Hollinger, Chair
Bill Slaton, Vice Chair
Margaret Brown

John Chiang
Richard Costigan
Priya Mathur

Betty Yee

Open Session

9:00 a.m.

Or upon adjournment of the Finance & Administration Committee – Whichever is later

Item

1. Call to Order and Roll Call
2. Approval of the September 25, 2018 Risk & Audit Committee Timed Agenda
3. Executive Report – Marlene Timberlake D’Adamo
4. **Action Consent Items** – Marlene Timberlake D’Adamo
 - a. Approval of the June 20, 2018 Risk & Audit Committee Meeting Minutes
5. **Information Consent Items** – Marlene Timberlake D’Adamo
 - a. 2018 Annual Calendar Review
 - b. 2019 Annual Calendar Review
 - c. Draft Agenda for the November 14, 2018 Risk & Audit Committee Meeting
 - d. Quarterly Status Report – Office of Audit Services
 - e. Quarterly Status Report – Enterprise Risk Management
 - f. Quarterly Status Report – Enterprise Compliance Activity
 - g. External Audit Fees
6. **Action Agenda Items**
 - a. Office of Audit Services Charter Update – Belize Chappuie
7. **Information Agenda Items**
 - a. 2017-18 Annual Compliance Report - Marlene Timberlake D’Adamo

- b. Strategic Risk Measure Tolerances – Forrest Grimes
- c. Summary of Committee Direction – Marlene Timberlake D’Adamo
- d. Public Comment

Closed Session

Upon Adjournment or Recess of Open Session (Government Code sections 11126(a)(1) and (g)(1))

Item

- 1. Call to Order and Roll Call
- 2. **Action Consent Items**
 - a. Approval of the June 20, 2018 Risk & Audit Committee Closed Session Meeting Minutes
- 3. **Information Agenda Items**
 - a. Personnel, Employment and Performance – Chief Compliance Officer – Marlene Timberlake D’Adamo
 - b. Personnel, Employment and Performance – Chief Auditor – Beliz Chappuie
 - c. Personnel, Employment and Performance – Investment Director – Kit Crocker

Notes

- 1. Items designated for Information are appropriate for Committee Action if the Committee wishes to take action. Any Agenda Item from a properly noticed Committee meeting, held immediately prior to this Board meeting, may be considered by the Board.
- 2. The hour designated as the earliest starting time for this meeting is not intended to communicate the expected duration (or ending time) of the preceding meeting.
- 3. Public comment may be taken on any agenda item. There is a three-minute limitation on each public comment, unless otherwise directed by the Presiding Officer. (Cal. Code Regs. tit. 2, § 552.1.)
- 4. Board Members may attend meetings of committees of which they are not members and participate in the discussions during those meetings.