



Risk and Audit Committee Meeting Agenda

Location

Robert F. Carlson Auditorium
Lincoln Plaza North
400 P Street
Sacramento, CA

September 25, 2018

TBD pm

Or upon adjournment of the
(Committee) — Whichever is later**COMMITTEE MEMBERS**

Dana Hollinger, Chair
Bill Slaton, Vice Chair
Margaret Brown
John Chiang

Richard Costigan
Priya Mathur
Betty Yee

Open Session

TBD pm

Or Upon Adjournment of the (Committee),
Whichever is Later

Dana Hollinger

Marlene Timberlake D'Adamo

Marlene Timberlake D'Adamo

Marlene Timberlake D'Adamo

1. Call to Order and Roll Call
2. Approval of the September 25, 2018 Risk & Audit Committee Timed Agenda
3. Executive Report
4. Consent Items

Action Consent Agenda Items:

- a. Approval of the June 20, 2018 Risk & Audit Committee Meeting Minutes
5. Consent Items

Information Consent Agenda Items:

- a. 2018 Annual Calendar Review
- b. 2019 Annual Calendar Review
- c. Draft Agenda for the November 14, 2018 Risk & Audit Committee Meeting
- d. Quarterly Status Report – Office of Audit Services
- e. Quarterly Status Report – Enterprise Risk Management
- f. Quarterly Status Report – Enterprise Compliance Activity

Information Agenda Items

- | | |
|----------------------------|-------------------------------------|
| Beliz Chappuie | 6. Audit |
| | a. External Audit Fees |
| Marlene Timberlake D'Adamo | 7. Enterprise Compliance |
| | a. 2017-18 Annual Compliance Report |
| Marlene Timberlake D'Adamo | 8. Summary of Committee Direction |
| | 9. Public Comment |

Closed Session

Topic

(Government Code sections 11126(a)(1) and (g)(1))

1. Call to Order and Roll Call

Action Consent Agenda Items

2. Approval of the June 20, 2018 Risk & Audit Committee Closed Session Meeting Minutes

Information Agenda Items

- | | |
|----------------------------|---|
| Marlene Timberlake D'Adamo | 3. Personnel, Employment and Performance – Chief Compliance Officer |
| Beliz Chappuie | 4. Personnel, Employment and Performance – Chief Auditor |
| Kit Crocker | 5. Personnel, Employment and Performance – Investment Director |

Notes

- 1) Items designated for Information are appropriate for Committee Action if the Committee wishes to take action. Any Agenda Item from a properly noticed Committee meeting, held immediately prior to this Board meeting, may be considered by the Board.
- 2) The hour designated as the earliest starting time for this meeting is not intended to communicate the expected duration (or ending time) of the preceding meeting.
- 3) Public comment may be taken on any agenda item. There is a three-minute limitation on each public comment, unless otherwise directed by the Committee Chair. (Cal. Code Regs. tit. 2, § 552.1.)
- 4) Board Members may attend meetings of committees of which they are not members and participate in the discussions during those meetings.