



Risk and Audit Committee Meeting Agenda

June 20, 2018
8:30 am

CalPERS Auditorium

Lincoln Plaza North
400 P Street
Sacramento, CA

COMMITTEE MEMBERS

Dana Hollinger, Chair
Bill Slaton, Vice Chair
Margaret Brown
John Chiang

Richard Costigan
Priya Mathur
Betty Yee

Open Session

8:30 am

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| | 1. Call to Order and Roll Call |
| Dana Hollinger | 2. Approval of the June 20, 2018 Risk & Audit Committee Timed Agenda |
| Marlene Timberlake D'Adamo | 3. Executive Report |
| Marlene Timberlake D'Adamo | 4. Consent Items |

Action Consent Agenda Items:

- a. Approval of the February 12, 2018 Risk & Audit Committee Meeting Minutes

- 5. Consent Items

Marlene Timberlake D'Adamo

Information Consent Agenda Items:

- a. 2018 Annual Calendar Review
- b. 2019 Annual Calendar Review
- c. Draft Agenda for the September 25, 2018 Risk & Audit Committee Meeting
- d. Quarterly Status Report – Office of Audit Services
- e. Quarterly Status Report – Enterprise Risk Management

- f. Quarterly Status Report – Enterprise Compliance Activity

Action Agenda Items

- Marlene Timberlake D’Adamo 6. Enterprise Compliance
 - a. 2018-19 Enterprise Compliance Plan
 - b. Proposed Amendments to the Personal Trading Regulation (First Reading)
- Forrest Grimes 7. Enterprise Risk Management
 - a. 2018-19 Enterprise Risk Management Plan
- 8. Audit
 - a. 2018-19 Office of Audit Services Plan
 - b. Independent Auditor’s 2018 Annual Plan
- Beliz Chappuie
- Beliz Chappuie
- Matt Jacobs
- Marlene Timberlake D’Adamo

Information Agenda Items

- Forrest Grimes 9. Enterprise Risk Management
 - a. Enterprise Risk Management Framework Review
- Marlene Timberlake D’Adamo 10. Summary of Committee Direction
- 11. Public Comment

Closed Session

(Government Code sections 11126(a)(1) and (g)(1))

- 1. Call to Order and Roll Call

Action Consent Agenda Items

- 2. Approval of the February 12, 2018 Risk & Audit Committee Closed Session Meeting Minutes

Information Agenda Items

- Marlene Timberlake D’Adamo 3. Personnel, Employment and Performance – Chief Compliance Officer
- Beliz Chappuie 4. Personnel, Employment and Performance – Chief Auditor

Kit Crocker

5. Personnel, Employment and Performance – Investment Director

Notes

- 1) Items designated for Information are appropriate for Committee Action if the Committee wishes to take action. Any Agenda Item from a properly noticed Committee meeting, held immediately prior to this Board meeting, may be considered by the Board.
- 2) The hour designated as the earliest starting time for this meeting is not intended to communicate the expected duration (or ending time) of the preceding meeting.
- 3) Public comment may be taken on any agenda item. There is a three-minute limitation on each public comment, unless otherwise directed by the Presiding Officer. (Cal. Code Regs. tit. 2, § 552.1.)
- 4) Board Members may attend meetings of committees of which they are not members and participate in the discussions during those meetings.