



# Risk and Audit Committee Meeting Summary

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**June 20, 2018**

This is intended as a guide for the Committee Chair. Other than the motions, this need not be read verbatim. The Chair may add context/comment as appropriate.

The Risk & Audit Committee met on June 20, 2018.

**THE COMMITTEE RECOMMENDS AND I MOVE, the Board approve the following:**

- AGENDA ITEM 6a  
Approve the proposed 2018-19 Enterprise Compliance Plan.
- AGENDA ITEM 6b  
Approve the proposed amendments to the Personal Trading Regulation for submission to the Office of Administrative Law.
- AGENDA ITEM 7a  
Approve the proposed 2018-19 Enterprise Risk Management Plan.
- AGENDA ITEM 8a  
Approve the proposed Office of Audit Services Plan for Fiscal Year 2018-19.
- AGENDA ITEM 8b  
Approve the Board of Administration's Independent Financial Statement Auditor's Annual Audit Service Plan for the audit of CalPERS' financial statements as of and for the fiscal year ending June 30, 2018.

**The Committee received reports on the following topic:**

- Enterprise Risk Management Framework Review

**At this time I would like to share some highlights of what to expect at the September Risk & Audit Committee meeting:**

- External Audit Fees, and
- The 2017-18 Annual Compliance Report

The next meeting of the Risk and Audit Committee is scheduled for September 25, 2018, in Sacramento, California.