



Risk and Audit Committee Meeting Agenda

Location

Robert F. Carlson Auditorium
Lincoln Plaza North
400 P Street
Sacramento, CA

June 19, 2018

TBD pm

Or upon adjournment of the
(Committee) — Whichever is later

COMMITTEE MEMBERS

Margaret Brown
John Chiang
Richard Costigan
Dana Hollinger

Priya Mathur
Bill Slaton
Betty Yee

Open Session

TBD pm

Or Upon Adjournment of the (Committee),
Whichever is Later

Marlene Timberlake D'Adamo

Marlene Timberlake D'Adamo

1. Call to Order and Roll Call
2. Executive Report
3. Consent Items

Action Consent Agenda Items:

- a. Approval of the February 13, 2018 Risk & Audit Committee Meeting Minutes

4. Consent Items

Marlene Timberlake D'Adamo

Information Consent Agenda Items:

- a. 2018 Annual Calendar Review
- b. 2019 Annual Calendar Review
- c. Draft Agenda for the September 25, 2018 Risk & Audit Committee Meeting
- d. Quarterly Status Report – Office of Audit Services
- e. Quarterly Status Report – Enterprise Risk Management
- f. Quarterly Status Report – Enterprise Compliance Activity

Action Agenda Items

- Marlene Timberlake D'Adamo 5. Enterprise Compliance
a. 2018-19 Enterprise Compliance Plan
- Forrest Grimes 6. Enterprise Risk Management
a. 2018-19 Enterprise Risk Management Plan
- Beliz Chappuie 7. Audit
a. 2018-19 Office of Audit Services Plan
b. Independent Auditor's 2018 Annual Plan

Information Agenda Items

- Forrest Grimes 8. Enterprise Risk Management
a. Semi-Annual Enterprise Risk Management Framework Review
- Marlene Timberlake D'Adamo 9. Summary of Committee Direction
10. Public Comment

Closed Session

Topic

(Government Code sections 11126(a)(1) and (g)(1))

1. Call to Order and Roll Call

Action Consent Agenda Items

2. Approval of the February 13, 2018 Risk & Audit Committee Closed Session Meeting Minutes

Information Agenda Items

- Beliz Chappuie 3. Personnel, Employment and Performance – Chief Auditor
- Marlene Timberlake D'Adamo 4. Personnel, Employment and Performance – Chief Compliance Officer
- Kit Crocker 5. Personnel, Employment and Performance – Investment Director

Notes

- 1) Items designated for Information are appropriate for Committee Action if the Committee wishes to take action. Any Agenda Item from a properly noticed Committee meeting, held immediately prior to this Board meeting, may be considered by the Board.
- 2) The hour designated as the earliest starting time for this meeting is not intended to communicate the expected duration (or ending time) of the preceding meeting.
- 3) Public comment may be taken on any agenda item. There is a three-minute limitation on each public comment, unless otherwise directed by the Committee Chair. (Cal. Code Regs. tit. 2, § 552.1.)
- 4) Board Members may attend meetings of committees of which they are not members and participate in the discussions during those meetings.