



# Risk and Audit Committee Meeting Agenda

**Robert F. Carlson Auditorium**  
Lincoln Plaza North  
400 P Street  
Sacramento, CA

**February 12, 2018**  
2:00 pm  
Or upon adjournment of the  
Investment Committee Closed Session —  
Whichever is later

## **COMMITTEE MEMBERS**

Dana Hollinger, Chair  
Margaret Brown  
John Chiang  
Richard Costigan

Priya Mathur  
Bill Slaton  
Betty Yee

**Open Session**  
2:00 pm

Or Upon Adjournment of the Investment Committee Closed  
Session, Whichever is Later

1. Call to Order and Roll Call
2. Election of the Risk & Audit Committee Chair and Vice  
Chair
3. Executive Report  
Marlene Timberlake D'Adamo
4. Consent Items  
Marlene Timberlake D'Adamo

### **Action Consent Agenda Items:**

- a. Approval of the November 13, 2017 Risk & Audit  
Committee Meeting Minutes
5. Consent Items  
Marlene Timberlake D'Adamo

### **Information Consent Agenda Items:**

- a. 2018 Annual Calendar Review
- b. 2019 Annual Calendar Review
- c. Draft Agenda for the June 19, 2018 Risk & Audit  
Committee Meeting
- d. Quarterly Status Report – Office of Audit Services
- e. Quarterly Status Report – Enterprise Risk  
Management
- f. Quarterly Status Report – Enterprise Compliance  
Activity

### **Action Agenda Items**

Marlene Timberlake D'Adamo 6. Review of the Risk & Audit Committee Delegation

### **Information Agenda Items**

Forrest Grimes 7. Enterprise Risk Management  
a. Enterprise Risk Management 2017-18 Mid-Year Plan Update

Marlene Timberlake D'Adamo 8. Enterprise Compliance  
a. Enterprise Compliance 2017-18 Mid-Year Plan Update

Marlene Timberlake D'Adamo 9. Summary of Committee Direction  
10. Public Comment

### **Closed Session**

Upon Adjournment or Recess of Open Session  
**(Government Code sections 11126(a)(1) and (g)(1))**

1. Call to Order and Roll Call

### **Action Consent Agenda Items**

2. Approval of the November 13, 2017 Risk & Audit Committee Closed Session Meeting Minutes

### **Information Agenda Items**

Beliz Chappuie 3. Personnel, Employment and Performance – Chief Auditor

Marlene Timberlake D'Adamo 4. Personnel, Employment and Performance – Chief Compliance Officer

Kit Crocker 5. Personnel, Employment and Performance – Investment Director

### **Notes**

- 1) Items designated for Information are appropriate for Committee Action if the Committee wishes to take action. Any Agenda Item from a properly noticed Committee meeting, held immediately prior to this Board meeting, may be considered by the Board.
- 2) The hour designated as the earliest starting time for this meeting is not intended to communicate the expected duration (or ending time) of the preceding meeting.
- 3) Public comment may be taken on any agenda item. There is a three-minute limitation on each public comment, unless otherwise directed by the Presiding Officer. (Cal. Code Regs. tit. 2, § 552.1.)
- 4) Board Members may attend meetings of committees of which they are not members and participate in the discussions during those meetings.