



Risk and Audit Committee Meeting Agenda

Location

Robert F. Carlson Auditorium
Lincoln Plaza North
400 P Street
Sacramento, CA

February 13, 2018

TBD pm

Or upon adjournment of the
(Committee) — Whichever is later**COMMITTEE MEMBERS**

Rob Feckner
Richard Gillihan
Dana Hollinger
Ron Lind

Priya Mathur
Bill Slaton
Betty Yee

Open Session

TBD pm

Or Upon Adjournment of the (Committee),
Whichever is Later

1. Call to Order and Roll Call
2. Election of the Risk & Audit Committee Chair and Vice Chair

Marlene Timberlake D'Adamo

3. Executive Report

Marlene Timberlake D'Adamo

4. Consent Items

Action Consent Agenda Items:

- a. Approval of the November 13, 2017 Risk & Audit Committee Meeting Minutes

5. Consent Items

Marlene Timberlake D'Adamo

Information Consent Agenda Items:

- a. 2018 Annual Calendar Review
- b. 2019 Annual Calendar Review
- c. Draft Agenda for the June 19, 2018 Risk & Audit Committee Meeting
- d. Quarterly Status Report – Office of Audit Services
- e. Quarterly Status Report – Enterprise Risk Management
- f. Quarterly Status Report – Enterprise Compliance Activity

Action Agenda Items

Marlene Timberlake D'Adamo 6. Review of the Risk & Audit Committee Delegation

Information Agenda Items

Forrest Grimes 7. Enterprise Risk Management
a. Enterprise Risk Management 2017-18 Mid-Year Plan Update

Marlene Timberlake D'Adamo 8. Enterprise Compliance
a. Enterprise Compliance 2017-18 Mid-Year Plan Update

Marlene Timberlake D'Adamo 9. Summary of Committee Direction
10. Public Comment

Closed Session

Topic

(Government Code sections 11126(a)(1) and (g)(1))

1. Call to Order and Roll Call

Action Consent Agenda Items

2. Approval of the November 13, 2017 Risk & Audit Committee Closed Session Meeting Minutes

Information Agenda Items

Beliz Chappuie 3. Personnel, Employment and Performance – Chief Auditor

Marlene Timberlake D'Adamo 4. Personnel, Employment and Performance – Chief Compliance Officer

Kit Crocker 5. Personnel, Employment and Performance – Investment Director

Notes

- 1) Items designated for Information are appropriate for Committee Action if the Committee wishes to take action. Any Agenda Item from a properly noticed Committee meeting, held immediately prior to this Board meeting, may be considered by the Board.
- 2) The hour designated as the earliest starting time for this meeting is not intended to communicate the expected duration (or ending time) of the preceding meeting.
- 3) Public comment may be taken on any agenda item. There is a three-minute limitation on each public comment, unless otherwise directed by the Committee Chair. (Cal. Code Regs. tit. 2, § 552.1.)
- 4) Board Members may attend meetings of committees of which they are not members and participate in the discussions during those meetings.