



Risk and Audit Committee Meeting Agenda

Location

Robert F. Carlson Auditorium
Lincoln Plaza North
400 P Street
Sacramento, CA

November 13, 2017

TBD pm
Or upon adjournment of the
(Committee) — Whichever is later

COMMITTEE MEMBERS

Dana Hollinger, Chair
Ron Lind, Vice Chair
Rob Feckner
Richard Gillihan

Priya Mathur
Bill Slaton
Betty Yee

AGENDA

Open Session
TBD

Or Upon Adjournment of the (Committee),
Whichever is Later

1. Call to Order and Roll Call
2. Executive Report
Marlene Timberlake D'Adamo
3. Consent Items
Marlene Timberlake D'Adamo

Action Consent Items:

- a. Approval of the September 19, 2017 Risk & Audit Committee Meeting Minutes
4. Consent Items
Marlene Timberlake D'Adamo

Information Consent Items:

- a. 2017 Annual Calendar Review
- b. 2018 Annual Calendar Review
- c. Draft Agenda for the February 13, 2018 Risk & Audit Committee Meeting
- d. Quarterly Status Report – Office of Audit Services
- e. Quarterly Status Report – Enterprise Risk Management

- f. Quarterly Status Report – Enterprise Compliance Activity

Action Items

- Beliz Chappuie
 - 5. Audit
 - a. Independent Auditor’s Report – Fiscal Year 2016-17
 - b. Review of Independent Auditor’s Management Letter

Information Agenda Items

- Forrest Grimes
 - 6. Enterprise Risk Management
 - a. Enterprise Risk Management Framework Review
- Marlene Timberlake D’Adamo
 - 7. Summary of Committee Direction
 - 8. Public Comment

Closed Session
TBD

Topic
(Government Code sections 11126(a)(1) and (g)(1))

- 1. Call to Order and Roll Call

Action Agenda Items

- 2. Approval of the September 19, 2017 Risk & Audit Committee Closed Session Meeting Minutes
- Beliz Chappuie
 - 3. Personnel, Employment and Performance – Chief Auditor
- Marlene Timberlake D’Adamo
 - 4. Personnel, Employment and Performance – Chief Compliance Officer
- Kit Crocker
 - 5. Personnel, Employment and Performance – Investment Director

Notes

- 1) Items designated for Information are appropriate for Committee Action if the Committee wishes to take action. Any Agenda Item from a properly noticed Committee meeting, held immediately prior to this Board meeting, may be considered by the Board.
- 2) The hour designated as the earliest starting time for this meeting is not intended to communicate the expected duration (or ending time) of the preceding meeting.
- 3) Public comment may be taken on any agenda item. There is a three-minute limitation on each public comment, unless otherwise directed by the Committee Chair. (Cal. Code Regs., tit. 2, § 552.1.)
- 4) Board Members may attend meetings of committees of which they are not members and participate in the discussions during those meetings.

