



Risk and Audit Committee Meeting Agenda

Location

Robert F. Carlson Auditorium
Lincoln Plaza North
400 P Street
Sacramento, CA

September 19, 2017

1:00 pm

Or upon adjournment of the
Finance & Administration Committee —
Whichever is later

COMMITTEE MEMBERS

Dana Hollinger, Chair
Ron Lind, Vice Chair
Rob Feckner
Richard Gillihan

Priya Mathur
Bill Slaton
Betty Yee

AGENDA**Open Session**

1:00 pm

Or Upon Adjournment of the Finance & Administration
Committee, Whichever is Later

Marlene Timberlake D'Adamo

1. Call to Order and Roll Call

Marlene Timberlake D'Adamo

2. Executive Report

3. Consent Items

Action Consent Items:

a. Approval of the June 20, 2017 Risk & Audit
Committee Meeting Minutes

Marlene Timberlake D'Adamo

4. Consent Items

Information Consent Items:

a. 2017 Annual Calendar Review

b. 2018 Annual Calendar Review

c. Draft Agenda for the November 13, 2017 Risk &
Audit Committee Meeting

d. Quarterly Status Report – Office of Audit Services

e. Quarterly Status Report – Enterprise Risk
Management

- f. Quarterly Status Report – Enterprise Compliance Activity
- g. External Audit Fees

Information Agenda Items

- Beliz Chappuie 5. Audit
 - a. Third Party Parallel Valuation and Certification of the Judges’ Retirement System, Judges’ Retirement System II, Legislators’ Retirement System, 1959 Survivor Benefit Program as of June 30, 2016
- Marlene Timberlake D’Adamo 6. Enterprise Compliance
 - a. 2016-17 Annual Compliance Report
- Marlene Timberlake D’Adamo 7. Summary of Committee Direction
- 8. Public Comment

Closed Session

(Government Code sections 11126(a)(1) and (g)(1))

- 1. Call to Order and Roll Call

Action Agenda Items

- 2. Approval of the June 20, 2017 Risk & Audit Committee Closed Session Meeting Minutes
- Beliz Chappuie 3. Personnel, Employment and Performance – Chief Auditor
- Marlene Timberlake D’Adamo 4. Personnel, Employment and Performance – Chief Compliance Officer
- Kit Crocker 5. Personnel, Employment and Performance – Investment Director

Notes

- 1) Items designated for Information are appropriate for Committee Action if the Committee wishes to take action. Any Agenda Item from a properly noticed Committee meeting, held immediately prior to this Board meeting, may be considered by the Board.
- 2) The hour designated as the earliest starting time for this meeting is not intended to communicate the expected duration (or ending time) of the preceding meeting.
- 3) Public comment may be taken on any agenda item. There is a three-minute limitation on each public comment, unless otherwise directed by the Committee Chair. (Cal. Code Regs., tit. 2, § 552.1.)
- 4) Board Members may attend meetings of committees of which they are not members and participate in the discussions during those meetings.

