



Risk and Audit Committee Meeting Agenda

Location

Robert F. Carlson Auditorium
Lincoln Plaza North
400 P Street
Sacramento, CA

June 20, 2017

9:00 am

Or upon adjournment of the
Pension & Health Benefits Committee
Closed Session — Whichever is later

COMMITTEE MEMBERS

Dana Hollinger, Chair
Ron Lind, Vice Chair
Rob Feckner
Richard Gillihan

Priya Mathur
Bill Slaton
Betty Yee

AGENDA**Open Session**

9:00 am

Or Upon Adjournment of the Pension & Health Benefits
Committee Closed Session, Whichever is Later

1. Call to Order and Roll Call
2. Executive Report
Marlene Timberlake D'Adamo
3. Consent Items
Marlene Timberlake D'Adamo
Action Consent Items:
 - a. Approval of the February 13, 2017 Risk & Audit Committee Meeting Minutes
4. Consent Items
Marlene Timberlake D'Adamo
Information Consent Items:
 - a. 2017 Annual Calendar Review
 - b. 2018 Annual Calendar Review
 - c. Draft Agenda for the September 19, 2017 Risk & Audit Committee Meeting
 - d. Quarterly Status Report – Office of Audit Services
 - e. Quarterly Status Report – Enterprise Risk Management

- f. Quarterly Status Report – Enterprise Compliance Activity

Action Agenda Items

- Marlene Timberlake D’Adamo 5. Enterprise Compliance
 - a. 2017-18 Enterprise Compliance Plan
- Forrest Grimes 6. Enterprise Risk Management
 - a. 2017-18 Enterprise Risk Management Plan
- Beliz Chappuie 7. Office of Audit Services
 - a. 2017-18 Office of Audit Services Plan
 - b. Independent Auditor’s 2017 Annual Plan

Information Agenda Items

- Marlene Timberlake D’Adamo 8. Enterprise Risk Management
- Forrest Grimes
 - a. Semi-Annual Enterprise Risk Reports
- Marlene Timberlake D’Adamo 9. Summary of Committee Direction
- 10. Public Comment

Closed Session

(Government Code sections 11126(a)(1) and (g)(1))

- 1. Call to Order and Roll Call

Action Agenda Items

- 2. Approval of the February 13, 2017 Risk & Audit Committee Closed Session Meeting Minutes
- Beliz Chappuie 3. Personnel, Employment and Performance – Chief Auditor
- Marlene Timberlake D’Adamo 4. Personnel, Employment and Performance – Chief Compliance Officer
- Kit Crocker 5. Personnel, Employment and Performance – Investment Director



Notes

- 1) Items designated for Information are appropriate for Committee Action if the Committee wishes to take action. Any Agenda Item from a properly noticed Committee meeting, held immediately prior to this Board meeting, may be considered by the Board.
- 2) The hour designated as the earliest starting time for this meeting is not intended to communicate the expected duration (or ending time) of the preceding meeting.
- 3) Public comment may be taken on any agenda item. There is a three-minute limitation on each public comment, unless otherwise directed by the Committee Chair.
- 4) Board Members may attend meetings of committees of which they are not members and participate in the discussions during those meetings.