



# Risk and Audit Committee Meeting Agenda

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**Location**

Robert F. Carlson Auditorium  
Lincoln Plaza North  
400 P Street  
Sacramento, CA

**June 20, 2017**

TBD pm  
Or upon adjournment of the  
(Committee) — Whichever is later

**COMMITTEE MEMBERS**

Michael Bilbrey  
Richard Gillihan  
Dana Hollinger  
Ron Lind

Priya Mathur  
Bill Slaton  
Betty Yee

**Open Session**

TBD

Or Upon Adjournment of the (Committee),  
Whichever is Later

1. Call to Order and Roll Call
2. Executive Report
3. Consent Items

Marlene Timberlake D'Adamo

Marlene Timberlake D'Adamo

**Action Consent Items:**

- a. Approval of the February 13, 2017 Risk & Audit Committee Meeting Minutes

Marlene Timberlake D'Adamo

4. Consent Items

**Information Consent Items:**

- a. 2017 Annual Calendar Review
- b. 2018 Annual Calendar Review
- c. Draft Agenda for the September 19, 2017 Risk & Audit Committee Meeting
- d. Quarterly Status Report – Office of Audit Services
- e. Quarterly Status Report – Enterprise Risk Management
- f. Quarterly Status Report – Enterprise Compliance Activity

### Action Agenda Items

- Marlene Timberlake D'Adamo 5. Enterprise Compliance
  - a. 2017-19 Enterprise Compliance Plan
- Forrest Grimes 6. Enterprise Risk Management
  - a. 2017-19 Enterprise Risk Management Plan
- Beliz Chappuie 7. Office of Audit Services
  - a. 2017-18 Office of Audit Services Plan
  - b. Independent Auditor's 2017 Annual Plan

### Information Agenda Items

- Marlene Timberlake D'Adamo 8. Enterprise Risk Management
  - a. Semi-Annual Enterprise Risk Reports
- Forrest Grimes
- Marlene Timberlake D'Adamo 9. Summary of Committee Direction
- 10. Public Comment

### Closed Session

TBD

Topic

**(Government Code sections 11126(a)(1) and (g)(1))**

- 1. Call to Order and Roll Call

### Action Agenda Items

- 2. Approval of the February 13, 2017 Risk & Audit Committee Closed Session Meeting Minutes
- Beliz Chappuie 3. Personnel, Employment and Performance – Chief Auditor
- Marlene Timberlake D'Adamo 4. Personnel, Employment and Performance – Chief Compliance Officer
- Kit Crocker 5. Personnel, Employment and Performance – Investment Director

**Notes**

- 1) Items designated for Information are appropriate for Committee Action if the Committee wishes to take action. Any Agenda Item from a properly noticed Committee meeting, held immediately prior to this Board meeting, may be considered by the Board.
- 2) The hour designated as the earliest starting time for this meeting is not intended to communicate the expected duration (or ending time) of the preceding meeting.
- 3) Public comment may be taken on any agenda item. There is a three-minute limitation on each public comment, unless otherwise directed by the Committee Chair.
- 4) Board Members may attend meetings of committees of which they are not members and participate in the discussions during those meetings.