



Risk and Audit Committee Meeting Agenda

Robert F. Carlson Auditorium
Lincoln Plaza North
400 P Street
Sacramento, CA

February 14, 2017

TBD

Or upon adjournment or recess of the
(Committee) — Whichever is later

COMMITTEE MEMBERS

Ron Lind, Chair
Dana Hollinger, Vice Chair
JJ Jelincic
Priya Mathur

Bill Slaton
Theresa Taylor
Betty Yee

AGENDA

Open Session

TBD

Or Upon Adjournment of the (Committee),
Whichever is Later

1. Call to Order and Roll Call
2. Election of the Risk & Audit Committee Chair and Vice Chair
3. Executive Report
4. Consent Items

Cheryl Eason

Cheryl Eason

Action Consent Items:

- a. Approval of the November 15, 2016 Risk & Audit Committee Meeting Minutes

Cheryl Eason

5. Consent Items

Information Consent Items:

- a. 2017 Annual Calendar Review
- b. 2018 Annual Calendar Review
- c. Draft Agenda for the June 20, 2017 Risk & Audit Committee Meeting
- d. Quarterly Status Report – Office of Audit Services
- e. Quarterly Status Report – Enterprise Risk Management

- f. Quarterly Status Report – Enterprise Compliance
- g. CalPERS Ethics Helpline Report

Action Agenda Items

- Cheryl Eason 6. Review of the Risk & Audit Committee Delegation

Information Agenda Items

- 7. Audit
 - Beliz Chappuie a. Office of Audit Services 2016-17 Mid-Year Plan Update
 - Beliz Chappuie b. Third Party Valuation and Certification of the State and Schools Plans as of June 30, 2015
 - Beliz Chappuie c. External Assessment of the Office of Audit Services Quality Assurance & Improvement Program
 - Beliz Chappuie d. Governmental Accounting Standards Board (GASB) Statement No. 68 Audit Services
- 8. Enterprise Compliance
 - Cheryl Eason a. Enterprise Compliance 2016-17 Mid-Year Plan Update
 - Marlene Timberlake
 - D'Adamo
- 9. Enterprise Risk Management
 - Cheryl Eason a. Enterprise Risk Management 2016-17 Mid-Year Plan Update
 - Forrest Grimes
- Cheryl Eason 10. Summary of Committee Direction
- 11. Public Comment

Closed Session
TBD

(Government Code sections 11126(a)(1) and (g)(1))

- 1. Call to Order and Roll Call
- 2. Consent Items

Action Consent Items

- a. Approval of the November 15, 2016 Risk & Audit Committee Closed Session Meeting Minutes



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| Beliz Chappuie | 3. Personnel, Employment and Performance – Chief Auditor |
| Marlene Timberlake
D'Adamo | 4. Personnel, Employment and Performance – Chief Compliance Officer |
| Kit Crocker | 5. Personnel, Employment and Performance – Investment Director |

Notes

- 1) Items designated for Information are appropriate for Committee Action if the Committee wishes to take action. Any Agenda Item from a properly noticed Committee meeting, held immediately prior to this Board meeting, may be considered by the Board.
- 2) The hour designated as the earliest starting time for this meeting is not intended to communicate the expected duration (or ending time) of the preceding meeting.
- 3) Public comment may be taken on any agenda item. There is a three-minute limitation on each public comment, unless otherwise directed by the Committee Chair.
- 4) Board Members may attend meetings of committees of which they are not members and participate in the discussions during those meetings.