



## Risk and Audit Committee Meeting Agenda

**Robert F. Carlson Auditorium**  
Lincoln Plaza North  
400 P Street  
Sacramento, CA

**November 15, 2016**

3:00 pm

Or upon adjournment or recess of the  
Finance & Administration Committee —  
Whichever is later

### **COMMITTEE MEMBERS**

Ron Lind, Chair  
Dana Hollinger, Vice Chair  
JJ Jelincic  
Priya Mathur

Bill Slaton  
Theresa Taylor  
Betty Yee

### **AGENDA**

**Open Session**  
3:00 pm

Or Upon Adjournment of the Finance & Administration  
Committee, Whichever is Later

Cheryl Eason

Cheryl Eason

1. Call to Order and Roll Call
2. Executive Report
3. Consent Items

#### **Action Consent Items:**

- a. Approval of the September 20, 2016 Risk and Audit  
Committee Meeting Minutes

Cheryl Eason

4. Consent Items

#### **Information Consent Items:**

- a. 2016 Annual Calendar Review
- b. 2017 Annual Calendar Review
- c. Draft Agenda for the February 14, 2017 Risk and  
Audit Committee Meeting
- d. Quarterly Status Report – Office of Audit Services
- e. Quarterly Status Report – Enterprise Risk  
Management
- f. Quarterly Status Report – Enterprise Compliance
- g. CalPERS Ethics Helpline Report

### **Action Agenda Items**

#### **5. Audit**

Beliz Chappuie  
Rick Green, Macias Gini &  
O'Connell

- a. Independent Auditor's Report – Fiscal Years 2015-16
- b. Review of Independent Auditor's Management Letter

Beliz Chappuie

- c. Finalist Interviews for the Independent Financial Statement Auditor

### **Information Agenda Items**

#### **6. Audit**

Beliz Chappuie  
David Driscoll  
Aaron Shapiro

- a. Third Party Valuation and Certification of the Contracting Public Agency Plans as of June 30, 2014

#### **7. Enterprise Risk Management**

Cheryl Eason  
Forrest Grimes

- a. Semi-Annual Enterprise Risk Reports

Cheryl Eason

#### **8. Summary of Committee Direction**

#### **9. Public Comment**

### **Closed Session**

Upon adjournment or Recess of Open Session  
(Government Code sections 11126(a)(1) and (g)(1))

#### **1. Call to Order and Roll Call**

#### **2. Consent Items**

### **Action Consent Items**

- a. Approval of the September 20, 2016 Risk and Audit Committee Closed Session Meeting Minutes

Beliz Chappuie

#### **3. Personnel, Employment and Performance – Chief Auditor**

Marlene Timberlake  
D'Adamo

#### **4. Personnel, Employment and Performance – Chief Compliance Officer**

Kit Crocker

#### **5. Personnel, Employment and Performance – Investment Director**



**Notes**

- 1) Items designated for Information are appropriate for Committee Action if the Committee wishes to take action. Any Agenda Item from a properly noticed Committee meeting, held immediately prior to this Board meeting, may be considered by the Board.
- 2) The hour designated as the earliest starting time for this meeting is not intended to communicate the expected duration (or ending time) of the preceding meeting.
- 3) Public comment may be taken on any agenda item. There is a three-minute limitation on each public comment, unless otherwise directed by the Committee Chair.
- 4) Board Members may attend meetings of committees of which they are not members and participate in the discussions during those meetings.