



Risk and Audit Committee Meeting Agenda

Robert F. Carlson Auditorium
Lincoln Plaza North
400 P Street
Sacramento, CA

November 15, 2016
TBD
Or upon adjournment or recess of the
(Committee) — Whichever is later

COMMITTEE MEMBERS

Ron Lind, Chair
Dana Hollinger, Vice Chair
JJ Jelincic
Priya Mathur

Bill Slaton
Theresa Taylor
Betty Yee

AGENDA

Open Session
TBD

Or Upon Adjournment of the (Committee),
Whichever is Later

Cheryl Eason

1. Call to Order and Roll Call

Cheryl Eason

2. Executive Report

3. Consent Items

Action Consent Items:

a. Approval of the September 20, 2016 Risk & Audit
Committee Meeting Minutes

Cheryl Eason

4. Consent Items

Information Consent Items:

a. 2016 Annual Calendar Review

b. 2017 Annual Calendar Review

c. Draft Agenda for the March 14, 2017 Risk & Audit
Committee Meeting

d. Quarterly Status Report – Office of Audit Services

e. Quarterly Status Report – Enterprise Risk
Management

f. Quarterly Status Report – Enterprise Compliance

g. CalPERS Ethics Helpline Report

Action Agenda Items

- 5. Audit
 - Beliz Chappuie
 - a. Third Party Valuation and Certification of the Contracting Public Agency Plans as of June 30, 2014
 - Beliz Chappuie
 - b. Third Party Valuation and Certification of the State and Schools Plans as of June 30, 2015
 - Beliz Chappuie
Rick Green, Macias Gini & O'Connell
 - c. Independent Auditor's Report – Fiscal Years 2015-16
 - Beliz Chappuie
 - d. Finalist Interviews for the Independent Financial Statement Auditor

Information Agenda Items

- 6. Audit
 - Beliz Chappuie
 - a. Office of Audit Services 2016-17 Mid-Year Plan Update
- 7. Enterprise Compliance
 - Marlene Timberlake
D'Adamo
 - a. Enterprise Compliance 2016-17 Mid-Year Plan Update
- 8. Enterprise Risk Management
 - Forrest Grimes
 - c. Enterprise Risk Management 2016-17 Mid-Year Plan Update
- 9. Summary of Committee Direction
 - Cheryl Eason
- 10. Public Comment

Closed Session

TBD

(Government Code sections XXXXX(x)(x) and (x)(x))

- 1. Call to Order and Roll Call
- 2. Consent Items

Action Consent Items

- a. Approval of the September 20, 2016 Risk & Audit Committee Closed Session Meeting Minutes



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| Beliz Chappuie | 3. Personnel, Employment and Performance – Chief Auditor |
| Marlene Timberlake
D'Adamo | 4. Personnel, Employment and Performance – Chief Compliance Officer |
| Kit Crocker | 5. Personnel, Employment and Performance – Investment Director |

Notes

- 1) Items designated for Information are appropriate for Committee Action if the Committee wishes to take action. Any Agenda Item from a properly noticed Committee meeting, held immediately prior to this Board meeting, may be considered by the Board.
- 2) The hour designated as the earliest starting time for this meeting is not intended to communicate the expected duration (or ending time) of the preceding meeting.
- 3) Public comment may be taken on any agenda item. There is a three-minute limitation on each public comment, unless otherwise directed by the Committee Chair.
- 4) Board Members may attend meetings of committees of which they are not members and participate in the discussions during those meetings.