



Risk and Audit Committee Meeting Agenda

Robert F. Carlson Auditorium
Lincoln Plaza North
400 P Street
Sacramento, CA

September 20, 2016
2:00 pm
Or Upon Adjournment or Recess of the
Board Governance Committee — Whichever is Later

COMMITTEE MEMBERS

Ron Lind, Chair
Dana Hollinger, Vice Chair
JJ Jelincic
Priya Mathur

Bill Slaton
Theresa Taylor
Betty Yee

AGENDA

Open Session
2:00 pm

Or Upon Adjournment or Recess of the Board Governance
Committee, Whichever is Later

1. Call to Order and Roll Call
 2. Executive Report
 3. Consent Items
- Action Consent Items:**
- a. Approval of the June 13, 2016 Risk & Audit Committee Meeting Minutes
- Cheryl Eason
4. Consent Items
- Cheryl Eason
- Information Consent Items:**
- a. 2016 Annual Calendar Review
 - b. 2017 Annual Calendar Review
 - c. Draft Agenda for the November 15, 2016 Risk & Audit Committee Meeting
 - d. Quarterly Status Report – Office of Audit Services
 - e. Quarterly Status Report – Enterprise Risk Management
 - f. Quarterly Status Report – Enterprise Compliance
 - g. 2015-16 Annual Compliance Report

- h. CalPERS Ethics Helpline Report
- i. External Audit Fees

Action Agenda Items

- Beliz Chappuie
 - 5. Audit
 - a. Finalist Selection for the Independent Financial Statement Auditor

Information Agenda Items

- Cheryl Eason
Forrest Grimes
 - 6. Risk Management
 - a. Risk Profile Review
- Cheryl Eason
 - 7. Summary of Committee Direction
 - 8. Public Comment

Closed Session

(Government Code sections 11126(a)(1) and (g)(1))

- 1. Call to Order and Roll Call
- 2. Consent Items

Action Consent Items

- a. Approval of the June 13, 2016 Risk & Audit Closed Session Meeting Minutes
- Beliz Chappuie
 - 3. Personnel, Employment and Performance – Chief Auditor
- Marlene Timberlake
D’Adamo
 - 4. Personnel, Employment and Performance – Chief Compliance Officer
- Kit Crocker
 - 5. Personnel, Employment and Performance – Investment Director

Notes

- 1) Items designated for Information are appropriate for Committee Action if the Committee wishes to take action. Any Agenda Item from a properly noticed Committee meeting, held immediately prior to this Board meeting, may be considered by the Board.
- 2) The hour designated as the earliest starting time for this meeting is not intended to communicate the expected duration (or ending time) of the preceding meeting.
- 3) Public comment may be taken on any agenda item. There is a three-minute limitation on each public comment, unless otherwise directed by the Committee Chair.
- 4) Board Members may attend meetings of committees of which they are not members and participate in the discussions during those meetings.