



# Risk and Audit Committee Meeting Agenda

**Robert F. Carlson Auditorium**  
Lincoln Plaza North  
400 P Street  
Sacramento, CA

**June 13, 2016**

3:00 p.m.

Or upon adjournment or recess of the  
Investment Committee Closed Session,  
Whichever is later

## **COMMITTEE MEMBERS**

Ron Lind, Chair  
Dana Hollinger, Vice Chair  
JJ Jelincic  
Priya Mathur

Bill Slaton  
Theresa Taylor  
Betty Yee

## **AGENDA**

**Open Session**  
3:00 p.m.

Or Upon Adjournment or Recess of the Investment  
Committee Closed Session, Whichever is Later

Cheryl Eason

1. Call to Order and Roll Call

Cheryl Eason

2. Executive Report

3. Consent Items

### **Action Consent Items:**

a. Approval of the April 19, 2016 Risk and Audit  
Committee Meeting Minutes

Cheryl Eason

4. Consent Items

### **Information Consent Items:**

a. 2016 Annual Calendar Review

b. 2017 Annual Calendar Review

c. Draft Agenda for the September 20, 2016 Risk  
and Audit Committee Meeting

d. Quarterly Status Report – Office of Audit Services

e. Quarterly Status Report – Enterprise Risk  
Management

f. Quarterly Status Report – Enterprise Compliance

g. CalPERS Ethics Helpline Report

**Action Agenda Items**

Marlene Timberlake D'Adamo

5. Enterprise Compliance Management

a. 2016-17 Enterprise Compliance Plan

6. Enterprise Risk Management

Forrest Grimes

a. 2016-17 Enterprise Risk Management Plan

7. Audit

Beliz Chappuie  
Rick Green, Macias Gini &  
O'Connell

a. Independent Auditor's 2016 Annual Plan

Beliz Chappuie

b. 2016-17 Office of Audit Services Plan

**Information Agenda Items**

8. Audit

Beliz Chappuie

a. Audit Resolution Policy Revision

9. Enterprise Risk Management

Cheryl Eason  
Forrest Grimes

a. Semi-Annual Enterprise Risk Reports

Cheryl Eason

10. Summary of Committee Direction

11. Public Comment

**Closed Session**

Upon Adjournment or Recess of  
Open Session

**(Government Code sections 11126(a)(1) and (g)(1))**

1. Call to Order and Roll Call

2. Consent Items

**Action Consent Items**

Beliz Chappuie

a. Approval of the April 19, 2016 Risk and Audit  
Committee Closed Session Meeting Minutes

Marlene Timberlake D'Adamo

3. Personnel, Employment and Performance – Chief  
Auditor

Kit Crocker

4. Personnel, Employment and Performance – Chief  
Compliance Officer

5. Personnel, Employment and Performance –  
Investment Director



**Notes**

- 1) Items designated for Information are appropriate for Committee Action if the Committee wishes to take action. Any Agenda Item from a properly noticed Committee meeting, held immediately prior to this Board meeting, may be considered by the Board.
- 2) The hour designated as the earliest starting time for this meeting is not intended to communicate the expected duration (or ending time) of the preceding meeting.
- 3) Public comment may be taken on any agenda item. There is a three-minute limitation on each public comment, unless otherwise directed by the Committee Chair.
- 4) Board Members may attend meetings of committees of which they are not members and participate in the discussions during those meetings.