



Risk and Audit Committee Meeting Agenda

Location

Robert F. Carlson Auditorium
400 P Street
Sacramento, CA

June 15, 2016

TBD

Or upon adjournment or Recess of the
(Committee), Whichever is later

COMMITTEE MEMBERS

Ron Lind, Chair
JJ Jelincic, Vice Chair
Rob Feckner
Priya Mathur

Bill Slaton
Theresa Taylor
Betty Yee

AGENDA

Open Session
TBD

Or Upon Adjournment or Recess of the (Committee),
Whichever is Later

Cheryl Eason
Cheryl Eason

1. Call to Order and Roll Call
2. Executive Report
3. Consent Items

Action Consent Items:

- a. Approval of the April 19, 2016 Risk and Audit Committee Meeting Minutes

Cheryl Eason

4. Consent Items

Information Consent Items:

- a. 2016 Annual Calendar Review
- b. 2017 Annual Calendar Review
- c. Draft Agenda for the September 20, 2016 Risk and Audit Committee Meeting
- d. Quarterly Status Report – Office of Audit Services
- e. Quarterly Status Report – Enterprise Risk Management
- f. Quarterly Status Report – Enterprise Compliance

g. CalPERS Ethics Helpline Report

Action Agenda Items

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|-------------------------------------|--|
| Marlene Timberlake D'Adamo | 5. Enterprise Compliance Management |
| | a. 2015-17 Enterprise Compliance Pan |
| Forrest Grimes | 6. Enterprise Risk Management |
| | a. 2015-17 Enterprise Risk Management Plan |
| Beliz Chappuie | 7. Audit |
| Beliz Chappuie | a. 2015-17 Audit Plan – Office of Audit Services |
| Rick Green, Macias Gini & O'Connell | b. Independent Auditor's 2015 Annual Plan |

Information Agenda Items

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| Cheryl Eason | 8. Enterprise Risk Management |
| Forrest Grimes | a. Semi-Annual Enterprise Risk Reports (Dashboard) |
| Cheryl Eason | 9. Summary of Committee Direction |
| | 10. Public Comment |

Closed Session
TBD

Topic
(Gov. Code sections 11126(a)(1) and (g)(1))

1. Call to Order and Roll Call
2. Consent Items

Action Consent Items

- | | |
|----------------------------|---|
| Beliz Chappuie | a. Approval of the April 19, 2016 Risk and Audit Committee Closed Session Minutes |
| Marlene Timberlake D'Adamo | 2. Personnel, Employment and Performance – Chief Auditor |
| Wylie Tollette | 3. Personnel, Employment and Performance – Chief Compliance Officer |
| | 4. Personnel, Employment and Performance – Chief Operating Investment Officer |

Notes

- 1) Items designated for Information are appropriate for Committee Action if the Committee wishes to take action. Any Agenda Item from a properly noticed Committee meeting, held immediately prior to this Board meeting, may be considered by the Board.
- 2) The hour designated as the earliest starting time for this meeting is not intended to communicate the expected duration (or ending time) of the preceding meeting.
- 3) Public comment may be taken on any agenda item. There is a three-minute limitation on each public comment, unless otherwise directed by the Committee Chair.
- 4) Board Members may attend meetings of committees of which they are not members and participate in the discussions during those meetings.