



Risk and Audit Committee Meeting Agenda

Location

Robert F. Carlson Auditorium
400 P Street
Sacramento, CA

April 19, 2016

1:00 p.m.

Or upon adjournment of the
Finance & Administration Committee,
Whichever is later

COMMITTEE MEMBERS

Ron Lind, Chair
JJ Jelincic, Vice Chair
Rob Feckner
Priya Mathur

Bill Slaton
Theresa Taylor
Betty Yee

AGENDA**Open Session**

1:00 p.m.

Or Upon Adjournment or Recess of the Finance &
Administration Committee, Whichever is Later

Cheryl Eason

Cheryl Eason

Cheryl Eason

1. Call to Order and Roll Call
2. Election of the Risk and Audit Committee Chair and Vice Chair
3. Executive Report
4. Consent Items

Action Consent Items:

- a. Approval of the November 17, 2015 Risk and Audit Committee Meeting Minutes

5. Consent Items

Information Consent Items:

- a. 2016 Annual Calendar Review
- b. 2017 Annual Calendar Review
- c. Draft Agenda for the June 15, 2016 Risk and Audit Committee Meeting
- d. Quarterly Status Report – Office of Audit Services
- e. Quarterly Status Report – Enterprise Risk Management

- f. Quarterly Status Report – Enterprise Compliance
- g. CalPERS Ethics Helpline Report

Action Agenda Items

Cheryl Eason
Matt Jacobs

- 6. Review of the Risk and Audit Committee Delegation
- 7. Audit
 - a. Review of Independent Auditor’s Management Letter

Beliz Chappuie
Rick Green, Macias Gini &
O’Connell

Information Agenda Items

Beliz Chappuie

- 8. Audit
 - a. Audit Resolution Policy Revision
- 9. Enterprise Compliance Management
 - a. Semi-Annual Compliance Plan Update
- 10. Summary of Committee Direction
- 11. Public Comment

Marlene Timberlake D’Adamo
Kami Niebank
Cheryl Eason

Closed Session

Upon Adjournment or Recess of Open Session
(Government Code sections 11126(a)(1) and (g)(1))

- 1. Call to Order and Roll Call
- 2. Consent Items

Action Consent Items

Beliz Chappuie

- a. Approval of the November 17, 2015 Risk and Audit Committee Closed Session Minutes
- 3. Personnel, Employment and Performance – Chief Auditor
- 4. Personnel, Employment and Performance – Chief Compliance Officer
- 5. Personnel, Employment and Performance – Chief Operating Investment Officer

Marlene Timberlake D’Adamo

Wylie Tollette



Notes

- 1) Items designated for Information are appropriate for Committee Action if the Committee wishes to take action. Any Agenda Item from a properly noticed Committee meeting, held immediately prior to this Board meeting, may be considered by the Board.
- 2) The hour designated as the earliest starting time for this meeting is not intended to communicate the expected duration (or ending time) of the preceding meeting.
- 3) Public comment may be taken on any agenda item. There is a three-minute limitation on each public comment, unless otherwise directed by the Committee Chair.
- 4) Board Members may attend meetings of committees of which they are not members and participate in the discussions during those meetings.