

**April 2016 Prospective Report**

**Item 3b, Attachment 1**

**Solicitations, Contracts, Purchase Orders and Letters of Engagement - \$1 Million or Greater and Contracts Exceeding 5 Year Contract Duration**

	SOLICITATIONS	Program	Release	Anticipated Contract Start	Description of Services
1.	Real Assets Valuation Management Services (RFP No. TBD) INVO-Wide	INVO	Summer 2016	July 2017	Manage appraisal schedule, administer quarterly valuation process, and valuation reviews
Request for Proposal; single award; five year contract term; estimated contract value \$40M over the duration of the contract.					
2.	Global Equity Transition Manager Solicitation (Solicitation No. TBD) INVO-Wide	INVO	Summer 2016	Winter 2017	Investment management services for Global Equity
Solicitation for investment manager services; may result in one or multiple contract awards with undefined contract term; paid on base and/or performance fees that may exceed \$1M/year.					
3.	Global Equity Diversification Manager Solicitation (Solicitation No. TBD) INVO-Wide	INVO	Summer 2016	Summer/Spring 2016	Investment management services for Global Equity
Solicitation for investment manager services; may result in one or multiple contract awards with undefined contract term; paid on base and/or performance fees that may exceed \$1M/year.					
4.	Long Term Care (LTC) Program Third-Party Administrator (Solicitation No. 2016-8180)	HPAD/LTC	May 2016	January 2018	Provide full service long term care program administration and services: Application; underwriting and issuance; benefit eligibility; care and claims management; appeals; dedicated customer services; website development and maintenance; and, banking & billing services.
Solicitation; single award; five year contract term; estimated contract value \$100M over the duration of the contract.					

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5.	<b>Specialty Investment Audit Services</b> (RFP No. TBD)	OFAS	January 2017	July 2017	Replace expiring pool of qualified independent firms that provide expertise for compliance or consulting reviews of investment advisors, partners, managers or programs and consultants that provide services on an as needed basis.
Request for Proposal; multiple contract awards; five year contract term; estimated contract value \$1.5M/year.					
6.	<b>Public Affairs, Communication Support, Video Production and Multimedia Services Spring-Fed Pool</b> (RFP No. 2016-8159)	PAOF	May 2016	August 2016	Communications support, video, multimedia, audiovisual support, live event presentation, production equipment maintenance, captioning and web event services on an "as needed" basis.
Request for Proposal; multiple contracts; five year contract term; estimated contract value not to exceed \$750K/year.					
7.	<b>Open Enrollment Communication Material</b> (RFP No. TBD)	PAOF	Summer 2016	August 2016	Production and fulfillment of Open Enrollment Communication Dissemination Materials.
Request for Proposal; single contract award; five year contract term; estimated contract value not to exceed \$550K/year.					

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	NEW CONTRACTS/AMENDMENTS	Program	Award/ Initiate	Contract/ Amendment Start	Description of Services
1.	State Controller's Office Interagency Agreement (IAA) (Contract No. TBD)	HPAD	Spring 2016	July 2016	Key entry and processing services for the payment of the State's contribution for premiums pursuant to the Public Employees' Medical and Hospital Care Act (Title 2, Division 5, Part 5, Chapter 1, Article 7) of the Government Code, related to employees on the State's payroll.
Interagency Agreement; five year term; estimated contract value not to exceed \$3.9M over the duration of the contract.					
2.	CaremarkPCS Health, LLC (Contract No. 2011-6153, Amendment No. 4)	HPAD	January 2016	January 2016	Pharmacy Benefits Manager
Amend existing contract; amendment to increase funds by \$4.3M for FY 16/17. Estimated contract value not to exceed \$58.3M. Amendment required due to new Market Check pricing and trend guarantee effective January 1, 2016.					
3.	TOBAM (Contract No. TBD) INVO-Wide	INVO	New	Spring 2016	Investment advisor services for Global Equity. New investment advisory services contract for analysis of alternative measurements and indices to achieve maximum diversification.
Exemption from Competitive Bid; contract value is 'Undefined', paid on base and/or performance fees that are projected to exceed \$1M/year.					
4.	Contract Name TBD (Contract No. TBD) INVO-Wide	INVO	New	Spring/Summer 2016	Investment <i>management</i> services for Global Equity. New investment management services delivering solutions that are focused on maximizing Sharpe Ratio and provide protection from severe drawdowns.
Exemption from State Competitive Bid process; however each Investment Manager contract is awarded through the Investment Portal which is a rigorous internal selection process; contract term is 'Without Defined Duration'; contract value is 'Undefined', paid on base and/or performance fees that are projected to exceed \$1M/year.					

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6.	Contract Name TBD (Contract No. TBD) INVO-Wide	INVO	New	Spring/Summer 2016	Investment <i>advisor</i> services for Global Equity. New investment advisory services for an external model provider delivering solutions that are focused on maximizing Sharpe Ratio and provide protection from severe drawdowns.
Exemption from State Competitive Bid process; however each Investment Manager contract is awarded through the Investment Portal which is a rigorous internal selection process; contract value is 'Undefined', paid on base and/or performance fees that are projected to exceed \$1M/year.					
7.	Contract Name TBD (Contract No. TBD) INVO-Wide	INVO	New	May/June 2016	Investment advisor services for Global Equity. New investment advisory services contract for analysis of alternative measurements and indices to achieve maximum diversification; limited number of potential providers.
Exemption from State Competitive Bid process; however each Investment Manager contract is awarded through the Investment Portal which is a rigorous internal selection process; contract value is 'Undefined', paid on base and/or performance fees that are projected to exceed \$1M/year.					
8.	Contract Name TBD (Contract No. TBD) INVO-Wide	INVO	New	May/June 2016	Investment advisor services for Global Equity. New investment advisory services contract for analysis of alternative measurements and indices to achieve maximum diversification; limited number of potential providers.
Exemption from State Competitive Bid process; however each Investment Manager contract is awarded through the Investment Portal which is a rigorous internal selection process; contract value is 'Undefined', paid on base and/or performance fees that are projected to exceed \$1M/year.					

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9.	Contract Name TBD (Contract No. TBD) INVO-Wide	INVO	New	May/June 2016	Investment advisor services for Global Equity. New investment advisory services contract for analysis of alternative measurements and indices to achieve maximum diversification; limited number of potential providers.
Exemption from State Competitive Bid process; however each Investment Manager contract is awarded through the Investment Portal which is a rigorous internal selection process; contract value is 'Undefined', paid on base and/or performance fees that are projected to exceed \$1M/year.					
10.	Contract Name TBD (Contract No. TBD) INVO-Wide	INVO	New	May/June 2016	Investment management services for Global Equity. New investment management services contract for analysis of alternative measurements and indices to achieve maximum diversification; limited number of potential providers.
Exemption from State Competitive Bid process; however each Investment Manager contract is awarded through the Investment Portal which is a rigorous internal selection process; contract term is 'Without Defined Duration'; contract value is 'Undefined', paid on base and/or performance fees that are projected to exceed \$1M/year.					
11.	Contract Name TBD (Contract No. TBD) INVO-Wide	INVO	New	Spring/Summer 2016	Investment management services for Global Equity. New investment management services contract for analysis of global business cycles, measurement of crowdedness among global investors asset classes expressed through a multi asset class partners mandate; limited number of potential providers.
Exemption from State Competitive Bid process; however each Investment Manager contract is awarded through the Investment Portal which is a rigorous internal selection process; contract term is 'Without Defined Duration'; contract value is 'Undefined', paid on base and/or performance fees that are projected to exceed \$1M/year.					

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12.	Contract Name TBD (Contract No. TBD) INVO-Wide	INVO	New	Spring/Summer 2016	Investment advisor services for Global Equity. New investment advisory services contract for analysis of global business cycles, measurement of crowdedness among global investors asset classes expressed through a multi asset class partners mandate; limited number of potential providers.
Exemption from State Competitive Bid process; however each Investment Manager contract is awarded through the Investment Portal which is a rigorous internal selection process; contract value is 'Undefined', paid on base and/or performance fees that are projected to exceed \$1M/year.					
13.	Contract Name TBD (Contract No. TBD) INVO-Wide	INVO	New	Summer/Fall 2016	Real Assets Investment Manager services. New investment management/advisory services contract for the infrastructure and other real assets of the core portfolio.
Exemption from State Competitive Bid process; however each Investment Manager contract is awarded through the Investment Portal which is a rigorous internal selection process; contract term will be for five (5) years; contract value is 'Undefined'.					
14.	Contract Name TBD (Contract No. TBD) INVO-Wide	INVO	New	Summer/Fall 2016	Real Assets Investment Manager services. New investment management/advisory services contract for the infrastructure and other real assets of the core portfolio.
Exemption from State Competitive Bid process; however each Investment Manager contract is awarded through the Investment Portal which is a rigorous internal selection process; contract term is 'Without Defined Duration'; contract value is 'Undefined'.					

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15.	<b>Contract Name TBD</b> (Contract No. TBD) INVO-Wide	INVO	New	Summer/Fall 2016	Real Assets Investment Manager services. New investment management/advisory services contract for the infrastructure and other real assets of the core portfolio.
Exemption from State Competitive Bid process; however each Investment Manager contract is awarded through the Investment Portal which is a rigorous internal selection process; contract term is 'Without Defined Duration'; contract value is 'Undefined'.					

	PURCHASE ORDERS	Program	Initiate	Change Order Effective	Description of Services
1.	<b>Heidrick &amp; Struggles</b> (Contract No. 2013-7054)	HRSD	Existing	April/May 2016	Search Firm Services within the Executive Search Spring-Fed Pool.
Amend existing purchase order; amendment to add \$77,150; estimated purchase order value not to exceed \$1,007,150.					
2.	<b>EMC Corporation</b> (Purchase Orders No. 51225 for software maintenance; No. 51226 for consulting services)	ITSB	Existing	July 2016	Managed data protection and operational recovery services.
Annual renewal; purchase order approximately \$2.2M for FY 16/17.					
3.	<b>Greenhill Cogent LP (formerly Cogent Partners)</b> (Contract No. 2011-6239) INVO-Wide	INVO	Existing	Spring 2016	Investment Banking Services for Private Equity
Existing Private Equity Spring-Fed Pool contract with new Letter of Engagement for secondary sales, transaction success fee may exceed \$1M for FY 15/16.					
4.	<b>Barra Inc. / MSCI Inc.</b>	INVO	Existing	N/A	Multiple asset classes. Data service provider; assists in internal portfolio management.
Subscription agreement; annual renewal; total purchase order approximately \$850K in FY 15/16.					

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	UNDEFINED – LEGAL SERVICES CONTRACTS	Program	Initiate	Contract/ Amendment Start	Description of Services
1.	Reed Smith, LLP (Contract No. 2014-7534)	LEGO	Existing	Existing	Outside legal counsel services for litigation.
Contract began January 2015; estimated contract value is \$1.2M for FY 15/16.					
2.	Pillsbury Winthrop Shaw & Pittman, LLP (Contract No. 2014-7411)	LEGO	Existing	Existing	Outside legal counsel services for Real Asset investments.
Contract began April 2015; estimated contract value is \$2.6M for FY 15/16.					

	EXISTING/ANNUAL REVIEW INVESTMENT MANAGER CONTRACTS*	Program	Award/ Initiate	Contract/ Amendment Start	Description of Services
*Existing Investment Manager Contracts that require Annual Review will be reported in December 2016 Prospective Report. Any new INVO Manager contracts are reported in April 2016 Prospective Report under the New Contract/Amendment Section.					

	CONTRACTS EXCEEDING 5 YEAR DURATION	Program	Initiate	Contract/ Amendment Start	Description of Services
1.	Charles River Systems, Inc. (Contract No. 2011-6287) INVO-Wide	INVO	Existing	April 2016	Investment management platform for equity assets. Amend contract to add scope of work to perform a necessary software version upgrade and provision for secondary use of data service.
Exemption from Competitive Bid, amend existing contract to extend the duration for three (3) additional years. Pricing structure of this contract is partially based on Assets Under Management (AUM); the exact fees can therefore not be determined over the life of the contract due to changes in prices, portfolio structure, asset allocation and strategy changes within the asset class.					



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	CONTRACTS EXCEEDING 5 YEAR DURATION	Program	Initiate	Contract/ Amendment Start	Description of Services
2.	<b>Public Affairs, Communication Support, Video Production and Multimedia Services Spring-Fed Pool Contracts</b>	PAOF	Existing	July 2016	Public Affairs, Communication Support, Video Production and Multimedia Services Spring-Fed Pool
The current contracts in the current Public Affairs Spring-Fed Pool will be extended beyond the 5 year contract duration to allow time for the new RFP (2016-8159) to be released and new contracts awarded. The Spring-Fed Pool contains contracts with 36 suppliers.					
3.	<b>Voya Institutional Plan Services, LLC (Contract No. 2011-6059)</b>	SPMD	August 2016	August 2016	Provide plan administration and recordkeeping services for defined contribution and deferred compensation plans for the CalPERS Supplemental Income Plans ("SIP").
Exemption from Competitive Bid; amend existing contract; two year contract term extension; estimated contract value not to exceed \$7M for the two year contract extension.					

**Legend:**

Health Plan Administration Division/Long Term Care	HPAD/LTC
Human Resources Division	HRSD
Investment Office	INVO
Legal Office	LEGO
Public Affairs	PAOF
Special Programs and Marketing Division	SPMD