

PRAs for November 2015

New PRAs Received	30
PRAs Closed	26

Monthly Averages from December 2014 to November 2015

PRAs Received	28
PRAs Closed	29

Annual Totals¹

Year	PRAs Received	PRAs Closed
2011	366	167
2012	606	266
2013	405	257

¹ PRAs received in one year may carry over to the following. Some PRAs can be handled through normal business processes and are routed to program areas for handling. These are not reflected in the closed numbers. Closed PRAs are considered those that the requestor receives a final correspondence and information from CalPERS.

2014	413	303
2015	329	330
Total PRAs as of November 30, 2015		
Active PRAs		23
Received PRAs		2264
Closed PRAs		1389
Canceled PRAs		852

Open Public Record Act Requests

	Date Received	Requesting Organization	Requestor's Name	PRA #	Brief Description
1	07/30/2015	Law Office of John Michael Jensen	John Michael Jensen	2116	28-point request regarding Military Service Credit.
2	07/30/2015	Law Offices of John Michael Jensen	John Michael Jensen	2117	28-point request regarding Airtime Service Credit. Very similar to #2109.
3	08/24/2015	Bloomberg.net	Alison Vekshin	2160	<p>1. Anne Stausboll's calendar of meetings both in and out of the office since Jan. 1, 2015, to the present. This would include details of the people who attended the meetings, including their names and affiliations.</p> <p>2. Same for Ted Eliopoulos.</p> <p>3. Copies of electronic or written correspondence between CalPERS staff and board members regarding the issue of identifying the amount the fund spends on carried interest. The time frame for the documents is since Jan. 1, 2015, to the present.</p> <p>4. Copies of electronic or written correspondence between CalPERS staff and private equity managers regarding the issue of identifying the amount the fund spends on carried interest. The time frame for the documents is since Jan. 1, 2015, to the present.</p> <p>5. Copies of electronic or written correspondence between CalPERS investment executives and staff regarding the issue of identifying the amount the fund spends on carried interest. The time frame for the documents is since Jan. 1, 2015, to the present.</p>

4	09/23/2015	N/A	Richard Lee	2210	Breaking of reciprocity of any County, City or State employee who had previously elected at the time of their severance of employment with the County, City or State that the funds they had paid into their County, City or State Employees Retirement System be subject to reciprocity with CalPERS.
5	09/30/2015	Optum	Marissa Watt	2219	CalPERS' current agreement with its vendor for pharmacy benefit management services.
6	10/02/2015	Quintiles	Melanie Mann	2222	I write to request access to and a copy of the current Pharmacy Benefit Management contract in place between the California Public Employees' Retirement System (CalPERS) and CVS Caremark.
7	10/23/2015	N/A	Brita Bayless	2270	Any and all CalPERS members retiring within the specified period, whose actual retirement benefits were or are less than was stated in any official CalPERS estimate issued to the member prior to the date of retirement.
8	10/30/2015	Wisconsin Dept. of Employee Trust Funds	Michael McNally	2277	I am requesting all of the confidentially redacted responses submitted by all of the vendors to RFI 2015-001. The responses from the vendors were due 5:00 p.m. PST, March 20, 2015.
9	11/03/2015	Fairfield	Walter Schuld	2280	8-point request on dual role retirees.

10	11/03/2015	East Bay Express	Darwin BondGraham	2283	<p>1. Please provide any letters or emails sent by any CalPERS board members to one another regarding the subjects of private equity; and investment management fees; and carried interest.</p> <p>2. Please provide me with copies of any lists of questions submitted by CalPERS board members to CalPERS staff regarding private equity; and investment management fees; and carried interest. Please provide also any responses to any lists of questions.</p> <p>3. Please provide me with any and all emails between staff and CalPERS board members, and between CalPERS board members, regarding private equity; alternative investments; and investment management fees; and carried interest.</p> <p>4. Please provide me with any contracts between CalPERS and any external company, person, adviser, consultant, etc., regarding media communications and the subject of private equity and fees. For this item, please search for any records created from January 1, 2015 to the present date.</p>
11	11/09/2015	Aurora Advisors	Susan Webber	2286	<p>1. The disclosure and report of the transaction made pursuant to California Government Code 20191.5(b) at the time the original investment was made, in 2008.B. The disclosure and report of the restructuring transaction in 2014, again pursuant to California Government Code 20191.5(b), as described in this press report: http://fortune.com/2014/08/20/calpers-quietly-sold-part-of-silver-lake-stake/</p> <p>2. All of the quarter-end performance reports from the inception up to the period prior to the one providedB. All of the capital contributions and distributions, as CalPERS provided in response to PRA #1385.</p> <p>3. The share counts at the time CalPERS made its initial investment, after any intervening transactions (including but not limited to the restructuring referenced in 2B) and as a result of the restructuring referenced in the Silver Lake Form ADV.</p>
12	11/10/2015	N/A	Ines Gonzalez	2289	Contract information between CalPERS and City of Oxnard

13	11/12/2015	N/A	Claudia Chang	2293	Record Dates - 6/30/1985 to 6/30/2015 In the CalPERS Facts at a Glance (Oct 2015), there is a chart that shows the historical net rates of return. I'd like this data for the last 30 years please. Can I also get the historical data on the Funded Status?
14	11/13/2015	Hunter, Hunter & Hunt, LLP	Jeff Trump	2296	This amount is being used to determine GASB 68 valuations for many 6/30/15 audited financial statements. I am interested in obtaining reports that will allow me to see the actuarial valuation for these particular pools at 6/30/13, and the conversion reports/calculations that will roll forward the balances to the previously mentioned net pension liability of \$6,222,464,124.
15	11/18/2015	CSR	Stephanie Hueg	2302	I request a list of PBM finalist and a copy of transcripts of pension and health benefits committee Nov 17, 2015 meeting including closed session reports.
16	11/18/2015	S&P Capital IQ	Catherine Ajaero	2303	7-point request regarding alternative asset investments of CalPERS.
17	11/18/2015	Evans, Wieckowski, Ward & Scofield, LLP	Mathew Evans	2304	Documents regarding the determination and calculation of the retirement rights and/or duties of Richard T. Hare, his employer, TCSIG and /or any third parties.
18	11/22/2015	Aurora Advisors Incorporated	Susan Webber	2310	10-point request regarding selection of fiduciary counsel.
19	11/22/2015	N/A	Francis Coats	2311	Any letter or charging document requiring Hampton to reimburse CalPERS for pension benefits paid, with all attachments. Any explanation of why it took so long for CalPERS to detect the over payments. Any explanation of whether Sutter County or Levee District Number One could have or should have detected the over payments.

20	11/23/2015	SmartProcure	Nadine Weeden	2313	Purchase Order/Vendor Information
					<ol style="list-style-type: none"> 1. Purchase order number. If purchase orders are not used a comparable substitute is acceptable, i.e., invoice, encumbrance, or check number. 2. Purchase date. 3. Line item details (Detailed description of the purchase). 4. Line item quantity. 5. Line item price. 6. Vendor ID number, name, address, contact person and their email address
21	11/24/2015	Lozano Smith Attorneys at Law	Thomas Manniello	2315	Please provide me with an electronic copy of the final decision of the Board of Administration in the Malkenhorst/City of Vernon case. This was on the agenda for the Board of Administration on November 18, 2015.
22	11/30/2015	Financial Guide	David Namazi	2317	I would like to receive a copy of the winning bid and also notes on my bid proposal showing scoring and where we fell short.
23	11/30/2015	PERS Retirement Betterment Committee	Jake Petrosino	2318	It would be most appreciated if your office will provide a current listing of local public agencies with miscellaneous and/or safety plans other than those specifically listed under PEPRAs-related legislation effective January 1, 2013.

Closed Public Record Act Requests

Closed Date	Requesting Organization	Requestor's Name	PRA #	Brief Description	Date Received	
1	11/03/2015	Butte County Administration	Shari McCracken	2226	<p>1. All data and documentation that support the health insurance rates set for all CalPERS regions in the State of California for the 2013, 2014, 2015, and 2016 plan years that are not exempt from disclosure under Government Code section 22854.5.</p> <p>2. Aggregate utilization data for Butte County for plan years 2013 and 2014, understanding that individual employee data is confidential under a number of laws and that Government Code section 22854.5 provides additional exemptions from disclosure.</p> <p>3. The data and documentation that support the regional groupings as they currently exist for purposes of calculating CalPERS rates.</p>	10/02/2015
2	11/03/2015	N/A	Edgar Miller	2243	Pursuant to the California Public Records Act I would like to request copies of any documents and/or communications provided to you by The Blackstone Group, or any of its affiliated funds, concerning any SEC investigation and the settlement announced on October 7, 2015	10/09/2015
3	11/03/2015	East Bay Express	Darwin BondGraham	2282	Responsive documents from PRA 2135 for Susan Webber.	11/03/2015
4	11/04/2015	N/A	Maren Diesen Kristensen	2272	CalPERS is one of the funds I want to study. I know CalPERS have restrictions of what and where the fund can invest. I have been looking around on your webpage trying to find a document that clearly state what products, conducts and countries you are restricted from investing in, but I cannot seem to find such a document. In that regard I am wondering if such a document exist? If not, are you able to provide me with such an overview?	10/26/2015

5	11/06/2015	NASDAQ	Shelia Mosby	2284	Please provide a breakdown of your shareholdings along with the investment advisors for each of our clients' or a breakdown of internally verses externally managed shares in each company as of Oct. 31, 2015.	11/04/2015
6	11/06/2015	Jackson Lewis	JeeHyun Yoon	2285	I would like all periodic statements, benefits brochures, explanation of benefits, and other related documents to assist with determining the retirement benefits (whether vested or unvested) that Dr. Anthony Jerome Culpepper is, or would be, receiving in connection with his employment with the Kern Community College District (or Bakersfield Community College).	11/05/2015
7	11/09/2015	N/A	Dan Fanelli	2273	Seeking the performance records and/or any documents sufficient to identify the asset level investment performance (track record) of Carlyle Realty Partners Fund III, Carlyle Realty Partners Fund IV, Carlyle Realty Partners Fund V, and Carlyle Realty Partners Fund VI. This should include the project level returns (gross) and the investment returns to the pension fund (net), for each asset in the portfolio of each of the private equity real estate funds listed above.	10/28/2015
8	11/12/2015	Oklahoma University	Mandy Duan	2276	<p>1. The private engaged company list. That is, CalPERS engaged with those companies' privates and therefore those companies were not in the public focus list. According to the Wilshire study, from 1999 to 2009 CalPERS engaged privately a total of 110 companies.</p> <p>2. The key issues that CalPERS discusses/engaged with the companies: on what subjects that CalPERS would like the targeted companies to improve on. The correspondence letters/documents with the targeted companies (including the private engagements) should be sufficient.</p>	10/30/2015
9	11/12/2015	Univ. Texas Law	Charles Silver	2287	I request all contracts regarding agreements with outside counsel to 1. Handle Security Fraud claims on behalf of CalPERS, and 2. Portfolio monitoring on behalf of CalPERS.	11/09/2015
10	11/13/2015	N/A	Tim McGinity	2262	RFPs 2013-7107 and 2014-7270. We are seeking the successful approvals for this RFP.	10/20/2015

11	11/16/2015	N/A	Deborah Moreno	2247	I am requesting the retirement formulas during the years of 1986 through 1993 for BU6 classifications titled: Parole Service Associate Parole Service Assistant	10/13/2015
12	11/16/2015	Fin News	Matthew McCue	2279	The name of the firm, the strategy they are managing for the plan (for example, U.S. large-cap growth, U.S. small-cap value, international equity) and the size of their allocation in U.S. dollars from the plan as of Oct. 30 of the 22 asset management firms that are currently managing assets for the plan under the global equity emerging manager program broken out by which of the five investment advisors (FIS Group, Leading Edge Investment Advisors, Legato Capital Management, Progress Investment Management Company and Strategic Investment Group) the firms were recommended by.	11/02/2015
13	11/16/2015	Stockton Firefighters	Jonathan Smith	2294	I am requesting the current CalPERS contract with the City of Stockton Fire Department.	11/13/2015
14	11/17/2015	N/A	Parvin Olfati	2254	<p>1. Any electronic mail messages, notes, memoranda, documents, records, and/or writings subpoenaed from CalPERS by the State Compensation Insurance Fund (SCIF), Board of Equalization (BOE), Department of Industrial Relations (DIR) and/or any other State of California agency in or about 2004, 2005, 2006 and/or 2007 that mention Parvin Olfati, produced by CALPERS in response to any such subpoena or subpoenas.</p> <p>2. Any records of any destruction, transfer, removal, alteration, and/or other non-availability of the records requested in Request Number 1 above.</p>	10/16/2015
15	11/17/2015	Aurora Advisors	Susan Webber	2256	Private Equity and Board information.	10/18/2015
16	11/17/2015	Activist Insight	Husein Bektic	2297	Monthly net returns of each of the funds either currently or historically included in CalPERS' corporate governance equity fund portfolio for the months June, July, August and September 2015, and if available also October 2015.	11/16/2015

17	11/18/2015	N/A	Jake Petrosino	2268	It would be most appreciated if your office will provide the report formerly known as the Contract Activity Bulletins, covering the third quarter of calendar year 2015 (July 1 through September 30).	10/23/2015
18	11/18/2015	N/A	Michael Vieira	2301	The contract for retirement benefits between the City of Tracy and CalPERS that was in effect as of November 1, 2015. Specifically, as it relates to Police Officers/ Public Safety Employees defined benefits for service credit, eligible/ reportable income.	11/17/2015
19	11/20/2015	N/A	Pete Wessel	2253	Please provide a copy of any reports that either scope/introduce the project, describe project progress, or evaluate/summarize/make findings/recommendations at or near conclusion of the project.	10/15/2015
20	11/20/2015	N/A	Todd Howard	2305	I am interested in knowing which public companies the CalPERS fund invests in. Which investment funds or which companies if you have the detail. Specifically, I am trying to determine in Republic Services or Waste Management are a part of the investment portfolio.	11/18/2015
21	11/20/2015	WMPR Law	Carol Koenig	2306	Contract(s) between CalPERS and the Lathrop-Manteca Fire Protection District.	11/18/2015
22	11/23/2015	Bloomberg News	Alison Vekshin	2291	Any documents, emails, reports and correspondence dealing with research being conducted on Edward A.H. Siedle that's referenced in the second paragraph of a June 25, 2015, email from Brad Pacheco to Douglas Hoffner. I'd also like to get copies of any reports out of your legal department's review of Siedle's background at the SEC.	11/12/2015
23	11/23/2015	Wall Street Journal	Brody Mullins	2292	I would like to request any and all material provided to you from an investment fund called Pointer Offshore from 2006 to the present. Specifically, I'm looking for any marketing materials, power point presentations, correspondence or meeting records from presentations regarding Pointer.	11/12/2015
24	11/23/2015	SCIF	Joshua Bright	2295	State Compensation Insurance Fund is seeking a copy of any contracts CalPERS has with Wolters Kluwer for audit software named Teammate.	11/13/2015

25	11/25/2015	N/A	Jeane Marquez	2309	Please provide the contract between CalPERS and the State of California from 1993.	11/20/2015
26	11/30/2015	Bloomberg	Mack Herlyn	2288	I am seeking the specific terms agreed to for the private equity investment made to Blackstone Capital Partners V.	11/09/2015