

PRAs for October 2015

New PRAs Received	37
PRAs Closed	33

Monthly Averages from November 2014 to October 2015

PRAs Received	28
PRAs Closed	29

Annual Totals¹

Year	PRAs Received	PRAs Closed
2011	366	167
2012	606	266
2013	405	257
2014	413	303

¹ PRAs received in one year may carry over to the following. Some PRAs can be handled through normal business processes and are routed to program areas for handling. These are not reflected in the closed numbers. Closed PRAs are considered those that the requestor receives a final correspondence and information from CalPERS.

2015	300	305
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Total PRAs as of October 31, 2015, 2011-2015

Active PRAs	18
Received PRAs	2222
Closed PRAs	1363
Canceled PRAs	841

Open Public Record Act Requests

	Date Received	Requesting Organization	Requestor's Name	PRA #	Brief Description
1	07/30/2015	Law Office of John Michael Jensen	John Michael Jensen	2116	28-point request regarding Military Service Credit.
2	07/30/2015	Law Offices of John Michael Jensen	John Michael Jensen	2117	28-point request regarding Airtime Service Credit. Very similar to #2109.
3	08/24/2015	Bloomberg.net	Alison Vekshin	2160	<p>1. Anne Stausboll's calendar of meetings both in and out of the office since Jan. 1, 2015, to the present. This would include details of the people who attended the meetings, including their names and affiliations.</p> <p>2. Same for Ted Eliopoulos.</p> <p>3. Copies of electronic or written correspondence between CalPERS staff and board members regarding the issue of identifying the amount the fund spends on carried interest. The time frame for the documents is since Jan. 1, 2015, to the present.</p> <p>4. Copies of electronic or written correspondence between CalPERS staff and private equity managers regarding the issue of identifying the amount the fund spends on carried interest. The time frame for the documents is since Jan. 1, 2015, to the present.</p> <p>5. Copies of electronic or written correspondence between CalPERS investment executives and staff regarding the issue of identifying the amount the fund spends on carried interest. The time frame for the documents is since Jan. 1, 2015, to the present.</p>
4	09/23/2015	N/A	Richard Lee	2210	Breaking of reciprocity of any County, City or State employee who had previously elected at the time of their severance of employment with the County, City, or State that the funds they had paid into their County, City or State Employees Retirement System be subject to reciprocity with CalPERS.

5	09/30/2015	Optum	Marissa Watt	2219	CalPERS' current agreement with its vendor for pharmacy benefits management services.
6	10/02/2015	Quintiles	Melanie Mann	2222	I write to request access to and a copy of the current Pharmacy Benefit Management contract in place between the California Public Employees' Retirement System (CalPERS) and CVS Caremark.
7	10/02/2015	Butte County Administration	Shari McCracken	2226	<p>1. All data and documentation that support the health insurance rates set for all CalPERS regions in the State of California for the 2013, 2014, 2015, and 2016 plan years that are not exempt from disclosure under Government Code section 22854.5.</p> <p>2. Aggregate utilization data for Butte County for plan years 2013 and 2014, understanding that individual employee data is confidential under a number of laws and that Government Code section 22854.5 provides additional exemptions from disclosure.</p> <p>3. The data and documentation that support the regional groupings as they currently exist for purposes of calculating CalPERS rates.</p>
8	10/09/2015	N/A	Edgar Miller	2243	Pursuant to the California Public Records Act I would like to request copies of any documents and/or communications provided to you by The Blackstone Group, or any of its affiliated funds, concerning any SEC investigation and the settlement announced on October 7, 2015
9	10/13/2015	N/A	Deborah Moreno	2247	I am requesting the retirement formulas during the years of 1986 through 1993 for BU6 classifications titled:Parole Service AssociateParole Service Assistant
10	10/15/2015	N/A	Pete Wessel	2253	Please provide a copy of any reports that either scope/introduce the project, describe project progress, or evaluate/summarize/make findings/recommendations at or near conclusion of the project.

11	10/16/2015	N/A	Parvin Olfati	2254	<p>1. Any electronic mail messages, notes, memoranda, documents, records, and/or writings subpoenaed from CalPERS by the State Compensation Insurance Fund (SCIF), Board of Equalization (BOE), Department of Industrial Relations (DIR) and/or any other State of California agency in or about 2004, 2005, 2006 and/or 2007 that mention Parvin Olfati, produced by CALPERS in response to any such subpoena or subpoenas.</p> <p>2. Any records of any destruction, transfer, removal, alteration, and/or other nonavailability of the records requested in Request Number 1 above.</p>
12	10/18/2015	Aurora Advisors	Susan Webber	2256	Private Equity and Board information.
13	10/20/2015	N/A	Tim McGinity	2262	RFPs 2013-7107 and 2014-7270. We are seeking the successful approvals for this RFP.
14	10/23/2015	EDD	Alex Hernandez	2267	Can you please provide me with the current monthly stipend limitations for our current Board? Thanks in advance.
15	10/23/2015	N/A	Jake Petrosino	2268	It would be most appreciated if your office will provide the report formerly known as the Contract Activity Bulletins, covering the third quarter of calendar year 2015 (July 1 through September 30).
16	10/23/2015	N/A	Brita Bayless	2270	Any and all CalPERS members retiring within the specified period, whose actual retirement benefits were or are less than was stated in any official CalPERS estimate issued to the member prior to the date of retirement.
17	10/26/2015	N/A	Maren Diesen Kristensen	2272	CalPERS is one of the funds I want to study. I know CalPERS have restrictions of what and where the fund can invest. I have been looking around on your webpage trying to find a document that clearly state what products, conducts and countries you are restricted from investing in, but I cannot seem to find such a document. In that regard I am wondering if such a document exist? If not, are you able to provide me with such an overview?

18	10/28/2015	N/A	Dan Fanelli	2273	Seeking the performance records and/or any documents sufficient to identify the asset level investment performance (track record) of Carlyle Realty Partners Fund III, Carlyle Realty Partners Fund IV, Carlyle Realty Partners Fund V, and Carlyle Realty Partners Fund VI. This should include the project level returns (gross) and the investment returns to the pension fund (net), for each asset in the portfolio of each of the private equity real estate funds listed above.
19	10/30/2015	Oklahoma University	Mandy Duan	2276	<p>1. I have already had a list of all public focus list companies since the inception of the program. What I am looking for are the private engaged company list. That is, CalPERS engaged with those companies' privates and therefore those companies were not in the public focus list. According to the Wilshire study, from 1999 to 2009 CalPERS engaged privately a total of 110 companies.</p> <p>2. The key issues that CalPERS discuss/engaged with the companies: on what subjects that CalPERS would like the targeted companies to improve on. The correspondence letters/documents with the targeted companies (including the private engagements) should be sufficient.</p>
20	10/30/15	Wisconsin Dept. of Employee Trust Funds	Michael McNally	2277	I am requesting all of the confidentially redacted responses submitted by all of the vendors to RFI 2015-001. The responses from the vendors were due 5:00 p.m. PST, March 20, 2015.

Closed Public Record Act Requests

Closed Date	Requesting Organization	Requestor's Name	PRA #	Brief Description	Date Received
10/01/2015	WSJ	Dawn Lim	2128	<p>1. I am seeking the full list of private equity general partners who have provided figures on carried interest (amount they share in profits) for CalPERS's calendar year ended Dec. 31, 2014. I am not seeking the carried interest figures, ONLY the names of the managers who have been responsive to CalPERS' requests for carried interest disclosure and provided the figures.</p> <p>2. In similar vein, I am seeking the full list of private equity general partners who have provided figures on carried interest for CalPERS's fiscal year ended June. 30, 2015. Once again, I am not seeking the carried interest figures, ONLY the names of the managers who have been responsive to CalPERS' requests for carried interest disclosure and provided the figures.</p>	08/04/2015
10/01/2015	Stanford	Jiacui Li	2197	I am writing to request any data, or description (if data doesn't exist), about how CalPERS execute secondary market trading across different asset classes.	09/14/2015
10/02/2015	State Budget Solutions	Josh Barach	2208	Pension fund data sheets	09/23/2015
10/05/2015	N/A	Janet Thew	2223	Request for a copy of the Administrative Law Judge's proposed decision from the Office of Administrative Hearings (OAH) in the pending case of "CalPERS v. David L. Wheeler and The Loomis Fire Protection District" OAH Case #2014020769.	10/02/2015
10/05/2015	Precision Actuarial	Andrew Hart	2227	Actuarial Services Spring-Fed Pool information.	10/02/2015

6	10/06/2015	City of Anaheim	Grace McHaffie	2191	I would like to request: 1. The number of California agencies that have the 2@57 formula for safety. 2. The names of the agencies. 3. The formula those agencies had prior to the change.	09/09/2015
7	10/07/2015	N/A	Javier Cardenas	2236	Please provide a copy of any California Form 700 - Statement of Economic Interests document filed by CalPERS Board Member Theresa Taylor from 01/01/2015 to 09/30/2015. Thank you	10/07/2015
8	10/08/2015	Guggenheim Partners Investment Partners	Claude Baum	2221	I am looking for any/all of the Form 700 filings for this entity, including those of its officers, employees, etc.	10/01/2015
9	10/09/2015	N/A	Ted Machado	2156	I am requesting copies of all the contracts that CalPERS has issued from their Spring Fed pool in the last 12 months	08/19/2015
10	10/09/2015	Wisconsin Department of Employee Trusts Funds	Michael McNally	2240	I would like one (1) pdf of the most current Pharmacy Benefit Manger RFI released by CalPERS.	10/08/2015
11	10/12/2015	N/A	Dwayne Carlton	2244	Can you provide me the public safety agencies that have the 2% @57 PERS retirement formula in California?	10/09/2015
12	10/14/2015	Muckrock	Andrew Perez	2202	All emails exchanged, from 1/1/2010 to 8/30/15, between officials at CalPERS and email addresses associated with former President Bill Clinton and his associates.	09/16/2015
13	10/14/2015	Eurekahedge Pte Ltd	John Wong	2218	On behalf of Eurekahedge Private Limited, under the California Public Records Act, I would like to request a copy of the quarterly public records for Q3 Y2014, preferably in Excel or PDF format.	09/29/2015

14	10/14/2015	Sacramento Bee	Jon Ortiz	2246	We would like the exact files provided to Transparent California in the last year.	10/13/2015
15	10/16/2015	John Michael Jensen	John Michael Jensen	2159	Documents regarding legal fees and invoices in relation to Kesterson litigation.	08/21/2015
16	10/16/2015	N/A	Javier Cardenas	2235	Please provide the Time Reports submitted by CalPERS Board Member Theresa Taylor and any Stipends or any compensation she received from 01/01/2015 to 09/30/2015.	10/07/2015
17	10/19/2015	Claims Eval.	Robert Michael Hamby	2232	CalPERS. Health Plan Administration Division - Current Independent Review Organization provider Maximus. Requesting fee structure and terms for contracted services for the year 2015.	10/06/2015
18	10/19/2015	USC	Vanand Baroni	2234	I am trying to find data, specifically, the amount of money that CalPERS has invested in California companies within the private equity asset class going back as far as 1980.	10/07/2015
19	10/20/2015	Loevy Law	Caroline Hirst	2212	<p>1. Any partnership agreements or other agreements with any private equity firms or funds setting forth any fees or costs to be charged to the pension fund (or for which the fund is responsible).</p> <p>2. For each agreement with a private equity firm or fund responsive to Request No. 1, documents sufficient to identify the fees and costs actually charged to the pension fund (or for which the fund was responsible) on an annual basis by that private equity firm or fund.</p> <p>3. For each agreement with a private equity firm or fund responsive to Request No. 1, documents sufficient to identify the investment performance of that private equity firm or fund, including investment returns to the pension fund, on an annual basis.</p>	09/24/2015
20	10/20/2015	Guggenheim Partners	Claude Baum	2239	CA Forms 700 filed by (1) Chris Cook and (2) anyone employed by/associated with Guggenheim Partners	10/08/2015

21	10/20/2015	N/A	Bob Evert	2245	What was the annual reported salary for retirement calculations for Solano county supervisor Jim Spering for the fiscal year 2014 to 2015? If this information is not yet available, the 2013/2014 reporting will suffice.	10/12/2015
22	10/20/2015	Vienna University	Simona Schamper	2248	Information on how your private equity portfolio is divided up by geographic region as well as by type of fund (e.g. Mezzanine, Venture, LBO/MBO).	10/14/2015
23	10/20/2015	Stratos Legal	Kate Soubeiga	2259	All earning statements for Sarah Waite.	10/19/2015
24	10/20/2015	N/A	Dwayne Carlton	2260	Can you please provide the "Fire specific" agencies that have the 2%@57 PERS retirement formula in California?	10/20/2015
25	10/20/2015	Sac Bee	Jon Ortiz	2261	We would like the exact files provided to Transparent California in 2012-2014.	10/20/2015
26	10/21/2015	NEXSTAR	Kiyoshi Tomono	2264	A list of all pension payments made to former Bakersfield Police Officer Aaron Stringer, including the dates of the payments.	10/21/2015
27	10/22/2015	Wharton School	Jason Xiao	2258	Statements of investment policy, particularly how they relate to performance-based equity plans for executives.	10/19/2015
28	10/22/2015	NASDAQ	Shelia Mosby	2263	We ask that you please provide a breakdown of your shareholdings along with the investment advisors for each of our clients or a breakdown of internally verses externally managed shares in each company as of Sept 30, 2015.	10/20/2015
29	10/23/2015	CalSTRS	Tom Baker	2265	Please provide a copy of the RFP #2012-6385 that was issued by CalPERS for a Private Equity Accounting and Data Services.	10/22/2015
30	10/27/2015	FOIA Group	Rose Santos	2250	RFP 20147159 / Information Technology MyCALPERS Functional Optimization:1. The full winning bidder contract document	10/14/2015
31	10/27/2015	UNUM Insurance	Brenda DeRosier	2257	Rates of Approval/Denial/and or Appeal DR cases from CalPERS	10/19/2015

32	10/27/2015	N/A	Jackie Lee	2266	A copy of the transcript from the October 19 Investment Committee meeting	10/22/2015
33	10/29/2015	RWG Law	Aaron O'Dell	2209	Documents filed by Ms. Bradford, or on behalf of Ms. Bradford - including those filed by AFSCME Local 2325 appealing the letter determination issued by CalPERS on May 20, 2015 with respect to Bradford's request for reinstatement of service credit.	09/23/2015
34	10/29/2015	University of Oklahoma	Xiaoman Duan	2271	I am interested to learn CalPERS' private engagements with the targeted companies for issues related to corporate governance and shareholder proposals. Specifically, I am looking for a "focus list" of targeted companies and specific proposals. Please let me know if you have any suggestions or questions.	10/25/2015
35	10/29/2015	Citi	Thad Garrison	2274	I would like an electronic copy of any executed Securities Lending Contract for CalPERS.	10/29/2015