



# Risk & Audit Committee

California Public Employees' Retirement System

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November 17, 2015  
TBD

Or Upon Adjournment or Recess of the [committee name] Committee,  
Whichever is Later

Robert F. Carlson Auditorium  
Lincoln Plaza North  
400 P Street  
Sacramento, CA

## COMMITTEE MEMBERS

Ron Lind, Chair  
JJ Jelincic, Vice Chair  
Rob Feckner  
Priya Mathur

Bill Slaton  
Theresa Taylor  
Betty Yee

## MEETING AGENDA

**OPEN SESSION**  
TBD

Or Upon Adjournment or Recess of the [committee name]  
Committee, Whichever is Later

1. Call to Order and Roll Call

Cheryl Eason

2. Executive Report

Cheryl Eason

3. Consent Items

**Action Consent Items:**

a. Approval of the September 16, 2015, Risk & Audit  
Committee Meeting Minutes

Cheryl Eason

4. Consent Items

**Information Consent Items:**

a. 2015 Annual Calendar Review

b. 2016 Annual Calendar Review

c. Draft Agenda for the March 15, 2016, Risk & Audit  
Committee Meeting

d. Quarterly Status Report – Office of Audit Services

e. Quarterly Status Report – Enterprise Risk  
Management

- f. Quarterly Status Report – Enterprise Compliance
- g. CalPERS Ethics Helpline Report

**Action Agenda Items**

- 5. Audit
    - a. Request for Proposal (RFP) for Selection of Independent Financial Statement Auditor for Audit years 2017-21
    - b. Audit Resolution Policy Revision
    - c. Finalist Interviews for Actuarial Parallel Valuation and Certification Services
    - d. External Auditors Report – Fiscal Year 2014-15
- Beliz Chappuie
- Beliz Chappuie
- Beliz Chappuie
- Belize Chappuie  
Rick Green,  
Macias Gini &  
O’Connell

**Information Agenda Items**

- 6. Enterprise Risk Management
    - a. Semi-Annual Enterprise Risk Management Plan Update and Report (Dashboard)
  - 7. Enterprise Compliance Management
    - a. Semi-Annual Compliance Plan Update
  - 8. Public Comment
- Cheryl Eason
- Kami Niebank

**CLOSED SESSION**

[Or Upon Adjournment or Recess of Open Session  
(Gov. Code sections 11126(a)(1) and (g)(1))

- 1. Call to Order and Roll Call
  - 2. Consent Items
    - Action Consent Items**
      - a. Approval of September 16, 2015, Risk & Audit Committee Meeting Minutes
  - 3. Personnel, Employment and Performance – Chief Auditor
  - 4. Personnel, Employment and Performance – Interim Chief Compliance Officer
  - 5. Personnel, Employment and Performance – Chief Operating Investment Officer
- Beliz Chappuie
- Kami Niebank
- Wylie Tollette

**Notes**

- 1) Items designated for Information are appropriate for Committee Action if the Committee wishes to take action.
- 2) The hour designated as the earliest starting time for this meeting is not intended to communicate the expected duration (or ending time) of the preceding meeting.
- 3) Public comment may be taken on any Agenda Item. There is a 3-minute limitation on each public comment, unless otherwise directed by the Committee Chair.
- 4) Board Members may attend meetings of committees of which they are not members and participate in the discussions during those meetings.

DRAFT