



## Consent

### Agenda Item 4d

August 17, 2015

**ITEM NAME:** Monthly Update – Investment Compliance

**PROGRAM:** Total Fund

**ITEM TYPE:** Organizational Systems & Controls – Information Consent

#### **EXECUTIVE SUMMARY**

Enclosed is the Monthly Update - Investment Compliance for the month ended May 31, 2015 and June 30, 2015. This report includes summary information on the following items:

- Items Completed Under Delegated Authority
- Disclosure of Placement Agent Fees
- Investment Proposal Activity
- Policy Exceptions
- Disclosure of Closed Session Action Items
- Investment Transactions

For the quarter ended June 30, 2015, additional information is reported on the following items:

- Spring-Fed Pool Contract Status
- Investment Policy Administrative Changes

For the fiscal year ended June 30, 2015, additional information is reported on the following item:

- External Investment Resource Conflict of Interest Disclosures

The External Investment Resource disclosure information is a new report to be provided annually as required by the External Investment Resource Conflict of Interest Regulation. This regulation became effective April 1, 2015 and staff will provide this report to the Committee as of each June.

#### **STRATEGIC PLAN**

This item is not a specific product of the CalPERS' Strategic Plan, but is required by policy.

**BACKGROUND**

The information presented in this report is provided to the Investment Committee in response to historical requests made to staff by Committee members and to fulfill certain policy requirements.

**BENEFITS/RISKS**

Not Applicable

**BUDGET AND FISCAL IMPACTS**

Not Applicable

**ATTACHMENTS**

Attachment 1 – Monthly Update – Investment Compliance (Month Ended  
May 31, 2015)

Attachment 2 – Monthly Update – Investment Compliance (Month Ended  
June 30, 2015)

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