



# Risk & Audit Committee

California Public Employees' Retirement System

June 16, 2015

TBD

or Upon Adjournment or Recess of the  
Pension & Health Benefits Committee, Whichever is Later

Robert F. Carlson Auditorium  
Lincoln Plaza North  
400 P Street  
Sacramento, CA

## COMMITTEE MEMBERS

Ron Lind, Vice Chair  
Rob Feckner  
JJ Jelincic  
Priya Mathur

Bill Slaton  
Theresa Taylor  
Betty Yee

## MEETING AGENDA

### OPEN SESSION

[Add Time]

Or Upon Adjournment or Recess of the Pension & Health  
Benefits Committee, Whichever is Later] (If Applicable)

Cheryl Eason

Cheryl Eason

Cheryl Eason

1. Call to Order and Roll Call

2. Executive Report

3. Consent Items

#### **Action Consent Items:**

a. Approval of March 17, 2015, Risk & Audit  
Committee Meeting Minutes

4. Consent Items

#### **Information Consent Items:**

a. 2015 Annual Calendar Review

b. 2016 Annual Calendar Review

c. Draft Agenda for September 16, 2015, Risk &  
Audit Committee Meeting

d. Quarterly Status Report – Office of Audit Services

- e. Quarterly Status Report – Enterprise Risk Management
- f. Quarterly Status Report – Enterprise Compliance
- g. CalPERS Ethics Helpline Report

**Action Agenda Items**

- 5. Audits
    - a. 2015-17 Audit Plan – Office of Audit Services
    - b. External Auditor’s 2015 Annual Plan
  - 6. Enterprise Risk Management
    - a. 2015-17 Enterprise Risk Management Plan
    - b. 2015-17 Enterprise Compliance Plan
- Margaret Junker  
Rick Green,  
Macias Gini &  
O’Connell
- Margaret Junker
- Kami Niebank

**Information Agenda Items**

- 7. Enterprise Risk Management and Compliance
    - a. Semi-Annual Enterprise Risk Reports
  - 8. Public Comment
- Cheryl Eason

**CLOSED SESSION**

[Or Upon Adjournment or Recess of Open Session  
(Gov. Code sections 11126(a)(1) and (g)(1))

- 1. Call to Order and Roll Call
  - 2. Consent Items
    - Action Consent Items**
      - a. Approval of March 17, 2015, Risk & Audit Committee Meeting Minutes
  - 3. Personnel, Employment and Performance – Acting Chief Auditor
  - 4. Personnel, Employment and Performance – Interim Chief Compliance Officer
  - 5. Personnel, Employment and Performance – Interim Chief Risk Officer
- Young Hamilton
- Kami Niebank
- Margaret Junker

Wylie Tollette

6. Personnel, Employment and Performance – Chief  
Operating Investment Officer, Investment Compliance  
and Operational Risk

**Notes**

- 1) Items designated for Information are appropriate for Committee Action if the Committee wishes to take action.
- 2) The hour designated as the earliest starting time for this meeting is not intended to communicate the expected duration (or ending time) of the preceding meeting.
- 3) Public comment may be taken on any Agenda Item. There is a 3-minute limitation on each public comment, unless otherwise directed by the Committee.

DRAFT