

2015 Risk & Audit Committee Annual Agenda Item Calendar

<p><u>January</u></p> <p><i>Board Offsite</i></p>	<p><u>February</u></p> <p><i>No Meeting Scheduled</i></p>	<p><u>March (3/17)*</u></p> <ol style="list-style-type: none"> 1. Election of the Chair and Vice Chair (Action) 2. Quarterly Status Reports <ol style="list-style-type: none"> 2.1. Enterprise Risk Management Report 2.2. Compliance Report 2.3. Office of Audit Services Report 3. External Auditor Management Letter (Action) 4. Closed Session: Independence Confirmation <ol style="list-style-type: none"> 4.1. Chief Auditor 4.2. Chief Risk and Compliance Officer 	<p><u>April</u></p> <p><i>No Meeting Scheduled</i></p>
<p><u>May</u></p> <p><i>No Meeting Scheduled</i></p>	<p><u>June (6/16)*</u></p> <ol style="list-style-type: none"> 1. Review of the Risk & Audit Committee Delegation (Action) 2. 2015-17 Enterprise Risk Management Plan (A) 3. 2015-17 Audit Plan – Office of Audit Services (A) 4. External Auditor's 2015 Annual Plan 5. 2015-17 Enterprise Compliance Plan (A) 6. 2015-17 Audit Plan (Action) 7. External Auditor's Annual Plan (Action) 8. Semi-Annual Enterprise Risk Reports 9. Closed Session: Independence Confirmation <ol style="list-style-type: none"> 9.1. Chief Auditor 9.2. Chief Risk and Compliance Officer 	<p><u>July</u></p> <p><i>Board Offsite</i></p>	<p><u>August</u></p> <p><i>No Meeting Scheduled</i></p>
<p><u>September (9/15)*</u></p> <ol style="list-style-type: none"> 1. Quarterly and Year-End Status Reports <ol style="list-style-type: none"> 1.1. Enterprise Risk Management Report 1.2. Compliance Report 1.3. Office of Audit Services Report 2. External Audit Fees 3. 2014-15 Annual Compliance Report 4. Closed Session: Independence Confirmation <ol style="list-style-type: none"> 4.1. Chief Auditor 4.2. Chief Risk and Compliance Officer 	<p><u>October</u></p> <p><i>No Meeting Scheduled</i></p>	<p><u>November (11/17)*</u></p> <ol style="list-style-type: none"> 1. Quarterly Status Reports <ol style="list-style-type: none"> 1.1. Enterprise Risk Management Report 1.2. Compliance Report 1.3. Office of Audit Services Report 3. Audit Resolution Policy Revision (Action) 4. External Auditor's Report (Action) 5. Enterprise Risk Reports 6. Closed Session: Independence Confirmation <ol style="list-style-type: none"> 6.1. Chief Auditor 6.2. Chief Risk and Compliance Officer 	<p><u>December</u></p> <p><i>No Meeting Scheduled</i></p>

* The following items are reported at every meeting: Proposed Agenda (for the next meeting), Annual Rolling Calendar Review, the CalPERS Ethics Helpline Status Report, and Quarterly Status Reports (Enterprise Risk Management, Compliance, and Audit Services)