

2014 Risk & Audit Committee Annual Agenda Item Calendar

<p><u>January</u></p> <p><i>Board Offsite</i></p>	<p><u>February</u></p> <p><i>No Meeting Scheduled</i></p>	<p><u>March (3/18)*</u></p> <ol style="list-style-type: none"> 1. Election of the Chair and Vice Chair (Action) 2. Quarterly Status Reports <ol style="list-style-type: none"> 2.1. Enterprise Risk Management Report 2.2. Compliance Report 2.3. Office of Audit Services Report 3. Revision of Board Governance Policy (Action) 4. External Auditor Management Letter (Action) 5. Third Party Valuation and Certification of the State and Schools Plans as of June 30, 2012 (Action) 6. Closed Session: Independence Confirmation <ol style="list-style-type: none"> 6.1. Chief Auditor 6.2. Chief Risk and Compliance Officer 	<p><u>April</u></p> <p><i>No Meeting Scheduled</i></p>
<p><u>May</u></p> <p><i>No Meeting Scheduled</i></p>	<p><u>June (6/17)*</u></p> <ol style="list-style-type: none"> 1. Quarterly Status Reports <ol style="list-style-type: none"> 1.1. Enterprise Risk Management Report 1.2. Compliance Report 1.3. Office of Audit Services Report 2. Review of the Risk & Audit Committee Delegation (Action) 3. 2014-16 Risk Assessment Plan (Action) 4. 2014-16 Compliance Plan (Action) 5. 2014-16 Audit Plan (Action) 6. External Auditor's Annual Plan (Action) 7. Enterprise Risk Reports 8. Closed Session: Independence Confirmation <ol style="list-style-type: none"> 8.1. Chief Auditor 8.2. Chief Risk and Compliance Officer 	<p><u>July</u></p> <p><i>Board Offsite</i></p>	<p><u>August</u></p> <p><i>No Meeting Scheduled</i></p>
<p><u>September (9/16)*</u></p> <ol style="list-style-type: none"> 1. Quarterly and Year-End Status Reports <ol style="list-style-type: none"> 1.1. Enterprise Risk Management Report 1.2. Compliance Report 1.3. Office of Audit Services Report 2. External Audit Fees 3. 2013-14 Annual Compliance Report 4. Closed Session: Independence Confirmation <ol style="list-style-type: none"> 4.1. Chief Auditor 4.2. Chief Risk and Compliance Officer 	<p><u>October</u></p> <p><i>No Meeting Scheduled</i></p>	<p><u>November (11/18)*</u></p> <ol style="list-style-type: none"> 1. Quarterly Status Reports <ol style="list-style-type: none"> 1.1. Enterprise Risk Management Report 1.2. Compliance Report 1.3. Office of Audit Services Report 3. Audit Resolution Policy Revision (Action) 4. External Auditor's Report (Action) 5. Enterprise Risk Reports 6. Closed Session: Independence Confirmation <ol style="list-style-type: none"> 6.1. Chief Auditor 6.2. Chief Risk and Compliance Officer 	<p><u>December</u></p> <p><i>No Meeting Scheduled</i></p>

* The following items are reported at every meeting: Proposed Agenda (for the next meeting), Annual Rolling Calendar Review, the CalPERS Ethics Helpline Status Report, and Quarterly Status Reports (Enterprise Risk Management, Compliance, and Audit Services)