



## Consent

### Agenda Item 5d

March 17, 2014

**ITEM NAME:** Total Fund Monthly Update – Investment Compliance

**PROGRAM:** Total Fund

**ITEM TYPE:** Organizational Systems & Controls – Information Consent

#### **EXECUTIVE SUMMARY**

Enclosed is the Total Fund Monthly Update - Investment Compliance for the month ended January 31, 2014. This report includes summary information on the following items:

- Items Completed Under Delegated Authority
- Disclosure of Placement Agent Fees
- Investment Proposal Activity
- Policy Violations
- Disclosure of Closed Session Action Items

#### **STRATEGIC PLAN**

This item is not a specific product of the Strategic Plan, but is required by policy.

#### **BACKGROUND**

The information presented in this report is provided to the Investment Committee (IC) in response to historical requests made to staff by IC members and to fulfill certain policy requirements.

#### **BUDGET AND FISCAL IMPACTS**

Not Applicable

#### **ATTACHMENT**

Attachment 1 – Total Fund Monthly Update – Investment Compliance (Month Ended January 31, 2014)

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BRIAN MCQUADE  
Portfolio Manager  
Investment Compliance and Operational Risk

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CAROL MOODY  
Interim Chief Operating Investment Officer