

Solicitations, Contracts, Purchase Orders and Letters of Engagement - \$1 Million or Greater

	SOLICITATIONS	Program	Release	Anticipated Contract Start	Description of Services
1.	CalPERS Talent Management Spring-Fed Pool (RFP 2013-6895)	HRSD	January 2014	July 2014	Organizational and Leadership Development; Employee Training and Development; Succession and Workforce Planning; Performance Management.
Request for Proposal; multiple contract awards; five year contract term; estimated value \$4.5M/year, total \$22.5 total.					
2.	Investment Consulting Services Portfolio Assistance Spring Fed Pool No. 3 (RFP No. TBD)	INVO	Summer 2014	Spring 2015	Portfolio assistance (broad based across functional business operations); Investment office-wide use. Scope of services will be determined according to the needs of the Investment Office.
Request for Proposal; new pool reflecting restructure of the Investment Office spring-fed pools to better align terms and services; multiple contract awards; five year contract term; estimated contract value is undefined per fiscal year and may exceed of \$1M.					
3.	Investment Consulting Services Portfolio Assistance Spring Fed Pool No. 4 (RFP No. TBD)	INVO	Summer 2014	Spring 2015	Due diligence (broad based across functional business operations); Investment office-wide use. Scope of services will be determined according to the needs of the Investment Office.
Request for Proposal; new pool reflecting restructure of the Investment Office spring-fed pools to better align terms and services; multiple contract awards; five year contract term; estimated contract value is undefined per fiscal year and may exceed of \$1M.					

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	SOLICITATIONS	Program	Release	Anticipated Contract Start	Description of Services
4.	<p>Investment Consulting Services Non-Fiduciary Spring Fed Pool No. 5 (RFP No. TBD)</p>	INVO	Summer 2014	Summer 2015	<p>Non-fiduciary due diligence (broad based across functional business operations); Investment office-wide use. Scope of services will be determined according to the needs of the Investment Office.</p>
<p>Request for Proposal; new pool reflecting restructure of the Investment Office spring-fed pools to better align terms and services; multiple contract awards; five year contract term; estimated contract value is undefined per fiscal year and may exceed of \$1M.</p>					
5.	<p>Investment Consulting Services Spring Fed Pool No. 6 (RFP No. TBD)</p>	INVO	Summer 2014	Summer 2015	<p>Scope of services will be determined according to the needs of the Investment Office. Broad based across functional business operations for strategic portfolio analysis, monitoring and reporting, hedge fund managed account platform, investment and operational due diligence, and hedge fund administration.</p>
<p>Request for Proposal; new pool reflecting restructure of the Investment Office spring-fed pools to better align terms and services; multiple contract awards; five year term; estimated contract value is undefined per fiscal year and may exceed of \$1M.</p>					

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	SOLICITATIONS	Program	Release	Anticipated Contract Start	Description of Services
6.	Investment Consulting Services Primary Pension Consultant (RFP No. TBD)	INVO	Summer 2014	Summer 2015	Scope of services will be determined according to the needs of the Board, and may include special advisory services, communication and reporting, policy and performance reporting, manager search selection, and manager and program monitoring. Scope of services will be determined according to the needs of the Board.
Request for Proposal; Board primary pension consultant services; five year contract term, estimated value to exceed \$4.5M/year.					
7.	Active Global Equity (RFP No. 2013-6779) Global Equity	INVO	October 2013	April 2014	Investment management services for multi-asset class portfolio.
Investment Manager Solicitation via the Investment Proposal Tracking System; multiple contract awards with undefined contract term and contract value. Paid on base and/or performance fees that may exceed \$1M/year.					
8.	Absolute Return Strategies Managed Platform Services (RFP No. TBD) Absolute Return Strategies	INVO	January 2014	June 2014	Investment management services for managed platform.
Investment management services solicitation via the Investment Proposal Tracking System. Five year term, \$4M/year.					
9.	Global Fixed Income Alternative Solicitation (RFP No. TBD) Global Fixed Income	INVO	January 2014	June 2014	Investment management services for multi-asset class portfolio.
Investment Manager Solicitation via the Investment Proposal Tracking System; multiple contract awards with undefined contract term and contract value. Paid on base and/or performance fees that may exceed \$1M/year.					

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	SOLICITATIONS	Program	Release	Anticipated Contract Start	Description of Services
10.	Private Equity/Absolute Return Strategies/Infrastructure Outside Legal Counsel Services	LEGO	October 2013	January 2014	Outside legal counsel services for Private Equity, Absolute Return Strategies, and Infrastructure investments.
	Request for Solicitation; multiple contract awards; five year contract term; estimated value \$10M - \$20M total.				
11.	Real Assets Outside Legal Counsel Services	LEGO	TBD 2014	April 2015	Outside legal counsel services for Real Asset investments.
	Request for Solicitation; multiple contract awards; five year contract term; estimated value \$10M to \$20M total.				

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	NEW CONTRACTS/AMENDMENTS	Program	Award/ Initiate	Contract/ Amendment Start	Description of Services
1.	California Department of Human Resources (Contract No. TBD)	CASD	New	January 2014	Maintain dental enrollment records for all eligible annuitants for CALHR under provisions of the State Employee's Dental Care Act.
New IAA Contract; three year contract term; estimated contract value \$1.7M					
2.	Anthem Blue Cross (Contract No. TBD)	HPAD	March 2013	January 2014	Preferred Provider Organization (PPO) Health Plan Administrator.
New Contract; five year contract term; estimated contract value not to exceed \$500M total.					
3.	Health Maintenance Organization (HMO) Health Plan Administrator	HPAD	April 2013	January 2014	Health Maintenance Organization (HMO) Health Plan Administrative Services Includes Base Administrative, Wellness, & Disease Management fees.
New Contracts (Kaiser – Contract No. TBD, Anthem Blue Cross – Contract No. 2013-6938, Blue Shield – Contract No. 2013-6939, Sharp – Contract No. 2013-6755, United Healthcare – Contract No. 2013-6943, and Health Net- Contract No. 2013-6941); five year contract term; estimated value not to exceed \$1.72B total.					
4.	CVS Caremark – (Contract No. 2011-6153)	HPAD	Existing	January 2014	Pharmacy Benefit Manager
Amend existing contract; add two additional years to contract term and add \$29.5M to the contract value. This contract was approved as an Action Item by the Pension and Health Benefits Committee (PHBC) at the November 2013 meeting.					
5.	Milliman, Inc. – (Contract No. 2013-6920)	HRPD	August 2013	December 2013	Third Generation Health Care Decision Support System (HCDSS) data warehouse.
New contract; five year term; estimated contract value not to exceed \$18.3M. This contract was approved as an Action Consent Item by the Finance and Administration Committee (FAC) at the October 2013 Board meeting.					

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	NEW CONTRACTS/AMENDMENTS	Program	Award/ Initiate	Contract/ Amendment Start	Description of Services
6.	Barra, Inc. (Contract No. 2010-5737) Private Equity	INVO	Existing	November 2013	Risk management consulting services; Investment office-wide use.
	Amend existing contract; add two additional years, expand scope and funds; current contract \$3.765M; two-year amendment may exceed \$3.5M for total contract value of \$7.265M. Previously approved on the April 2013 Prospective Report, however Amendment start date has changed from April 2013 to November 2013.				
7.	BlackRock Financial Management, Inc. (Contract No. 2013-6927)	INVO	New	July 2014	Fixed income trading and operations platform and consulting services.
	Exempt from competitive bid; five year contract term; value may exceed \$39M.				
8.	Bard Consulting LLC (Contract No. TBD)	INVO	New	July 2014	Real Assets Investment Consulting Services.
	Exemption from competitive bid; five year contract term at \$1.5 million per fiscal year for total contract value of \$7.5M.				
9.	Callan Associates, Inc. (Contract No. TBD)	INVO	New	July 2014	Real Assets Investment Consulting Services.
	Exemption from competitive bid; five year contract term at \$1.5 million per fiscal year for total contract value of \$7.5M.				
10.	Courtland Partners, Ltd. (Contract No. TBD)	INVO	New	July 2014	Real Assets Investment Consulting Services.
	Exemption from competitive bid; five year contract term at \$1.5 million per fiscal year for total contract value of \$7.5M.				
11.	Crosswater Realty Advisors (Contract No. TBD)	INVO	New	July 2014	Real Assets Investment Consulting Services.
	Exemption from competitive bid; five year contract term at \$1.5 million per fiscal year for total contract value of \$7.5M.				

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	NEW CONTRACTS/AMENDMENTS	Program	Award/ Initiate	Contract/ Amendment Start	Description of Services
12.	Le Plastrier (LDC) (Contract No. TBD)	INVO	New	July 2014	Real Assets Investment Consulting Services.
	Exemption from competitive bid; five year contract term at \$3.5 million per fiscal year for total contract value of \$17.5M.				
13.	Nichols Consulting (Contract No. TBD)	INVO	New	July 2014	Real Assets Investment Consulting Services.
	Exemption from competitive bid; five year contract term at \$1.5 million per fiscal year for total contract value of \$7.5M.				
14.	Fan Asset Management (Contract No. TBD) Global Equity	INVO	New	July 2014	Investment market data services to assist in internal portfolio management.
	Exemption from competitive bid; payment based on assets and may exceed \$1.5M per fiscal year.				
15.	Pacific Alternative Asset Management, Co. Managed Account Platform for Emerging Managers	INVO	New	December 2013	Absolute Return Strategies managed account platform application for emerging managers.
	New contract; managed account platform, five year contract term at \$3 million per fiscal year for a total contract value of \$15M.				
16.	Research Affiliates, LLC (Contract No. TBD) Global Equity	INVO	New	April 2014	Investment market data services to assist in internal portfolio management.
	Exemption from Competitive bid; payment based on assets and may exceed \$5M per fiscal year.				

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	NEW CONTRACTS/AMENDMENTS	Program	Award/ Initiate	Contract/ Amendment Start	Description of Services
17.	State Street Bank – The PrivateEdge (Contract No. 2014-6921) Private Equity	INVO	New	July 2014	Risk management consulting services; Investment office-wide use.
	Exemption from competitive bid; new contract to mitigate a lapse in services between current Private Equity accounting and data services provider (State Street Bank and Trust Company, The Private Edge, Contract No. 2009-5004) and future Private Equity and accounting and data services provider (Capital Analytics II, LLC, Contract No. 2013-6727) and Private Equity investment book of record (eFront Financial Solutions, Inc., Contract No. 2013-6728) which was awarded through RFP No. 2012-6385 and RFP No. 2012-6481. Contract value may exceed \$2M per year for the 2 year contract term, for total contract value of \$4M.				
18.	Westpeak Global Advisors, LLC (Contract No. TBD)	INVO	New	February 2014	Global Equity investment management and research services.
	New contract, exemption from competitive bid, Investment Manager; may or may not be funded throughout FY 2013-14; if funded; base and/or performance fees may exceed \$1M.				
19.	Wilshire Associates Incorporated (Contract No. 2010-5543)	INVO	Existing	Existing	Primary Pension Consultant; Investment office-wide use.
	Amend existing contract to add one year to the contract term (7/1/10 – 6/30/15) and add \$3.5M to the contract value. Approved by the Investment Committee at the September 2013 Board Meeting.				

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	PURCHASE ORDERS	Program	Initiate	Change Order Effective	Description of Services
1.	Bloomberg	INVO	Existing	N/A	Multiple asset classes. Data service provider; assists in internal portfolio management.
	Annual renewal; total purchase order approximately \$4M in FY 2013/14.				
2.	Factset	INVO	Existing	N/A	Multiple asset classes. Data service provider; assists in internal portfolio management.
	Annual renewal; total purchase order approximately \$4M in FY 2013/14.				
3.	FTSE International Limited	INVO	Existing	N/A	Multiple asset classes. Data service provider; assists in internal portfolio management.
	Annual renewal; total purchase order approximately \$700K; total value through FY 2013-14 may exceed \$1M.				
4.	Glass Lewis Research on Proxy Propositions	INVO	Existing	N/A	Multiple asset classes. Proxy paper and voting services.
	Annual renewal; total purchase order approximately \$3M total value from FY 2013-14 through FY 2017-18.				
5.	Standard & Poor's Corporation Real Assets and Investment Servicing	INVO	Existing	N/A	Multiple Asset Classes. Data service provider; assists in internal portfolio management.
	Annual renewal; total purchase order approximately \$1M in FY 13/14.				
6.	Thompson Reuters Markets, LLC Real Assets and Investment Servicing	INVO	Existing	N/A	Multiple Asset Classes. Data service provider; assists in internal management portfolio management.
	Annual renewal; total purchase order approximately \$1M in FY 13/14.				

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	INVESTMENT MANAGER CONTRACTS	Program	Award/ Initiate	Contract/ Amendment Start	Description of Services
1.	Alliance Bernstein LP (Contract No. 2006-4120 and 2002-2658) 2 contracts – Global Fixed Income and Global Equity	INVO	Existing	Existing	Investment management services for various Affiliate Investment funds.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				
2.	Arrowstreet Capital, LP (Contract No. 2002-2945) Global Equity	INVO	Existing	Existing	Provide high yield investment management services.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				
3.	Artio Global Management, LLC (Contract No. 2008-4966) Global Fixed Income	INVO	Existing	Existing	Provide international fixed income and emerging markets equity investment management services.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				
4.	Baillie Gifford Overseas Limited (Contract No. 2000-2263) Global Equity	INVO	Existing	Existing	Provide high yield investment management services.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				
5.	Baring International Investment Limited (Contract No. 2000-2089) Global Fixed Income	INVO	Existing	Existing	Provide high yield investment management services.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				

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6.	Columbia Management Investment Advisors (Contract No. 2008-4960) Global Fixed Income	INVO	Existing	Existing	Provide international fixed income investment management services.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				
7.	Dimensional Fund Advisors, LP (Contract No. 2002-2657) Global Equity	INVO	Existing	Existing	Provide international equity investment management services.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				
8.	First Quadrant, LP (Contract No. 2006-4182) Global Equity	INVO	Existing	Existing	Provide emerging markets equity investment management services.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				
9.	FIS Group, Inc. (Contract No. 2007-4439) Global Equity	INVO	Existing	Existing	Provide domestic long-short investment management services.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				
10.	Genesis Asset Managers, LLP (Contract No. 2002-2659) Global Equity	INVO	Existing	Existing	Provide emerging manager fund of funds investment management services.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				

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11.	Grantham, May, Van Otterloo & Co, LLC (Contract No. 2000-2266) Global Equity	INVO	Existing	Existing	Provide emerging markets equity investment management services.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				
12.	ING Investment Management Company (Contract No. 2008-4965) Global Fixed Income	INVO	Existing	Existing	Provide high yield investment management services.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				
13.	J.P. Morgan Investments Management, Inc. (Contract No. 2008-4963 and 2007-4529) 2 contracts – Global Fixed Income and Global Equity	INVO	Existing	Existing	Provide high yield investment management services.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				
14.	Lazard Asset Management, LLC (Contract No. 2006-3943) Global Equity	INVO	Existing	Existing	Provide international equity investment management services.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				
15.	Leading Edge Investment Advisors (Contract No. 2007-4440) Global Equity	INVO	Existing	Existing	Provide emerging markets equity investment management services.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				

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	INVESTMENT MANAGER CONTRACTS	Program	Award/ Initiate	Contract/ Amendment Start	Description of Services
16.	Logan Circle Partners, LP (Contract No. 2008-4962) Global Fixed Income	INVO	Existing	Existing	Provide high yield and US long-short investment management services.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				
17.	Nomura Asset Management, USA, Inc. (Contract No. 2000-2274) Global Equity	INVO	Existing	Existing	Provide emerging manager fund of funds investment management services.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				
18.	Nomura Corporate Research and Asset Management, Inc. (Contract No. 2001-2621) Global Fixed Income	INVO	Existing	Existing	Provide high yield investment management services.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				
19.	Pacific Investment Management Company, Inc. (Contract No. 2001-2620 and 2006-4121) 2 contracts – Global Fixed Income	INVO	Existing	Existing	Provide high yield investment management services.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				
20.	Pareto Partners (Contract No. 96-129) Global Fixed Income	INVO	Existing	Existing	Provide domestic corporate securities and international fixed income investment management services.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				

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	INVESTMENT MANAGER CONTRACTS	Program	Award/ Initiate	Contract/ Amendment Start	Description of Services
21.	Progress Investment Management Company, LLC (Contract No. 2007-4442) Global Equity	INVO	Existing	Existing	Provide non-US equity investment management services.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				
22.	Pyramis Global Advisors Trust Company (Contract No. 2006-3954) Global Equity/ Affiliate Investment Programs	INVO	Existing	Existing	Provide large cap domestic equity investment management services.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				
23.	Pzena Investment Management, LLC (Contract No. 2000-2097) Global Equity	INVO	Existing	Existing	Provide emerging manager fund of funds investment management services.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				
24.	Rogge Global Partners (Contract No. 2000-2091) Global Fixed Income	INVO	Existing	Existing	Provide currency overlay investment management services.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				
25.	State Street Global Advisors (Contract No. 2012-6541)	INVO	TBD	TBD	Investment management services to provide index fund product services for the CalPERS trust funds.
	Existing Investment Manager; undefined term and value; based on basis points and Assets Under Management; may exceed \$1M.				

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	INVESTMENT MANAGER CONTRACTS	Program	Award/ Initiate	Contract/ Amendment Start	Description of Services
26.	Stux Capital Management (Contract No. 2011-6219) Global Equity	INVO	Existing	Existing	Provide domestic equity enhanced investment management services.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				
27.	The Boston Company Asset Management (Contract No. 97-288) Global Equity	INVO	Existing	Existing	Provide domestic equity investment management services.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				
28.	The Putnam Advisory Company, LLC (Contract No. 2008-4961) Global Fixed Income	INVO	Existing	Existing	Provide currency overlay investment management services.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				
29.	T. Rowe Price Associates, Inc. (Contract No. 2005-3725) Global Equity	INVO	Existing	Existing	Provide domestic active equity investment management services.
	Existing Investment Manager; may or may not be funded throughout FY 2013-14; if funded, base and/or performance fees may exceed \$1M.				
30.	Wasach Advisors Inc. (Contract No. 2012-6692)	INVO	Existing	Existing	Investment management services to manage Emerging Markets Small Cap Portfolio.
	Existing Investment Manager, undefined term and value; fees based on assets under management; may exceed \$1M.				

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	CONTRACTS WITHOUT FIXED VALUES (non-investment manager where payment is based on specified fees, terms, and services)	Program	Award/ Initiate	Contract/ Amendment Start	Description of Services
1.	Bentall Kennedy Limited Partnership (Contract No. 2012-6615) Real Assets	INVO	Existing	Existing	Real estate investment property manager.
Contract expires December 2018; payment based on assets and may exceed \$1.5M any given year to that point.					
2.	Cantor Comparative Advantage (Contract No. 2010-5711) Global Equity	INVO	Existing	Existing	Data provider via proprietary system to assist internal portfolio management.
Contract expires March 2016; value in any given year to that point can be up to \$4M/year; payment based on performance.					
3.	CitiMortgage (Contract No. 2007-4581) Global Equity	INVO	Existing	Existing	Master Servicing for the Member Home Loan Program.
Undefined term; payment is based on number of active loans, unpaid principal, and basis points; may exceed \$1M.					
4.	Research Affiliates (Contract No. 2008-5008) Global Equity	INVO	Existing	Existing	Investment market data services to assist in internal portfolio management.
Contract expires May 2014; payment based on assets and may exceed \$1.5M any given year to that point.					

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	UNDEFINED – LEGAL SERVICES CONTRACTS	Program	Initiate	Contract/ Amendment Start	Description of Services
1.	K&L Gates (Contract No. 2010-5939)	LEGO	January 2014	January 2014	Outside legal counsel services for Municipal Bankruptcies.
Contract amendment/extension; one year term; estimated value \$4M.					
2.	Chapman & Cutler (Contract No. TBD)	LEGO	December 2013	January 2014	Outside legal counsel services for Private Equity, ARS and Infrastructure investments.
New Contract; five year contract term; estimated value \$1M.					
3.	K&L Gates (Contract No. TBD)	LEGO	December 2013	January 2014	Outside legal counsel services for Private Equity, ARS and Infrastructure investments.
New Contract; five year contract term; estimated value \$3.5M.					
4.	Foster Pepper (Contract No. TBD)	LEGO	December 2013	January 2014	Outside legal counsel services for Private Equity, ARS and Infrastructure investments.
New Contract; five year contract term; estimated value \$1M.					
5.	Morgan Lewis (Contract No. TBD)	LEGO	December 2013	January 2014	Outside legal counsel services for Private Equity, ARS and Infrastructure investments.
New Contract; five year contract term; estimated value \$4M.					

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6.	Nixon Peabody (Contract No. TBD)	LEGO	December 2013	January 2014	Outside legal counsel services for Private Equity, ARS and Infrastructure investments.
	New Contract; five year contract term; estimated value \$1M.				
7.	Pillsbury Winthrop (Contract No. TBD)	LEGO	December 2013	January 2014	Outside legal counsel services for Private Equity, ARS and Infrastructure investments.
	New Contract; five year contract term; estimated value \$4M.				
8.	K&L Gates (Contract No. 2010-6072)	LEGO	January 2014	April 2014	Outside legal counsel services for Real Asset investments.
	Contract amendment/extension; one year contract term; estimated value \$1M				
9.	Pillsbury Winthrop (Contract No. 2010-6055)	LEGO	January 2014	April 2014	Outside legal counsel services for Real Asset investments.
	Contract amendment/extension; one year contract term; estimated value \$1M				
10.	Cox Castle (Contract No. 2010-6040)	LEGO	January 2014	April 2014	Outside legal counsel services for Real Asset investments.
	Contract amendment/extension; one year contract term; estimated value \$1M				

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11.	K&L Gates (Contract No. 2010-5939)	LEGO	January 2014	January 2014	Outside legal counsel services for Municipal Bankruptcies.
	Contract amendment/extension; one year term; estimated value \$4M				
12.	Step toe & Johnson (Contract No. 2010-5899)	LEGO	January 2014	January 2014	Outside legal counsel services for Tax & Employee benefit.
	Contract amendment/extension; one year term; estimate value \$1.6M				

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	LETTERS OF ENGAGEMENT	Program	Initiate	Contract/ Amendment Start	Description of Services
1.	Strategic Management and Business Consulting Services Spring-Fed Pool Engagement	ESPD/INVO	Spring 2014	April 2014	Organization and Leadership Development; Strategy and Project Management - Enterprise- wide pool.
Services for this pool will be released through the letter of engagement process; engagements will not exceed \$2M in FY 13/14.					
2.	Bard Consulting LLC (Contract No. 2010-5565) Real Estate Consulting Spring-Fed Pool	INVO	Existing	Existing	Consulting Services, Portfolio Monitoring, Due Diligence, Special Program Assistance.
Total committed value is \$2.261M; commitment may exceed an additional \$1M in FY 13/14.					
3.	Callan Associates, Inc. (Contract No. 2010-5562) Real Estate Consulting Services Spring-Fed Pool	INVO	Existing	Existing	Consulting Services, Portfolio Monitoring.
December 2012 reported \$1.229M through 12/13 Q1; Through Q3 12/13 approximately \$946K has been committed; may exceed \$1M through FY 2013-14.					
4.	Le Plastrier (LDC) (Contract No. 2010-5550) Real Estate Consulting Spring-Fed Pool	INVO	Existing	Existing	Fund Oversight, Manager Monitoring
FY 2013-14 minimum cumulative LOE value is \$3.5M; value may exceed \$4M.					

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	LETTERS OF ENGAGEMENT	Program	Initiate	Contract/ Amendment Start	Description of Services
5.	Mosaic Investment Advisors, Inc. (Contract No. 2010-5550) Real Estate Consulting Spring-Fed Pool	INVO	Existing	Existing	Brokerage Consulting Services.
	December 2012 reported \$775K, FY 2013-14 services may reach approximately \$640K; value may exceed \$1M.				
6.	Pacific Alternative Asset Management, Co. (Contract No. 2010-5947) Global Equity Consulting Spring-Fed Pool	INVO	Existing	Existing	Provide domestic corporate securities management services.
	FY 2013-14 minimum cumulative LOE value may exceed \$1.5M				
7.	Private Equity Program Spring-Fed Pool formerly Alternative Investment Management Program Spring-Fed Pool (RFP 2010-5660 and 2011-6154, 27 contracts)	INVO	Existing	Existing	Fund/Investment Analysis, Due Diligence, and/or Prudent Person Opinions; Strategic Advice; Industry and Market Trends Analysis; Investment Banking, including Valuation and Restructuring Advice; Intermediary Services, such as Secondary Sales.
	Various consultants in the pool via the LOE Bid Request Process; estimated value of pool services approximately \$1.7M (based on FY 2012-13 projected commitments).				
8.	Real Estate Consulting Services Spring-Fed Pool (RFP 2009-5282, 20 contracts)	INVO	Existing	Existing	Portfolio and Manager Monitoring Assistance; Annual Budget/Planning; Process/Procedures; Strategic and Special Program Assistance; Investment Funds and Proposal Review; Incentive Fee and Quantitative Analysis.
	Various consultants in the pool via the LOE Bid Request Process; estimated value of pool services approximately \$8M (based on FY 2013-14 projected commitments).				

December 2013 Prospective Report

Item 3b, Attachment 1

Solicitations, Contracts, Purchase Orders and Letters of Engagement - \$1 Million or Greater

	LETTERS OF ENGAGEMENT	Program	Initiate	Contract/ Amendment Start	Description of Services
9.	UBS Alternative and Quantitative Investments, LLC (Contract No. 2010-5943) General Pension Consulting Spring-Fed Pool	INVO	Existing	Existing	Hedge Fund Administration.
FY 2012-13 engagement valued at approximately \$8M; future engagement value may exceed \$8M through FY 2013-14.					
10.	UBS Securities, LLC (Contract No. 2011-6091) Private Equity (formerly AIM) Consulting Spring-Fed Pool	INVO	Existing	Existing	Strategic advice, industry trends and market research, investment banking services, and investment intermediary services.
FY 2012-13 engagement valued at approximately \$990,000; future engagement value may exceed \$1M through FY 2013-14.					
11.	Pension Consulting Alliance (Contract No. 2009-5105) General Pension Consulting Spring-Fed Pool	INVO	Existing	Existing	General investment consulting services. Investment office-wide use.
FY 2013-14 minimum cumulative LOE value may exceed \$1.5M					

Legend:

Customer Account Services Division	CASD
Health Policy Administration Division	HPAD
Human Resources Division	HRSD
Investment Office	INVO
Legal Office	LEGO