

CalPERS Board of Administration PRA Summary Report, September 2013

| September 1 - September 30, 2013 | |
|---|----|
| New PRAs received | 12 |
| PRAs closed | 17 |

| Monthly Averages for Previous 12 Months | |
|--|----|
| PRAs received | 21 |
| PRAs closed | 19 |

| Annual Totals | 2011* | 2012 | 2013 |
|----------------------|--------------|-------------|-------------|
| PRAs received | 219 | 282 | 183 |
| PRAs closed | 194 | 292 | 201 |

*2011 totals - STRL received the process on 4/15/2011.

| Total Active PRAs | |
|--------------------------|----|
| As of September 30, 2013 | 26 |

CalPERS Board of Administration PRA Summary Report, September 2013



Closed Public Records Act Requests

| | Closed Date | Requesting Organization | Requestor's Name | PRA # | Brief Description | Date Received |
|----|-------------|---|-------------------|-------|---|---------------|
| 1 | 9/5/2013 | PERS Retirement Betterment Committee, Inc. | Jake Petrosino | 1293 | Request for July 1, 2013 local public agency rates and related funding status. | 7/11/2013 |
| 2 | 9/5/2013 | Trust Builders, Inc. | Jerusha Dressel | 1340 | Contribution ranges for multiple retirement formulas. | 8/15/2013 |
| 3 | 9/5/2013 | Trust Builders, Inc. | Jason Braun | 1356 | Contribution ranges for multiple retirement formulas. | 8/30/2013 |
| 4 | 9/6/2013 | Los Angeles Times | Paul Pringle | 1316 | Requesting corresponding dollar amounts for unused sick leave that was converted to service credit for retirees between 2009 and 2012. | 7/17/2013 |
| 5 | 9/6/2013 | Hanson Bridgett LLP | Steven Miller | 1339 | The current contract between CalPERS and Truven Health Analytics, and communications associated with the expiration, extension, or amendments to that contract. | 8/8/2013 |
| 6 | 9/6/2013 | Activist Insight | Jamie Dickson | 1343 | The names and monthly net performance for May and June 2013 of any funds in which CalPERS currently invests which have an activist or corporate governance strategy. | 8/21/2013 |
| 7 | 9/6/2013 | Analysis Group | John Drum | 1312 | Requesting information from January 1, 2003 to December 31, 2012 pertaining to: 1. The financial institutions with which the Program has (or had) custody arrangements; 2. The types of assets held at each custodian; 3. The market value of the assets held at each custodian; 4. Preliminary and executed copies of custody agreement; 5. The fee breakdown charged by each custodian including investment advisory, trustee, management, custodial, securities lending fees, administrative fees, and any other fees; 6. Any securities lending agreements, and any other documents that contain provisions relating to securities lending and other services provided by custodians, fees for securities lending and other services provided by custodians; 7. The market value of each investment in funds at each quarter end between January 1, 2003 and December 31, 2012; 8. The identity of funds that participate in securities lending (if any) in which the Program invested; and 9. For each fund identified above, the breakdown of fees (investment advisory, trustee, management, custodial, securities lending fees, administrative fees, and any other fees) paid by the Fund. | 7/22/2013 |
| 8 | 9/12/2013 | Sacramento Bee | Ginger Rutland | 1360 | List of Bay Area Rapid Transit (BART) retirees and their pension amounts. | 9/5/2013 |
| 9 | 9/13/2013 | N/A | Vannia De La Cuba | 1349 | Documents between CalPERS and the City of Pasadena in which CalPERS and/or the City refer to categories of City employees whose exclusion from enrollment in CalPERS is proposed, agreed upon, or otherwise mentioned. | 8/22/2013 |
| 10 | 9/13/2013 | Lewis, Feinberg, Lee, Renaker & Jackson, P.C. | Matthew Kintz | 1363 | Request for copies of the contract(s) creating the Miscellaneous Plan for the Town of Ross. | 9/4/2013 |

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| 11 | 9/13/2013 | California Legislature | Tim Donnelly | 1361 | Request for digital copy of the 2012 pension data that was to be posted on CalPERS. | 9/5/2013 |
| 12 | 9/17/2013 | Friends of Torrance Group/ Californian's Aware | Ashton Yeshica | 1321 | 1. Report with all CalPERS agency's hypothetical termination liability amounts by City location, or City CalPERS ID. 2. Report with all CalPERS active, retired or inactive members receiving CalPERS annuity disbursements or payments greater than or equal to \$100,000 per annual period (FY/CY). 3. Actual algorithm or valuation methodology for CalPERS' hypothetical termination liability formula. | 7/23/2013 |
| 13 | 9/17/2013 | N/A | Kevin Burkhart | 1362 | In connection with most recent RFP or RFQ, request a list of the law firms that responded to the RFP with a proposal, submission, bid, presentation, statement of qualifications. | 9/9/2013 |
| 14 | 9/17/2013 | N/A | Kirk Brown | 1366 | Copy of Organizational Chart for Chief Information Officer or Head of IT of CalPERS. Also containing the next level of management, those people who report to people that report to the Chief Information Officer or Head of IT. Contact information on the people listed in organizational chart to include: Business Address, Direct Business Telephone Number, and Business Email Address. | 9/16/2013 |
| 15 | 9/20/2013 | N/A | Dwayne Milnes | 1280 | City of Stockton retiree data listing name of retiree, date of retirement, number of years of retirement credit, monthly gross retirement amount for June 2013, and agency of retirement. | 7/6/2013 |
| 16 | 9/20/2013 | Roberts Ryan Investments, Inc. | Gary Cuiper | 1348 | Contracts for two specific external equity managers. Pzena Investment Management and Arrowstreet Capital. | 8/27/2013 |
| 17 | 9/25/2013 | N/A | Debra Mason | 1358 | Request for monthly retirement payment for retiree from city of Folsom. | 9/5/2013 |

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| 1 4/17/2013 | Law Office of John Michael Jensen | John Michael Jensen | 1205 | Documents relating to payments in addition to retirement, death benefit, refund check, etc. (Adjustment and one time payments). |
| 2 6/28/2013 | Law Office of John Michael Jensen | John M. Jensen | 1273 | Any and all documents referring or relating to financial bonuses, financial incentives or monetary enhancement offered and/or paid directly or indirectly by CalPERS to any entity, employee, or individual associated with deadline, delivery date, timeliness, or implementation date related to computer software, computer hardware, information processing, database, or related systems. |
| 3 6/28/2013 | Open The Books Portal | Laura Reigle | 1274 | An electronic copy of all active employee data broken down by name, employer, years of service, employer zip code, membership contributions. |
| 4 6/28/2013 | Open The Books Portal | Laura Reigle | 1275 | An electronic copy of all retiree data broken down by name, monthly gross allowance, retirement date, years of service, last employer, last employer zip code, state of residency, and final compensation. |
| 5 7/11/2013 | Procopio, Cory, Hargreaves and Savitch, LLP | Adriana Sanchez | 1294 | Any and all documents related to Dehesa Charter School's denial of participation in CalPERS pension plan. |
| 6 7/30/2013 | Kirby Noonan Lance & Hoge LLP | Christopher Wright | 1322 | Any and all investment records from January 1, 1992 to present that pertain to CalPERS investments with "Hearthstone" in their name. |
| 7 8/2/2013 | Law Office of John Michael Jensen | John Michael Jensen | 1335 | Complex 5 point request tied to pending litigation and Death Benefit Payments. |
| 8 8/2/2013 | Law Office of John Michael Jensen | John Michael Jensen | 1336 | Complex 4 point PRA tied to pending litigation, requesting documents and electronic files regarding computer vendors and system delays. |
| 9 8/2/2013 | Law Office of John Michael Jensen | John Michael Jensen | 1337 | Complex 7 point request regarding comp review appeal of Richard Lewis. |
| 10 8/2/2013 | Law Office of John Michael Jensen | John Michael Jensen | 1338 | Complex 6 point request regarding comp review appeal of James Towns. |
| 11 8/14/2013 | Sacramento Bee | Dan Morain | 1341 | Any and all letters regarding Mr. Tom McClintock in or about November 2008 regarding his options related to the pension system, informing him of his pension options, as well as, any generic letter that LRS or CalPERS issues to retiring legislators whose service predates Proposition 140 of 1990. |
| 12 8/15/2013 | Bay Area News Group | Thomas Peele | 1342 | A copy of CalPERS written PRA policy, as well as, 2012 gross pension amounts. |
| 13 8/27/2013 | California State Assembly | Gus Demas | 1355 | For the LRS only, requesting the employer contribution rate from 1982-2000 and 2012-2013. |
| 14 8/29/2013 | N/A | Tim Risch | 1350 | Pension data on all retirees that worked for the City of Sunnyvale, regardless if Sunnyvale was the last employer. |

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| 15 | 8/30/2013 | LaHabra Heights News Website | Stephen (no last name) | 1352 | Copies of letters, emails, or correspondences between CalPERS and the City of La Habra Heights or its representatives. |
| 16 | 9/3/2013 | N/A | Sue Stoneman | 1353 | Any and all records, from September 2011 through September 3, 2013, relating to the retirement account of Susan E. Stoneman. This includes all communication between CalPERS, its staff, the City of Santa Rosa, the County of Sonoma, ING Reliastar insurance company, its affiliates and agents, and any other second or third party internal and external to CalPERS, on the subject of Susan E. Stoneman and the application for, processing, and administration of her retirement benefit. |
| 17 | 9/5/2013 | California Common Sense | Madhusudan Ravi | 1357 | For each fiscal year between 1997-1998 to 2013-2014 total annual employer contributions for: every incorporated city in California, every county in California. |
| 18 | 9/11/2013 | San Diego Reader | Matthew Potter | 1364 | All records legally required by CALPERS to be furnished upon request per provisions of CPRA re James R. Faulconer, retiree, City of Oxnard, from the date of the retirement. |
| 19 | 9/16/2013 | Celar Systems Inc. | Sree Gaddam | 1370 | ITSB Spring Fed Pool RFP, I would like to request full disclosure (winning consultants resumes, rates, scores) under PRA. |
| 20 | 9/16/2013 | California Government Policy & Strategies | Anthony Souza | 1365 | RFP which resulted in the Pension System Resumption Project and the contract and all amendments awarded to Accenture LLC for the Pension System Resumption Project. |
| 21 | 9/18/2013 | VIP Consulting | Diana Diaz | 1368 | Submitted RFPs and Evaluation Materials; Competitor proposals and evaluation materials for the following projects: Information Security Roadmap Program Phase 2 Network Access Control (NAC) - Project Manager; Enterprise Software Testing Supplemental Support Test Management, Execution and Reporting Support; PeopleSoft Contracts Integration with PeopleSoft Financials; Actuarial Valuation System Assessment and Planning; Enterprise Software Testing Supplemental Support Test Management, Execution and Reporting Support, My CalPERS Application Development and Support. |
| 22 | 9/18/2013 | Mcorp | Thuy Truong | 1367 | Top 3 bids for Information Technology Consultants Spring-Fed Pool State of Work for Business Intelligence Delivery Services. The entire submittal, pricing and evaluation. |
| 23 | 9/18/2013 | Mcorp | Thuy Truong | 1372 | RFP for Business Intelligence Data and Technology Services. |
| 24 | 9/20/2013 | N/A | Julie Del Rivo | 1369 | Copy of the Health Management System contract to conduct State verification. |
| 25 | 9/25/2013 | CalDorado Group | Michael Hewitt | 1374 | Copies of all submitted bid/proposals and copy of the selection and evaluation criteria, subsequent contract award associated with consulting services for the My CalPERS Transition Management RFO and Contract. |
| 26 | 9/26/2013 | Mastagni, Holstedt, Amick, Miller & Johnsen | Kathleen Mastagni-Storm | 1375 | Please provide the health plans offered to full time employees employed by the Cosumnes Community Services District from January 1, 2007 to present. This request includes, but is not limited to the plan name, the total premium cost, the employer cost per plan and the employee cost per plan. |