



# Risk & Audit Committee

California Public Employees' Retirement System

---

November 19, 2013  
TBD

or Upon Adjournment or Recess of the  
[Committee Name], Whichever is Later

Robert F. Carlson Auditorium  
Lincoln Plaza North  
400 P Street  
Sacramento, CA

## COMMITTEE MEMBERS

JJ Jelincic, Chair  
Priya Mathur, Vice Chair  
Michael Bilbrey  
George Diehr

Rob Feckner  
Ron Lind  
Bill Slaton

## MEETING AGENDA

**OPEN SESSION**  
TBD

Or Upon Adjournment or Recess of the [Committee Name],  
Whichever is Later

Cheryl Eason

1. Call to Order and Roll Call

Cheryl Eason

2. Executive Report

3. Consent Items

### **Action Consent Items:**

a. Approval of the September 17, 2013, Meeting  
Minutes

Cheryl Eason

4. Consent Items

### **Information Consent Items:**

a. Annual Calendar Review

b. Draft Agenda for the March 2014 Committee  
Meeting

c. Enterprise Risk Management Division Status  
Report

d. Enterprise Compliance Division Status Report

e. CalPERS Ethics Helpline Report

f. Office of Audit Services Quarterly Status Report

**Action Agenda Items**

5. Audits

Margaret Junker

a. Audit Resolution Policy Revision

Margaret Junker /  
Rick Green

b. External Auditor's Report

**Information Agenda Items**

6. Office of Enterprise Risk Management

Kathleen Webb /  
Larry Jensen

a. Risk Exposure Reports

Kathleen Webb /  
Larry Jensen

b. Governance, Risk and Compliance Roadmap

7. Public Comment

**CLOSED SESSION**

Or Upon Adjournment or Recess of Open Session  
(Gov. Code sections 11126(a)(1) and (g)(1))

1. Call to Order and Roll Call

2. Consent Items

**Action Consent Items:**

a. Approval of the September 17, 2013, Meeting Minutes

3. Audits

Margaret Junker

a. Personnel, Employment and Performance –  
Chief Auditor

4. Office of Enterprise Risk Management

Kathleen Webb

a. Personnel, Employment and Performance –  
Chief Officer of Risk, Compliance, and Ethics

**Notes**

- 1) Items designated for Information are appropriate for Committee Action if the Committee wishes to take action.
- 2) The hour designated as the earliest starting time for this meeting is not intended to communicate the expected duration (or ending time) of the preceding meeting.
- 3) Public comment may be taken on any Agenda Item. There is a 3-minute limitation on each public comment, unless otherwise directed by the Committee Chair.
- 4) Board members may attend meetings of committees of which they are not members and participate in the discussions during those meetings.