

# 2013 Risk & Audit Committee Annual Agenda Item Calendar

<p><b><u>January</u></b></p> <p><i>Board Offsite</i></p>	<p><b><u>February</u></b></p> <p><i>No Meeting Scheduled</i></p>	<p><b><u>March*</u></b></p> <ol style="list-style-type: none"> <li>1. Election of the Chair and Vice Chair (<b>Action</b>)</li> <li>2. Status Reports             <ol style="list-style-type: none"> <li>2.1. Audit Services Report</li> <li>2.2. Compliance Report</li> <li>2.3. Enterprise Risk Management Report</li> </ol> </li> <li>3. Management Letter (<b>Action</b>)</li> <li>4. Review of the Risk &amp; Audit Committee Delegation (<b>Action</b>)</li> <li>5. Closed Session: Independence Confirmation             <ol style="list-style-type: none"> <li>5.1. Chief Auditor</li> <li>5.2. Chief Officer of Risk, Compliance and Ethics</li> </ol> </li> </ol>	<p><b><u>April</u></b></p> <p><i>No Meeting Scheduled</i></p>
<p><b><u>May</u></b></p> <p><i>No Meeting Scheduled</i></p>	<p><b><u>June*</u></b></p> <ol style="list-style-type: none"> <li>1. Status Reports             <ol style="list-style-type: none"> <li>1.1. Enterprise Risk Management Report</li> <li>1.2. Compliance Report</li> <li>1.3. Audit Services Report</li> </ol> </li> <li>2. 2013-15 Risk Assessment Plan (<b>Action</b>)</li> <li>3. 2013-15 Compliance Plan (<b>Action</b>)</li> <li>4. 2013-15 Audit Plan (<b>Action</b>)</li> <li>5. External Auditor's Annual Plan (<b>Action</b>)</li> <li>6. Risk Exposure Reports</li> <li>7. Closed Session: Independence Confirmation             <ol style="list-style-type: none"> <li>7.1. Chief Auditor</li> <li>7.2. Chief Officer of Risk, Compliance and Ethics</li> </ol> </li> </ol>	<p><b><u>July</u></b></p> <p><i>Board Offsite</i></p>	<p><b><u>August</u></b></p> <p><i>No Meeting Scheduled</i></p>
<p><b><u>September*</u></b></p> <ol style="list-style-type: none"> <li>1. Status Reports             <ol style="list-style-type: none"> <li>1.1. Enterprise Risk Management Report</li> <li>1.2. Compliance Report</li> <li>1.3. Audit Services Quarterly and Year-End Report</li> </ol> </li> <li>2. External Audit Fees</li> <li>3. 2012-13 Compliance Report (<b>Action</b>)</li> <li>4. Third Party Valuation and Certification of the Contracting Public Agency Plans as of June 30, 2011 (<b>Action</b>)</li> <li>5. Closed Session: Independence Confirmation             <ol style="list-style-type: none"> <li>5.1. Chief Auditor</li> <li>5.2. Chief Officer of Risk, Compliance and Ethics</li> </ol> </li> </ol>	<p><b><u>October</u></b></p> <p><i>No Meeting Scheduled</i></p>	<p><b><u>November*</u></b></p> <ol style="list-style-type: none"> <li>1. Status Reports             <ol style="list-style-type: none"> <li>1.1. Enterprise Risk Management Report</li> <li>1.2. Compliance Report</li> <li>1.3. Audit Services Report</li> </ol> </li> <li>3. Audit Resolution Policy Revision (<b>Action</b>)</li> <li>4. External Auditor's Report (<b>Action</b>)</li> <li>5. Risk Exposure Reports</li> <li>6. Governance, Risk &amp; Compliance Roadmap</li> <li>7. Closed Session: Independence Confirmation             <ol style="list-style-type: none"> <li>7.1. Chief Auditor</li> <li>7.2. Chief Officer of Risk, Compliance and Ethics</li> </ol> </li> </ol>	<p><b><u>December</u></b></p> <p><i>No Meeting Scheduled</i></p>

\* The following items are reported at every meeting: Proposed Agenda (for the next meeting), Annual Rolling Calendar Review, the CalPERS Ethics Helpline Status Report, and Quarterly Status Reports (Enterprise Risk Management, Compliance, and Audit Services)