



Agenda Item 8a

June 19, 2013

ITEM NAME: Integrated Assurance Model

PROGRAM: Enterprise Compliance Division

ITEM TYPE: Information

EXECUTIVE SUMMARY

The attached presentation provides an overview of the CalPERS enterprise compliance program and planned future direction.

STRATEGIC PLAN

This agenda item supports Strategic Plan Goal B: Cultivate a high-performing, risk-intelligent and innovative organization.

BACKGROUND

ECOM works with enterprise-wide program areas to provide an independent source of ongoing validation and testing of statutorily-mandated compliance activities, advise program areas regarding best practices and deliver related business process improvement support on an ongoing basis. ECOM also provides leadership on compliance topics, conducts oversight of potential conflicts of interest affecting CalPERS, and coordinates with program areas to maintain the Regulatory Compliance Library, which documents laws and regulations governing all aspects of CalPERS operations.

ANALYSIS

This is a reporting item without any associated issues.

ATTACHMENTS

Attachment A: Integrated Assurance Model

GARY BUSH
Chief, Enterprise Compliance Division

KATHLEEN K. WEBB
Chief Officer of Risk, Compliance and Ethics

CHERYL EASON
Chief Financial Officer