

2013 Risk & Audit Committee Annual Agenda Item Calendar

<p><u>January</u></p> <p><i>Board Offsite</i></p>	<p><u>February</u></p> <p><i>No Meeting Scheduled</i></p>	<p><u>March*</u></p> <ol style="list-style-type: none"> 1. Election of the Chair and Vice Chair (Action) 2. Quarterly Status Reports <ol style="list-style-type: none"> 2.1. Audit Services Report 2.2. Compliance Report 2.3. Enterprise Risk Management Report 3. Management Letter (Action) 4. Review of the Risk & Audit Committee Delegation (Action) 5. Closed Session: Independence Confirmation <ol style="list-style-type: none"> 5.1. Chief Auditor 5.2. Chief Officer of Risk, Compliance and Ethics 	<p><u>April</u></p> <p><i>No Meeting Scheduled</i></p>
<p><u>May</u></p> <p><i>No Meeting Scheduled</i></p>	<p><u>June*</u></p> <ol style="list-style-type: none"> 1. Quarterly Status Reports <ol style="list-style-type: none"> 1.1. Enterprise Risk Management Report 1.2. Compliance Report 1.3. Audit Services Report 2. 2013-15 Risk Assessment Plan (Action) 3. 2013-15 Compliance Plan (Action) 4. 2013-15 Audit Plan (Action) 5. External Auditor's Annual Plan (Action) 6. Risk Exposure Reports 7. Closed Session: Independence Confirmation <ol style="list-style-type: none"> 7.1. Chief Auditor 7.2. Chief Officer of Risk, Compliance and Ethics 	<p><u>July</u></p> <p><i>Board Offsite</i></p>	<p><u>August</u></p> <p><i>No Meeting Scheduled</i></p>
<p><u>September*</u></p> <ol style="list-style-type: none"> 1. Risk Management Workshop 2. Quarterly Status Reports <ol style="list-style-type: none"> 2.1. Enterprise Risk Management Report 2.2. Compliance Report 2.3. Audit Services Quarterly and Year-End Report 3. Annual Compliance Report (Action) 4. Third Party Valuation and Certification of the Contracting Public Agency Plans as of June 30, 2011 (Action) 5. External Audit Fees 6. Governance, Risk & Compliance Roadmap 7. Closed Session: Independence Confirmation <ol style="list-style-type: none"> 7.1. Chief Auditor 7.2. Chief Officer of Risk, Compliance and Ethics 	<p><u>October</u></p> <p><i>No Meeting Scheduled</i></p>	<p><u>November*</u></p> <ol style="list-style-type: none"> 1. Risk Management Workshop 2. Quarterly Status Reports <ol style="list-style-type: none"> 2.1. Enterprise Risk Management Report 2.2. Compliance Report 2.3. Audit Services Report 3. Audit Resolution Policy Revision (Action) 4. External Auditor's Report (Action) 5. Risk Exposure Reports 6. Closed Session: Independence Confirmation <ol style="list-style-type: none"> 6.1. Chief Auditor 6.2. Chief Officer of Risk, Compliance and Ethics 	<p><u>December</u></p> <p><i>No Meeting Scheduled</i></p>

* The following items are reported at every meeting: Proposed Agenda (for the next meeting), Annual Rolling Calendar Review, the CalPERS Ethics Helpline Status Report, and Quarterly Status Reports (Audit Services, Compliance, and Enterprise Risk Management)