



# Risk & Audit Committee

California Public Employees' Retirement System

---

June 19, 2013  
8:00 a.m.

Robert F. Carlson Auditorium  
Lincoln Plaza North  
400 P Street  
Sacramento, CA

## COMMITTEE MEMBERS

JJ Jelincic, Chair  
Priya Mathur, Vice Chair  
Michael Bilbrey  
George Diehr

Rob Feckner  
Ron Lind  
Bill Slaton

## MEETING AGENDA

### OPEN SESSION

8:00 a.m.

- |                 |  |
|-----------------|--|
|                 | 1. Call to Order and Roll Call                           |
| Cheryl Eason    | 2. Executive Report                                      |
| Margaret Junker | 3. Chief Auditor's Report                                |
| Cheryl Eason    | 4. Consent Items   |
|                 | <b>Action Consent Items:</b>                             |
|                 | a. Approval of March 20, 2013, Meeting Minutes           |
| Cheryl Eason    | 5. Consent Items   |
|                 | <b>Information Consent Items:</b>                        |
|                 | a. Annual Calendar Review                                |
|                 | b. Draft Agenda for the September 2013 Committee Meeting |
|                 | c. Enterprise Risk Management Division Status Report     |
|                 | d. Enterprise Compliance Division Status Report          |
|                 | e. CalPERS Ethics Helpline Report                        |
|                 | f. Office of Audit Services Quarterly Status Report      |

## Action Agenda Items

- Kathleen Webb  
Kathleen Webb
6. Office of Enterprise Risk Management  
a. 2013-15 Risk Assessment Plan  
b. 2013-15 Compliance Plan
7. Audits  
a. Internal Audit Annual Plan  
b. External Auditor's Annual Plan
- Margaret Junker  
Margaret Junker /  
Rick Green, Macias  
Gini & O'Connell

## Information Agenda Items

- Kathleen Webb  
Larry Jensen
8. Office of Enterprise Risk Management  
a. Integrated Assurance Model  
b. Risk Exposure Reports
9. Public Comment

## CLOSED SESSION

Or Upon Adjournment or Recess of Open Session  
(Gov. Code sections 11126(a)(1) and (g)(1))

1. Call to Order and Roll Call
2. Consent Items  
**Action Consent Items:**  
a. Approval of March 20, 2013, Meeting Minutes
- Margaret Junker
3. Personnel, Employment and Performance –  
Chief Auditor
- Kathleen Webb
4. Personnel, Employment and Performance –  
Chief Officer of Risk, Compliance and Ethics

## Notes

- 1) Items designated for Information are appropriate for Committee Action if the Committee wishes to take action.
- 2) The hour designated as the earliest starting time for this meeting is not intended to communicate the expected duration (or ending time) of the preceding meeting.
- 3) Public comment may be taken on any Agenda Item. There is a 3-minute limitation on each public comment, unless otherwise directed by the Committee Chair.
- 4) Board members may attend meetings of committees of which they are not members and participate in the discussions during those meetings.