

Enterprise Risk Management Division
December 10, 2012

Board Assignment Status

Assignment Date	Request / Subject	Due Date	Status / Completed Date
	No current board assignments		

Other Items of Interest

Item of Interest	Status / Completed Date
Enterprise Policy	The new ERMD Policy Section concept paper and budget requests have been approved. Staff is being recruited and initial planning for the Policy Section is underway. The Policy Section will have responsibility for administration of enterprise-wide policies and policy lifecycle management.
Risk Assessments	<p>Facilitated update of the Enterprise Risk Management Dashboard and Top Risk mitigation strategies.</p> <p>Reviewed the status of six compliance risk assessment reports dating back to January 2009 with the Investment Office to determine the status of 42 issues identified in the reports. Determined 30 issues were fully resolved and 12 issues remain open. Established a process to periodically follow up on issues and to assist the Investment Office with resolution of the issues.</p> <p>Reviewed the status of ten information security risk assessment reports and identified five common enterprise information security risks. Detailed reports will be prepared for management to address the unresolved risks identified in the reports.</p>

Item of Interest	Status / Completed Date
IS Strategy, Planning and Policy	<p>The IS Strategy, Planning and Policy group has updated the information security policies and control standards affecting ITSB and they are currently being reviewed and approved by the ITSB Enterprise Architecture Board (EAB). The EAB has approved all 17 of the updated information security policies and 138 of the control standards. The EAB is currently reviewing an additional 392 information security control standards for their approval.</p> <p>The information security policies and 14 control standards that support the FY12/13 ERMD/ITSB Information Security Roadmap projects have been completed. Additional control standards governing specific characteristics of the Roadmap projects are currently under development.</p> <p>The pilot for the automated tool that will better organize and manage the information security policy lifecycle has been expanded to include 5 key ITSB staff.</p>
IS Technology Assurance	<p>The IS Technology Assurance group continues to work closely with the Information Security Roadmap Program FY12/13 projects to assure that information security aligns with the CalPERS business interests. It has also worked with the Information Security Roadmap Program to complete the budget requests for the Roadmap's FY13/14 projects.</p>
IS Privacy & Awareness	<p>The IS Privacy & Awareness group has implemented the updated information security awareness training and it has been taken by 97 percent of CalPERS staff. Feedback from staff on its new content and format has been very positive. Minor adjustments to the training will be made over the next 90 days to incorporate this feedback. The design on the audience specific security awareness training has been completed and will also be implemented over the next 90 days. This will tailor the information security content to better address the specific functions performed by different CalPERS business operations.</p>
IS Incident Management	<p>During the last 2 months the IS Incident Management group has reviewed and taken appropriate action on 17 Cyber Security Alerts issued by the National Cyber Alert System, 11 information security incidents and alerts, and 10 External (includes HIPAA) security events.</p>