

## 2013 Risk and Audit Committee Annual Agenda Item Calendar

<p><b>January</b></p> <ol style="list-style-type: none"> <li>1. Board Offsite</li> </ol>	<p><b>February</b></p> <ol style="list-style-type: none"> <li>1. No Meetings Scheduled</li> </ol>	<p><b>March</b></p> <ol style="list-style-type: none"> <li>1. Chief Auditor Independence Confirmation (Closed Session)</li> <li>2. CO-RCE Independence Confirmation (Closed Session)</li> <li>3. Audit Services Quarterly Status Report</li> <li>4. Compliance Office Quarterly Status Report</li> <li>5. Enterprise Risk Management Quarterly Status Report</li> <li>6. Management Letter</li> <li>7. Governance, Risk &amp; Compliance (GRC) Training</li> </ol>	<p><b>April</b></p> <ol style="list-style-type: none"> <li>1. No Meetings Scheduled</li> </ol>
<p><b>May</b></p> <ol style="list-style-type: none"> <li>1. Chief Auditor Independence Confirmation (Closed Session)</li> <li>2. CO-RCE Independence Confirmation (Closed Session)</li> <li>3. Audit Services Quarterly Status Report</li> <li>4. Annual Risk Assessment &amp; Audit Plan</li> <li>5. Governance, Risk &amp; Compliance (GRC) Training</li> </ol>	<p><b>June</b></p> <ol style="list-style-type: none"> <li>1. No Meetings Scheduled</li> </ol>	<p><b>July</b></p> <ol style="list-style-type: none"> <li>1. Board Offsite</li> </ol>	<p><b>August</b></p> <ol style="list-style-type: none"> <li>1. Chief Auditor Independence Confirmation (Closed Session)</li> <li>2. CO-RCE Independence Confirmation (Closed Session)</li> <li>3. Audit Services Quarterly and Year-End Status Report</li> <li>4. External Audit Fees</li> <li>5. Compliance Office Quarterly Status Report</li> <li>6. Enterprise Risk Management Quarterly Status Report</li> <li>7. Governance, Risk &amp; Compliance (GRC) Training</li> </ol>
<p><b>September</b></p> <ol style="list-style-type: none"> <li>1. No Meetings Scheduled</li> </ol>	<p><b>October</b></p> <ol style="list-style-type: none"> <li>1. Chief Auditor Independence Confirmation (Closed Session)</li> <li>2. Chief of Risk, Compliance &amp; Ethics Independence Confirmation (Closed Session)</li> <li>3. Enterprise Risk Management Quarterly Status Report</li> <li>4. Compliance Office Quarterly Status Report</li> <li>5. Governance, Risk &amp; Compliance (GRC) Training</li> </ol>	<p><b>November</b></p> <ol style="list-style-type: none"> <li>1. No Meetings Scheduled</li> </ol>	<p><b>December</b></p> <ol style="list-style-type: none"> <li>1. Audit Services Quarterly Status Report</li> <li>2. Enterprise Risk Management Quarterly Status Report</li> <li>3. Compliance Office Quarterly Status Report</li> <li>4. External Auditor's Report</li> <li>5. Audit Resolution Policy Revision</li> </ol>

\*Indicates no meeting scheduled

The following items are reported every committee meeting: Enterprise Risk Management Division Status Report, Compliance Division Status Report, CalPERS Ethics Helpline Status Report, Risk Exposure Reports