

2012 Risk and Audit Committee Annual Agenda Item Calendar

<p><u>January</u> 1. <i>Board Offsite</i></p>	<p><u>February</u> 1. <i>No Meetings Scheduled</i></p>	<p><u>March</u> 1. Chief Auditor Independence Confirmation (Closed Session) 2. Office of Audit Services Quarterly Status Report 3. Compliance Office Quarterly Status Report 4. Privacy & Security Quarterly Status Report 5. Management Letter Proposed Personal Trading Regulations</p>	<p><u>April</u> 1. <i>No Meetings Scheduled</i></p>
<p><u>May</u> 1. Chief Auditor Independence Confirmation (Closed Session) 2. Office of Audit Services Quarterly Status Report 3. Annual Risk Assessment & Audit Plan 4. Compliance Office Status Report 5. Enterprise Risk Management Status Report Privacy & Security Status Report</p>	<p><u>June</u> 1. <i>No Meetings Scheduled</i></p>	<p><u>July</u> 1. <i>No Meetings Scheduled</i></p>	<p><u>August</u> 1. Chief Auditor Independence Confirmation (Closed Session) 2. Chief of Risk, Compliance & Ethics Independence Confirmation (Closed Session) 3. Office of Audit Services Quarterly and Year-End Status Report 4. External Audit Fees 5. Compliance Office Status Report 6. Enterprise Risk Management Status Report 7. Compliance Office Annual Report</p>
<p><u>September</u> 1. <i>No Items Scheduled</i></p>	<p><u>October</u> 1. Chief Auditor Independence Confirmation (Closed Session) 2. Chief of Risk, Compliance & Ethics Independence Confirmation (Closed Session) 3. Enterprise Risk Management Division Status Report 4. Enterprise Compliance Division Status Report 5. CalPERS Ethics Helpline Report 6. Risk Exposure Reports Enterprise Risk Management Workshop</p>	<p><u>November</u> 1. <i>No Meeting Scheduled</i></p>	<p><u>December</u> 1. Enterprise Risk Management Division Status Report 2. Office of Audit Services Quarterly Status Report 3. External Auditor's Report 4. Audit Resolution Policy Revision 5. Enterprise Compliance Division Status Report 6. CalPERS Ethics Helpline Report</p>

The following items are reported every committee meeting: Enterprise Risk Management Division Status Report, Compliance Division Status Report, CalPERS Ethics Helpline Status Report, Risk Exposure Reports *Indicates no meeting scheduled. Office of Audit Services Status Report is available quarterly in March, May, August and December.