

**Information Security Management Section - Internal Incident Log
First Quarter FYE 06-30-13 (04-27-12 to 07-30-12)**

Type of Incident	Official Notification Date of Incident Sent to ISMS	Originating Division, Branch, or Office	Comments
Unauthorized Disclosure of SSN	5/4/2012	BNSD	Based on the investigative work performed to date (05-10-12), the ISMS infers on the basis of the evidence collected and examined that the full Social Security Number for a CalPERS Member was disclosed to another CalPERS Member in an unauthorized manner. A Privacy Breach Notification letter is not necessary pursuant to California Civil Code Section 1798.29 because the unauthorized disclosure involved printed sensitive data on paper documents and did not involve the unauthorized disclosure of electronic sensitive data. However, per the California State Administrative Manual (SAM), Section 5350.4, Incidents Involving Personal Information, a security breach notification letter offering identity theft insurance was mailed to the Member.
Missing Blackberry	5/12/2012	INVO	Based on the investigative work performed to date (05-25-12), the ISMS infers on the basis of the evidence collected and examined that a CalPERS issued BlackBerry Torch 9810 smartphone device assigned to a CalPERS employee was reported as missing. The employee looked for the missing CalPERS issued BlackBerry smartphone device in their personal vehicle, their office, and their personal home and could not locate it.
Missing Blackberry	7/2/2012	CSOD	Based on the investigative work performed to date (07-16-12), the ISMS infers on the basis of the evidence collected and examined that a CalPERS issued BlackBerry Bold 9700 smartphone device assigned to a CalPERS employee was reported as missing.

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Unauthorized Disclosure of SSNs	7/12/2012	CSOD	Based on the investigative work performed to date (07-25-12), the ISMS infers on the basis of the evidence collected and examined that the refund election form with the name, Social Security number (SSN), and address for a CalPERS Member, and the SSN, name, address, and the direct deposit authorization form with bank routing information for another CalPERS Member, was disclosed to a CalPERS Member in an unauthorized manner. A Privacy Breach Notification letter is not necessary pursuant to California Civil Code Section 1798.29 because the unauthorized disclosure involved printed sensitive data on paper documents and did not involve the unauthorized disclosure of electronic sensitive data. However, per the California State Administrative Manual (SAM), Section 5350.4, Incidents Involving Personal Information, a security breach notification letter offering identity theft insurance was mailed to both affected members.
Unauthorized Disclosure of a Tax Levy Document	7/20/2012	CSOD	Based on the investigative work performed to date (07-30-12), the ISMS infers on the basis of the evidence collected and examined that the incident involved the unauthorized disclosure of a Tax Levy document with the name, Social Security number (SSN), and address for a CalPERS Member was disclosed to another CalPERS Member in an unauthorized manner. A Privacy Breach Notification letter is not necessary pursuant to California Civil Code Section 1798.29 because the unauthorized disclosure involved printed sensitive data on paper documents and did not involve the unauthorized disclosure of electronic sensitive data. However, per the California State Administrative Manual (SAM), Section 5350.4, Incidents Involving Personal Information, a security breach notification letter offering identity theft insurance was mailed to the member.