

Annual Risk Assessment Plan

FISCAL YEAR 2012 /2013

July 1, 2012



Enterprise Risk Management Division

Category/ Sub Category	Enterprise/Program	Frequency
Strategic		
Enterprise-wide Assessment	Enterprise-wide	Annual
Long-Term Care Program	Benefits Programs Policy & Planning	Periodic
Pension Reform	Benefits Programs Policy & Planning	Periodic
Health Care Costs	Health Plan Administration	Periodic
Integrated Asset Liability Management	Financial Office / Investment office	Annual
Operational		
Customer Service	Customer Services & Support	Periodic
Business Planning 2012-14	Enterprise-wide	Recurring
Performance Management	Enterprise-wide	Annual
Information Security & Privacy	Enterprise-wide	Periodic
Fiscal Services Division	Financial Office	
Alternative Investment Management, Asset Allocation & Risk Management, Global Equities, Global Fixed Income, Real Estate	Investment Office	
Office of Audit Services	General Counsel Office	
Human Resources Division	Operations and Technology	



Enterprise Risk Management Division

Enterprise Strategy & Performance Division	Operations and Technology	
Information Technology Services Branch	Information Technology Services Branch	

Financial / Reporting

Financial and Administrative Controls - Financial Integrity & State Manager's Accountability	Financial Office	Biennial
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Reporting - Financial Statement Reports	Financial Office	Periodic
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Investments

Investment Controls & Systems	Investment Office	Periodic
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Ethics/Legal/Compliance

Policy Compliance	Enterprise-wide	Recurring
Fraud	Enterprise-wide	Annual