

Risk and Audit Committee Annual Agenda Item Calendar

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| <p><u>February*</u> 1. <i>No Meeting Scheduled</i></p> | <p><u>March</u> 1. Chief Auditor Independence Confirmation (Closed Session) 2. Audit Services Quarterly Status Report 3. Compliance Office Quarterly Status Report 4. Privacy & Security Quarterly Status Report 5. Management Letter 6. Proposed Personal Trading Regulations</p> | <p><u>April*</u></p> | <p><u>May</u> 1. Chief Auditor Independence Confirmation (Closed Session) 2. Audit Services Quarterly Status Report 3. Annual Risk Assessment & Audit Plan 4. Compliance Office Quarterly Status Report 5. Enterprise Risk Management Quarterly Status Report</p> |
| <p><u>June*</u> 1. <i>No Meetings Scheduled</i></p> | <p><u>July*</u> 1. <i>No Meetings Scheduled</i></p> | <p><u>August</u> 1. Chief Auditor Independence Confirmation (Closed Session) 2. Chief of Risk, Compliance & Ethics Independence Confirmation (Closed Session) 3. Audit Services Quarterly and Year-End Status Report 4. External Audit Fees 5. Compliance Office Quarterly Status Report 6. Enterprise Risk Management Quarterly Status Report 7. Risk Exposure Reports 8. Compliance Office Annual Report</p> | <p><u>September*</u> 1. <i>No Items Scheduled</i></p> |
| <p><u>October</u> 1. Enterprise Risk Management Workshop 2. Office of Audit Services Charter Revision</p> | <p><u>November*</u> 1. <i>No Meeting Scheduled</i></p> | <p><u>December</u> 1. Chief Auditor Independence Confirmation (Closed Session) 2. Chief of Risk, Compliance & Ethics Independence Confirmation (Closed Session) 3. Audit Services Quarterly Status Report 4. Enterprise Risk Management Quarterly Status Report 5. Compliance Office Quarterly Status Report 6. External Auditor's Report 7. Risk Exposure Reports</p> | <p><u>January</u> 1. <i>Board Offsite</i></p> |

*Indicates no meeting scheduled

The following items are reported every month: Legislation, Chief Auditor's Report, Compliance Office Report, CalPERS Ethics Helpline Status Report